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Venue Specific Connectivity Requirements Questionnaire

FIA Global eTrading Committee

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Venue Specific Connectivity Requirements Questionnaire

The electronic trading landscape is one that is constantly evolving which increasingly demands clarity, consistency and transparency in how trading venues communicate their connectivity requirements to members and other interested market participants. The FIA Global eTrading Committee with input from FIA PTG and FIA EPTA, have created the Venue Specific Connectivity Requirements standard list of questions. The Venue Specific Connectivity Requirements Questionnaire has been developed to provide a harmonised framework for trading venues to provide their members and other market participants with their specific rules and policies around electronic trading.

The purpose of the Venue Specific Connectivity Requirements Questionnaire is to enable trading venues to consolidate their electronic trading connectivity requirements into a single, structured document. This will ensure that market participants receive clear and comprehensive information, reducing any ambiguity and operational risk. Further, the Questionnaire supports the efficiency in onboarding, and ongoing compliance.

The Venue Specific Connectivity Requirements Questionnaire outlines information on trading venue connectivity requirements that market participants typically need to understand when connecting to or accessing a specific trading venue.

The Venue Specific Connectivity Requirements Questionnaire is designed to be used by all trading venues, across all jurisdictions with the view of enhancing transparency and standardisation across the electronic trading.

Date of completed Questionnaire: _____

Disclaimer:

FIA has published this Venue Specific Connectivity Requirements Questionnaire for informational purposes for use by its members only. The questions were drafted by FIA's global eTrading Committee and completed by each participating trading venue on a best-efforts basis. FIA did not verify the completeness or accuracy of responses provided by trading venues. If users of this document have questions about responses provided by individual trading venues, they should contact them and seek clarification directly from them.

Any firm using this document and the information therein should carefully consider the full scope of legal, regulatory and commercial requirements that may apply to its particular circumstances and consult with legal counsel and any other advisors or consultants, as appropriate. This Venue Specific Connectivity Requirements Questionnaire is not intended to constitute legal, regulatory or other advice and should not be taken or construed as such. FIA specifically disclaims any legal responsibility for any errors or omissions and disclaims any liability for losses or damages incurred through the use of this document. FIA undertakes no obligations to update this document following the date of publication.



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Trading Venue: _____

Venue Question	Requirement
Q1) Does your venue have any venue applicable Order Record keeping requirements?	
Q2) What EOD Recap/File OR Drop copy options exist?	
Q3) Does your venue require Trader Registrations?	
Q4) Does your venue require Algo registrations?	
Q5) Does your venue have venue specific order marking requirements?	
Q6) Does your venue offer Self trade prevention functionality?	



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Q7) How do market participants get prices?	
Q8) How do market participants get a list of tradable products, their symbols, and the pricing format in the messages?	
Q9) Is Cancel on Disconnect available/optional/mandatory?	
Q10) Do you support Mass Cancel/Kill Switch functionality?	
Q11) Password/security- brief description of how machine to machine communication (e.g. FIX sessions) authenticate,	
Q12) Does your venue publish disciplinary notification, if yes, can you please provide the link?	