



## ICE Clear U.S.

23 August 2023

### Member Notice M23/006 October 2023 Industry-Wide Disaster Recovery Test

ICE Futures U.S. (IFUS) and ICE Clear U.S. (ICUS) will participate in the industry-wide FIA sponsored Disaster Recovery (DR) test on **Saturday, October 14, 2023**. On this day, futures exchanges, clearing houses, clearing members, and service providers will simultaneously test connectivity and functionality between back-up sites. For IFUS and ICUS, this will involve the activation of the ICE backup data center facility.

#### Test Registration

Clearing members are required to register for the DR test through the FIA registration portal. Please complete the following registration steps:

- Open a browser and go to the FIA registration page located [here](#).
  - If the email address being used to register for the DR test has a FIA account associated with it, enter established login credentials associated with this address.
  - If the email address being used does not have a FIA account associated with it, create one by clicking on "**Create Account**".
  - Please note, a. primary contact should complete this step, as the registration will be attached to their email address.
- **Screen 1 – Attendee Information**
  - Upon login in, verify contact information in the data fields, then click NEXT.
- **Screen 2 – Select Registration Type**
  - 2023 Disaster Recovery Registration will be pre-populated in the drop down, review, then click "NEXT"
- **Screen 3 – Opt-In Options**
  - This screen is presently not relevant, click "NEXT"
- **Screen 4 – Checkout**
  - Click "FINISH" to complete the DR test registration process.
- **Screen 5 – Order Details**
  - This screen provides DR test request confirmation. An email will be generated as well from "FIA Info" also confirming the DR request.

#### Pre-Testing (Ping testing) - Saturday, September 23, 2023

Clearing Members may complete a pre-test connectivity on Saturday, September 23, 2023 (9:00 AM ET – 12:00 PM ET).

Prior to performing the MQ ping test, clearing members should contact ICE through the ICE Help Desk at (770) 738-2101.

**Clearing members that participate in a Pre / Ping Test must complete and return a Pre-Test Checklist to ICE Clear U.S. Please email the checklist to [iceclearus@ice.com](mailto:iceclearus@ice.com).**

#### Test Day -Saturday, October 14, 2023

Clearing members that need DR test support should contact ICE through the ICE Help Desk at (770) 738-2101t. For trade inquiries, select option #1, then #1, for clearing inquiries, select #2, then #1.

#### Trade Entry

**9:00 AM ET – 12:00 PM ET**

ICE will switch the trading platform to its back-up configuration prior to the test. Clearing Members and traders will be free to enter trades into the WebICE electronic trading platform for Canola, Sugar #11, and/or US Dollar Index products on test day starting at 9:00 AM ET, continuing through 12:00 PM ET. Users will log in using their WebICE production user ID's and passwords.

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### **Post Trade Management**

Trade executions and allocations will be visible in ICE FEC. Clearing members can use this trade data to perform trade updates, give-out allocation, and give-in claim activities. All clearing applications (FEC, ECS, MFT) should be accessed using ICUS production URLs.

### **Trade Messaging**

FIXML MQ Message Traffic – Test trade and give-up activity will be reflected in the FIXML real time trade feed to Clearing Member's designated MQ.

### **File Transfers – MFT**

Match-Off File – Production Match-Off files from the Friday, October 13, 2023, trading day will be available for download within MFT on Test Day.

GCM & Large Trader - Clearing Members can send in GCM and Large Trader files to MFT at any time between 9:00 AM and 12:00 PM ET on test day. These files should be the same production files that clearing members originally sent in for Friday, October 13, 2023, trading day.

ICE Risk Model files will also be available to download through ICUS' MFT application.

### **Back to Production**

#### **12:30 PM ET – 1:30 PM ET**

Production Reconnection – ICUS will reestablish production connectivity to all clearing applications.

#### **1:30 PM ET – 3:30 PM ET**

Verify Clearing Member Connectivity - At the conclusion of the Industry DR test on Saturday, October 14, 2023, ICUS will have technical support available to verify that clearing members have re-established production connectivity.

**Clearing Members are required to complete and return the Test Day checklist upon completion of testing. Please scan, sign, and email the checklist to [iceclearus@ice.com](mailto:iceclearus@ice.com).**

Clearing members that wish to complete a MS Word soft copy of the checklists can contact ICUS to obtain this version.

### **For more information:**

ICE Clear U.S.

[ICEClearUS@ice.com](mailto:ICEClearUS@ice.com)

(212) 748-4001

(312) 836-6777

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**ICE Clear U.S.  
Clearing Member Connectivity Pre-Test Checklist  
Saturday, September 23, 2023**

**Testing Hours:** 9:00 AM ET -12:00 PM ET

**Test Support:** (770) 738-2101; option #2 then #1

**Overview:** The purpose of the ping tests is to allow clearing members to exercise the procedures and system changes necessary to connect to the clearing applications from their backup sites. You will see a login page and/or banner message for each application. You will not need to log in to the applications.

Please complete and sign this form and return a scanned copy by email to ICE Clear U.S, [iceclearus@ice.com](mailto:iceclearus@ice.com).

**Overview:** The purpose of the test is to allow ICUS Clearing Members to exercise procedures and system changes necessary to connect to the clearing applications from a clearing member's primary and backup sites. Users will see a login page and/or banner message for each application.

ICUS Process	Clearing Member Firm Test Location Site	Ping Test Result
FIXML Trade Feed/MQ Channel Ping Channel <a href="http://mq.usclearing.ice.com">mq.usclearing.ice.com</a>	<input type="checkbox"/> Primary <input type="checkbox"/> DR Back Up	<input type="checkbox"/> Completed <input type="checkbox"/> Not Completed

<b>Date:</b>	
<b>Clearing Member Name(s):</b>	
<b>Clearing Member Mnemonic(s):</b>	
<b>Clearing Member Representative:</b>	
<b>Telephone Number:</b>	
<b>Email:</b>	

**Clearing Members must attempt to complete an MQ ping test.** If test is not successfully completed, please indicate the reason in the space below.

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**ICE Clear U.S.  
Clearing Member Test Day Checklist  
Saturday, October 14, 2023**

**Testing Hours:** 9:00 AM ET -12:00 PM ET

**Clearing Test Support:** (770) 738-2101; option #2 then #1

**Trading System Test Support:** (770) 738-2101; option #1 then #1

**Overview:** The purpose of the test is to allow ICUS Clearing Members to exercise procedures and system changes necessary to connect to the clearing applications from a clearing member's primary and/or backup sites. Users will see a login page and/or banner message for each application.

Please complete and sign this form and return a scanned copy by email to ICE Clear U.S, [iceclearus@ice.com](mailto:iceclearus@ice.com).

ICUS Process	Clearing Member Firm Test Location Site	DR Test Result
<b>ICE Platform</b> Enter Trades	<input type="checkbox"/> Primary <input type="checkbox"/> DR Back Up	<input type="checkbox"/> Completed <input type="checkbox"/> Not Completed
<b>Front End Clearing (FEC)</b> Update and/or Mark Trades for Give-Up/Allocate/Claim <a href="https://fec.usclearing.ice.com/">https://fec.usclearing.ice.com/</a>	<input type="checkbox"/> Primary <input type="checkbox"/> DR Back Up	<input type="checkbox"/> Completed <input type="checkbox"/> Not Completed
<b>ECS</b> Login Successfully <a href="https://ecs.usclearing.ice.com/console/index.html">https://ecs.usclearing.ice.com/console/index.html</a>	<input type="checkbox"/> Primary <input type="checkbox"/> DR Back Up	<input type="checkbox"/> Completed <input type="checkbox"/> Not Completed
<b>FIXML Trade Feed/MQ Channel</b> Receive Trade Messages <a href="http://mq.usclearing.ice.com">mq.usclearing.ice.com</a>	<input type="checkbox"/> Primary <input type="checkbox"/> DR Back Up	<input type="checkbox"/> Completed <input type="checkbox"/> Not Completed
<b>MFT File Transfer</b> Connect to MFT using SFTP software Upload LTR, & GCM File / Download Match-Off File <a href="http://mft.usclearing.ice.com">mft.usclearing.ice.com</a>	<input type="checkbox"/> Primary <input type="checkbox"/> DR Back Up	<input type="checkbox"/> Completed <input type="checkbox"/> Not Completed

<b>Date:</b>	
<b>Clearing Member Name(s):</b>	
<b>Clearing Member Mnemonic(s):</b>	
<b>Clearing Member Representative:</b>	
<b>Telephone Number:</b>	
<b>Email:</b>	

**Clearing Members must complete each item.** All items must be completed to merit a successful test. For items not successfully completed, please indicate the reason in the space below.

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