



EXPO

2024 FIA CONFERENCE
18-20 NOVEMBER | CHICAGO

2024 Expo Program

Monday, 18 November

12:00 p.m. CT

REGISTRATION OPEN

Lanyards Sponsored by B3

Tote Bags Sponsored by CME Group

5:00 p.m. CT

OPENING RECEPTION

Sponsored by CME Group

Tuesday, 19 November

7:30 a.m. CT

REGISTRATION OPEN

Lanyards Sponsored by B3

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8:00 a.m. CT

ARRIVAL COFFEE

Co-Sponsored by EXA Infrastructure & OCC

9:10 a.m. CT

OPENING REMARKS

In his traditional welcome address, FIA President and CEO Walt Lukken will set the scene for Expo 2024 and share his views on the issues important to the industry.

Speaker:

Walt Lukken, President & CEO, FIA

9:30 a.m. CT

CFTC KEYNOTE ADDRESS & FIRESIDE CHAT

Moderator:

Walt Lukken, President & Chief Executive Officer, FIA

Speaker:

Rostin Behnam, Chairman, Commodity Futures Trading Commission

10:00 a.m. CT

TREASURY CLEARING - THE IMPACT ON THE INDUSTRY

With the go-live for the US Treasury clearing mandate set for the end of 2025, the industry is getting to grips with the impact on CCPs and clearing firms. What role will this industry play in facilitating such an ambitious overhaul of a \$27 trillion market?

Moderator:

Allison Lurton, Chief Legal Officer & General Counsel, FIA

Speakers:

Aaron Friedman, Deputy U.S. Head of Government & Regulatory Policy, Citadel Securities

Paul Hamill, Senior Advisor, ICE

Laura Klimpel, Managing Director, Head of DTCC's Fixed Income and Financing Solutions, DTCC

Suzanne Sprague, Chief Operating Officer and Global Head of Clearing, CME Group, CME Group

Jason Swankoski, Global Head of Strategy & Product - Derivative Clearing, Morgan Stanley

10:30 a.m. CT

EXHIBITS OPEN

10:45 a.m. CT

INVESTING IN TECHNOLOGIES OF THE FUTURE

Developments in financial technology have moved at rapid pace over the past decade, fueled by the application of new technologies, appetite for speed and efficiency, and, of course, financial reward. Investors in fintech start-ups will share their thoughts on trends and the metrics for success.

Moderator:

Katie Cleland, Managing Director, Head of Capital Markets Operations, Societe Generale

Speakers:

Mira Witzig Borja, VP of Markets Strategic Investments, Citigroup Global Markets

Andy Kangpan, Founder and General Partner, Metalayer

Rob Laible, Head of Americas, BMLL Technologies

Christina Qi, CEO, Databento, Inc.

Brian Zboril, Head of CME Ventures, CME Group

2024 Expo Program *Continued*

11:00 a.m. CT

NETWORKING BREAK

Co-Sponsored by EXA Infrastructure & OCC

11:40 a.m. CT

INNOVATING EXCHANGE PRODUCTS FOR THE FUTURE

Much of the recent innovation in exchange products has been focused on those with an interest from retail market participants. This panel will look at the prospects for these instruments, including event markets, crypto derivatives and mini contracts.

Moderator:

Will Acworth, SVP, Publications, Data and Research, FIA

Speakers:

Rob Hocking, SVP, Global Head of Product Innovation, Cboe Global Markets

Jim Hyde, Head of Business Development & Strategic Partnerships, Intercontinental Exchange Inc. / NYSE

Tarek Mansour, CEO, Kalshi

Summer Mersinger, Commissioner, Commodity Futures Trading Commission

Randolf Roth, Member of Eurex Frankfurt Executive Board, Eurex

12:00 p.m. CT

PATHWAYS TO FINANCE: CHALLENGES AND OPPORTUNITIES FROM THE GREENWOOD PROJECT SCHOLAR PERSPECTIVE

Two current Greenwood Project college intern Scholars speak frankly with GP CEO, Kwesi Smith about the challenges they face and opportunities they seek when transitioning from college to full-time work. Come hear how a new generation of professionals is experiencing the changing landscape in the industry.

Moderator:

Kwesi Smith, CEO, Greenwood Project

Speakers:

Imani Noel, Junior, DePaul University

Andre Reynolds, Senior, University of Illinois Chicago

12:30 p.m. CT

NETWORKING LUNCH

Co-Sponsored by EXA Infrastructure & OCC

1:40 p.m. CT

IMPACT OF TECHNOLOGY AND MODERNIZATION OF CLEARING

This panel of clearing experts will look at developments in the clearing of derivatives including the future of margin, cloud migration and AI.

Moderator:

Jackie Mesa, COO and Senior Vice President of Global Policy, FIA

Speakers:

Imani Noel, Junior, DePaul University

Matthias Graulich, Member of the Executive Board, Eurex

Kristin Johnson, Commissioner, Commodity Futures Trading Commission

Kevin McClear, President, ICE Clear U.S. Inc.

Gary Saunders, Managing Director, Barclays

Suzanne Sprague, Chief Operating Officer and Global Head of Clearing, CME Group

1:45 p.m. CT

RETAIL MARKETS BEYOND THE US

Moderator:

John Lothian, Executive Chairman & Chief Executive Officer, John J. Lothian & Company, Inc.

Speakers:

Claudio Jacob, Managing Director, B3

Sriram Krishnan, Chief Business Development Officer, National Stock Exchange of India Limited

2024 Expo Program

2:25 p.m. CT

THE OPTIONS EXPLOSION

Options volume has grown exponentially in recent years, predominately in the ultra-short dated/zero-days-to-expiration contracts. Despite their growing popularity, there are also significant concerns. This panel will explore the value of these contracts as hedging tools, their attractiveness to retail traders, their impact on market volatility, the challenges of collecting intra-day margin and the operational risks of daily expirations.

Moderator:

David Marino, Head of Derivatives Coverage , Bloomberg News

Speakers:

Andrej Bolkovic, Chief Executive Officer, OCC

Boudewijn Duinstra, CEO, ABN AMRO Clearing USA LLC

Eunice Pareja, Managing Director, Clearing Operations, Clear Street LLC

Henry Schwartz, Global Head of Client Engagement, Data & Access, Cboe Global Markets

2:45 p.m. – 4:00 p.m. CT

INNOVATOR PITCHES #1 – #5

Attend the pitch competition on both conference days to learn about emerging technologies from 10 selected fintech startups. Vote for the People's Choice Winner and visit with each company in the Innovators Pavilion Sponsored by Connamara.

Judges:

Alyssa Blankenship, Investor, DRW Venture Capital, DRW Holdings

Mira Witzig Borja, VP of Markets Strategic Investments, Citigroup Global Markets

Alex Gheorghe, Investor, Illuminate Financial

Nathaniel Jackson, Director, Prime Derivatives Services, Barclays

George Kalant, Venture Capital Lead, Chicago Trading Company

Tim Perevozchikov, Vice President - Quantitative Research, Two Sigma Securities, LLC

Presenters:

Pitch #1 - 2:45 - 3:00 p.m. - ClearToken – Independent clearinghouse for digital assets

Pitch #2 - 3:00 - 3:15 p.m. - Membrane Labs – Software for crypto lending, derivatives, collateral

Pitch #3 - 3:15 - 3:30 p.m. - Percent – Access to private credit market

Pitch #4 - 3:30 - 3:45 p.m. - Theia Analytics – AI driven Quantitative Governance & Regulatory Risk Analytics

Pitch #5 - 3:45 - 4:00 p.m. - AnthologyAI – Consumer data intelligence

3:10 p.m. CT

NETWORKING BREAK

Co-Sponsored by EXA Infrastructure & OCC

3:45 p.m. CT

ROUNDTABLE AND DISCUSSION - STORMY WEATHER: DATA CENTERS AND THE CLOUD

Connectivity to data centers is a matter of increasing attention and concern in global listed derivatives markets. Exchanges and their members are facing capacity challenges as the number of transactions processed each day skyrockets, the amount of data explodes, and the technology for the handling and storing of data continues to evolve. In response, exchanges are “moving to the cloud,” but what exactly does that mean for traders, back-office staff and others? What are the implications when a data center's physical location is changed? This roundtable will start with short presentations from exchanges and cloud providers, followed by an interactive discussion on the costs and benefits from the perspective of trading firms, clearing brokers and tech infrastructure providers.

Moderator:

Chuck Mackie, Principal, Fathom Communication

Speakers:

Tim Boyle, Managing Director, North America, McKay Brothers & Quincy Data

James Deighton, Managing Director, Futures Electronic Trading, Wells Fargo Securities LLC

Thom Mack, Head of Business Development, XR Trading LLC

Alex Mirarchi, Capital Markets Specialist, Amazon Web Services

Marc Murphy, Senior Vice President, Nasdaq

Pearce Peck-Walden, Managing Director, Markets Engineering , CME Group

Jason Shaffer, Chief Technology Officer, Trading Technologies International, Inc.

4:45 p.m. CT

MEETING & NETWORKING BREAK

5:15 p.m. CT

NETWORKING RECEPTION

Sponsored by National Stock Exchange of India Limited

2024 Expo Program

Wednesday, 20 November

7:45 a.m. CT

REGISTRATION OPEN

Lanyards Sponsored by B3

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8:00 a.m. CT

ARRIVAL COFFEE

Co-Sponsored by EXA Infrastructure & OCC

8:55 a.m. CT

WELCOME TO DAY 2

9:00 a.m. CT

INSIDE THE BELTWAY: THE IMPACT OF THE ELECTION

Having witnessed impactful elections in other parts of the world already this year, all eyes are now on the results of the recent US presidential and congressional elections. A panel of experts will discuss the election results and potential impact on policymaking and the regulatory environment in Washington.

Moderator:

Kyle Glenn, Vice President of US Government Relations, FIA

Speakers:

Paul Balzano, Professional Staff, House of Representatives Committee on Agriculture

Kevin Batteh, Partner and General Counsel, Delta Strategy Group

De'Ana Dow, Partner & General Counsel, Capitol Counsel

Karen Wuertz, Senior Vice President, External Affairs & Communications, NFA

9:05 a.m. CT

FIRESIDE CHAT - AI AND REGULATION

Introducing the CFTC's First Chief Artificial Intelligence Officer.

Moderator:

Yuying Chen Wynn, Head of AI, Peak6 Investments

Speaker:

Ted Kaouk, Chief Artificial Intelligence Officer, Commodity Futures Trading Commission

10:00 a.m. CT

EXHIBITS OPEN

10:20 a.m. CT

NETWORKING BREAK

Sponsored by National Stock Exchange of India Limited

10:20 a.m. CT

NETWORKING BREAK

Co-Sponsored by Architect Financial Technologies, OCC, Telstra

10:55 a.m. CT

KEYNOTE ADDRESS - AI REVOLUTION & THE ROAD TO SUPERINTELLIGENCE

Co-Sponsored by DTCC and FIA

Back in 2014, long before Artificial Intelligence became the subject that everyone was talking about, Tim Urban began some serious research. It hit him pretty quickly that what was happening in the world of AI is not just an important topic, but, by far, THE most important topic for our future. After diving deeply into the subject and all its future implications, Tim published "The AI Revolution and the Road to Superintelligence," taking readers on a deep exploration of why it will dramatically change our lives. As AI races into nearly every industry and every company, Tim remains the ultimate (and frequently entertaining) explainer of the most transformational shift in the way we live, work and evolve as a society.

Speaker:

Tim Urban, Creator, Wait But Why

11:25 a.m. CT

MITIGATING THE RISKS OF CYBER ATTACKS

Actual and potential cyber attacks are becoming a near-everyday occurrence in financial markets. Even seemingly unrelated sectors or infrastructures can impact the efficient functioning of markets. What are the practical steps the industry can take to mitigate against the risks from such threats?

Moderator:

Don Byron, Head of Global Industry Operations & Execution, FIA

Speakers:

Phil Chennikkara, Deputy CISO, DRW

Nate McFeters, CSO, Chicago Trading Company

John Woods, Head of Information Security, R.J. O'Brien & Associates

2024 Expo Program

11:30 a.m. CT

FIRESIDE CHAT WITH ROBBERT BOOIJ

Moderator:

Walt Lukken, President & Chief Executive Officer, FIA

Speaker:

Robbert Booij, Chief Executive Officer, Eurex

12:10 p.m. CT

NETWORKING LUNCH

Co-Sponsored by EXA Infrastructure & OCC

12:45 p.m. – 2:00 p.m. CT

INNOVATOR PITCHES #6 – #10

Sponsored by Connamara

Attend the pitch competition on both conference days to learn about emerging technologies from 10 selected fintech startups. Vote for the People's Choice Winner and visit with each company in the Innovators Pavilion.

Judges:

Alyssa Blankenship, Investor, DRW Venture Capital, DRW Holdings

Mira Witzig Borja, VP of Markets Strategic Investments, Citigroup Global Markets

Alex Gheorghe, Investor, Illuminate Financial

Nathaniel Jackson, Director, Prime Derivatives Services, Barclays

George Kalant, Venture Capital Lead, Chicago Trading Company

Tim Perevozchikov, Vice President - Quantitative Research, Two Sigma Securities, LLC

Presenters:

Pitch #6 - 12:45 - 1:00 p.m. - Abaxx Exchange – Commodity Futures Exchange and Clearinghouse

Pitch #7 - 1:00 - 1:15 p.m. - Grão Direto – Trading platform and market data for grains

Pitch #8 - 1:15 - 1:30 p.m. - IMX Health – Futures based on healthcare claims data

Pitch #9 - 1:30 - 1:45 p.m. - Tradewell Technologies – Trading technology to simplify and automate electronic trading of corporate bonds

Pitch #10 - 1:45 - 2:00 p.m. - Vorticity – VaR calculation engine

1:15 p.m. CT

TOKENIZATION: A TOOL TO MODERNIZE THE INDUSTRY?

The potential benefits of tokenization are beginning to be recognized, especially in the area of post-trade efficiency. Industry experts will consider the current and future applications of tokenization as part of the industry's efforts to modernize.

Moderator:

Kari Larsen, Partner, Willkie Farr & Gallagher

Speakers:

Nadine Chakar, Global Head of DTCC Digital Assets, DTCC

Leo Mizuhara, CEO, Hashnote

Mike Reed, Senior Vice President, Head, Digital Asset

Partnership Development, Franklin Templeton Investments

Tom Sullivan, Managing Director, Head of Business

Development for Digital Assets, Societe Generale

2:00 p.m. CT

24/7 TRADING: ARE WE READY?

The trading of futures and options in a 24/7 environment presents challenges to the industry, along with potential benefits. What are the issues - legal and operational - that need to be addressed in order to make this a reality?

Moderator:

John Murphy, Managing Director, Global Head of Futures Division, Mizuho Securities USA

Speakers:

Jeff Arnold, Chief Operations Officer, ABN AMRO Clearing USA LLC

Helen Fermor, COO, ICE Clear US, Intercontinental Exchange Inc.

Boris Ilyevsky, CEO, Coinbase Derivatives Exchange

Francesco Margini, CPO, Cleared Derivatives, ION

Caroline Pham, Commissioner, Commodity Futures Trading Commission

2:30 p.m. CT

NETWORKING BREAK

Co-Sponsored by EXA Infrastructure & OCC

2024 Expo Program

2:30 p.m. CT

THE FUTURE OF FINANCIAL COMPLIANCE: RISK AS A KEY PLAYER IN REGTECH

Sponsored Session Presented by Sterling Trading Tech

This discussion will explore how advancements in RegTech are transforming financial compliance, with risk management emerging as a central focus. Industry experts will examine how new technologies are reshaping regulatory frameworks, enabling firms to navigate complex compliance requirements more efficiently.

Speakers:

Matthew Lisle, Senior Vice President and Chief Compliance Officer of Futures, Wedbush Securities

Brian Saldeen, Senior Product Manager, Risk, Sterling Trading Tech

2:45 p.m. CT

MAINSTAGE WRAP UP

Speaker:

Emma Davey, Chief Commercial Officer, FIA

3:00 p.m. CT

EIGHT DAYS A WEEK - REFLECTIONS ON 35 YEARS OF FUTURES

Emma Davey, FIA's Chief Commercial Officer, is retiring at the end of the year. As someone who has lived and breathed futures for 35 years, she has knowledge and perspective to share with the industry before she leaves.

This personal conversation with Jim Kharouf, head of marketing and communications at IncubEx and long-time colleague, delves into some of the most pivotal events and issues that shaped today's industry and what will strengthen markets for decades to come. Her unique experience lends valuable insights on: improving knowledge and understanding of our markets, best advice on marketing and messaging as well as thoughts on what can make these markets better. Come hear what markets can teach us about our industry as well as ourselves.

Moderator:

Jim Kharouf, Head of Marketing & Communications, IncubEx Inc.

Speaker:

Emma Davey, Chief Commercial Officer, FIA

3:00 p.m. CT

ROUNDTABLE: BIG IDEAS FOR INNOVATION IN THE FRONT-TO-BACK ECOSYSTEM

This roundtable will cover ways brokers and their clients are working together to improve processes around self-match prevention, allocations, average pricing and

position transfers to increase straight-through processing, improve resiliency and make more efficient use of margin. Speakers will share practical ideas that are being applied to the day-to-day workflow. The session will also cover horizon scanning - new services such as 24/7 and Treasury clearing and emerging technologies. Speakers will engage the audience to share their views on both improving inefficiencies and future opportunities.

Moderators:

Samina Anwar, Global Derivatives Operations Director, Cargill
Staci Parrish, Business Analyst and Program Manager, FIA

Speakers:

Tom Chlada, Chief Operating Officer, Prime Trading LLC

Timothy Hoopes, Executive Director, Morgan Stanley

Michele Renzoni, Vice President - North America Head of DCS and Listed Derivatives Operations, Goldman Sachs & Co. LLC

Kyle Tobolik, Vice President - Futures & Derivatives Clearing Operations, J.P. Morgan Chase

3:00 p.m. CT

ROUNDTABLE: PRACTICAL INSIGHTS ON MAKING TOKENIZATION WORK IN THE FUTURES INDUSTRY

Collateral management could be the "killer app" for tokenization in the futures industry. But there are a host of critically important operational and legal challenges before brokers and clients can post digital assets as collateral for their futures and options positions. This discussion will cover how the crypto world currently uses digital assets as collateral for derivatives trading and dive into specific features of blockchain technology that allow this to happen. The discussion also will explore some of the operational and legal challenges that will need to be addressed.

Moderators:

Sam Hallene, Investment Partner, CMT Digital

Simon Letort, Head of Strategic Initiatives, Digital Asset

Speakers:

Mustafa Al-Niama, Head of Americas Digital Assets, Goldman Sachs

Andrew Czupek, Americas Head of Innovation & Advocacy, Digital Assets & Financial Markets, Northern Trust

Andrew Dudek, Director, BNP Paribas

Daniel Konar, Senior Legal Director, Stellar Development Foundation

Anna Yuan, Advisor, Solana Foundation

4:00 p.m. CT

INNOVATOR AWARDS CEREMONY

Sponsored by Connamara

4:00 p.m. CT

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