



L&C

2026 FIA LAW & COMPLIANCE
CONFERENCE

APRIL 15-17 | WASHINGTON, DC



PROGRAM

Wednesday, April 15

11:00 a.m. – 1:30 p.m.	Arrival Refreshments
11:00 a.m. – 5:00 p.m.	Registration Open
12:00 p.m. – 5:00 p.m.	Exhibits Open
1:00 p.m. – 1:15 p.m.	Conference Welcome Remarks
1:15 p.m. – 2:15 p.m.	Hot Topics: Pressure Points for Legal and Compliance Teams
2:30 p.m. – 3:30 p.m.	Regulation by Enforcement is Over - Now What?
3:45 p.m. – 4:45 p.m.	Washington Update: Political Currents and Market Impact
5:00 p.m. – 5:45 p.m.	NFA Townhall
5:30 p.m. – 7:00 p.m.	Opening Reception Co-Sponsored by FIA and Jenner & Block LLP (Location: Riverview Ballroom)

Thursday, April 16

6:30 a.m. – 7:30 a.m.	Sunrise Yoga Sponsored by Cleary Gottlieb Steen & Hamilton LLP (Location: Eastern Shore)
7:00 a.m. – 5:30 p.m.	Registration Open
7:30 a.m. – 8:30 a.m.	Networking Breakfast Co-Sponsored by Baker Botts LLP, CME Group, Faegre Drinker Biddle & Reath LLP, and Gibson, Dunn & Crutcher LLP
8:00 a.m. – 5:00 p.m.	Exhibits Open
8:00 a.m. – 8:30 a.m.	No Bets On Integrity: Surveillance in Digital Asset & Prediction Markets Sponsored by Solidus Labs
8:30 a.m. – 9:30 a.m.	Comms Surveillance: What You Don't Know Can Hurt You
8:30 a.m. – 9:30 a.m.	OTC Swaps Reg Recap
8:30 a.m. – 9:30 a.m.	The (Ethical) Art of a Deal
9:45 a.m. – 10:45 a.m.	Futures & Options Basics: What Every Legal & Compliance Professional Should Know Sponsored by FIA Markets Academy
9:45 a.m. – 10:45 a.m.	Trade Surveillance: Man vs. Machine
9:45 a.m. – 10:45 a.m.	Court Watch: The CEA is Under Review
10:45 a.m. – 11:15 a.m.	Networking Break Co-Sponsored by Baker Botts LLP, CME Group, Faegre Drinker Biddle & Reath LLP, and Gibson, Dunn & Crutcher LLP
11:15 a.m. – 12:15 p.m.	AI Applied for Compliance & Legal Professionals
11:15 a.m. – 12:15 p.m.	International Trading: Let's Get it On
11:15 a.m. – 12:15 p.m.	Where You Trade Your Digital Assets in 2026

PROGRAM

Thursday, April 16

- 12:15 p.m. – 2:00 p.m. Annual Meeting & Keynote Lunch - Redefine Impossible
Co-Sponsored by Akin Gump Strauss Hauer & Feld LLP, Davis Polk & Wardwell LLP, Hadrius, Willkie Farr & Gallagher
- 2:15 p.m. – 3:15 p.m. The Final Treasury Clearing Countdown
- 2:15 p.m. – 3:15 p.m. Doc Talk: Give-Up
- 2:15 p.m. – 3:15 p.m. To Register or Not to Register?...It Can Be a Hard Question!
- 3:15 p.m. – 3:45 p.m. Networking Break Co-Sponsored by Baker Botts LLP, CME Group, Faegre Drinker Biddle & Reath LLP, and Gibson, Dunn & Crutcher LLP
- 3:45 p.m. – 4:45 p.m. Core Principles for a New Era
- 3:45 p.m. – 4:45 p.m. Doc Talk: Treasury Clearing
- 3:45 p.m. – 4:45 p.m. Exams and Investigations: Pro Tips for Resolving Them on Your Terms
- 3:45 p.m. – 4:45 p.m. "Future Proofing" Your Business in Anticipation of Regulatory Change Sponsored by Paul Hastings
- 4:45 p.m. – 7:00 p.m. Networking Reception
- 5:00 p.m. – 5:45 p.m. Sip n Learn: Intro to the Newest Clearing Model: EATM
- 6:30 p.m. – 7:30 p.m. CFTC Alumni Reception (Location: Eastern Shore)
- 9:00 p.m. – 11:00 p.m. Late Night Karaoke (Location: Lookout 18)

Friday, April 17

- 7:45 a.m. – 1:00 p.m. Registration Open
- 8:00 a.m. – 9:00 a.m. Networking Breakfast Co-sponsored by Baker Botts LLP, CME Group, Faegre Drinker Biddle & Reath LLP, and Gibson, Dunn & Crutcher LLP
- 8:30 a.m. – 11:30 a.m. Exhibits Open
- 8:45 a.m. – 9:45 a.m. Meet the CFTC Directors
- 9:45 a.m. – 10:45 a.m. Customer Onboarding Primer
- 9:45 a.m. – 10:45 a.m. End-User Hot Topics
- 9:45 a.m. – 10:45 a.m. Strategic Investments in Regulated Entities
- 11:00 a.m. – 12:00 p.m. Ethics and Professional Responsibility in the Era of AI
- 11:00 a.m. – 12:00 p.m. Know Your Customer: What's Top of Mind for Buyside Firms?
- 11:00 a.m. – 12:00 p.m. Retail Trading in the Digital Age
- 12:30 p.m. – 1:30 p.m. Closing Remarks/Lunch & Learn: Taking Tokenization from Concept to Reality

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