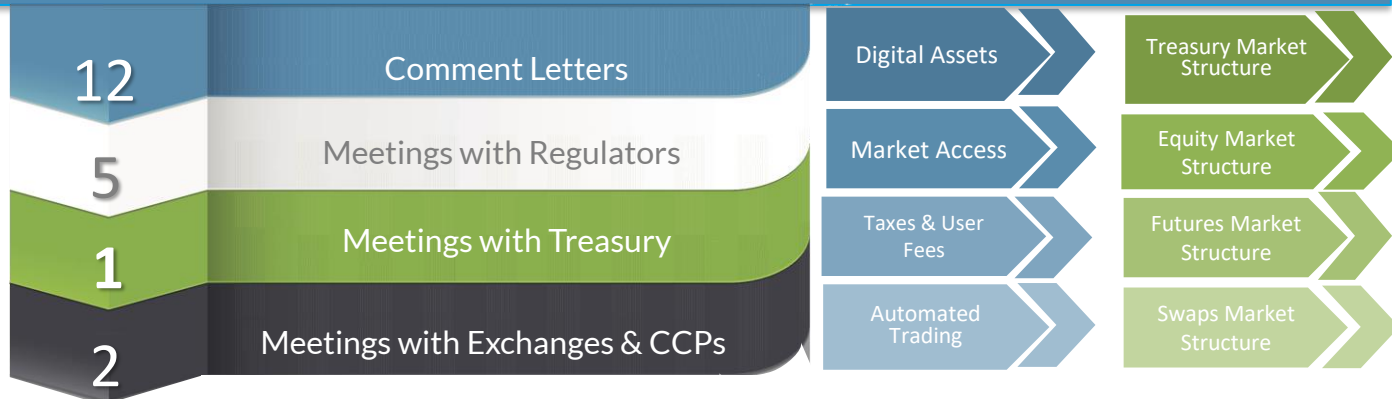


FIA PTG has spent the first seven months of 2023 focused on SEC proposals covering topics including equity market structure, market resilience, cybersecurity and the funding for the Consolidated Audit Trail (CAT). Treasury market structure advocacy continues as numerous proposals from 2022 are still pending. The group continues to closely monitor developments in the digital asset space.

Education & Advocacy Work



Comment Letters

- SEC: [Addition of IntelligentCross ATS to FINRA Alternative Display Facility.](#)
- SEC: [Addition of IntelligentCross ATS to FINRA Alternative Display Facility #2.](#)
- SEC: [Nasdaq Proposed Amendment to Rule 4702.](#)
- SEC: [Equity Market Structure Proposals.](#)
- SEC: [Cybersecurity Risk Management Rule.](#)
- SEC: [Reg SCI Expansion Proposal.](#)
- SEC: [Consolidated Audit Trail Funding Proposal.](#)
- FINRA: [Proposal to Exempt Transactions by PTFs from the Trading Activity Fee.](#)
- TMX: [Amendment to Introduce Alpha-X, Alpha DRK and Amend Alpha's Delay Mechanism.](#)
- FTC: [Non-Compete Clause Rulemaking.](#)
- Congress: [Joint Trade Association Response to FTC Non-Compete Clause Rulemaking.](#)
- IOSCO: [Joint response with FIA EPTA on Global Framework for Digital Assets Consultation.](#)

CFTC Advisory Committee Participation

- Participated in eight CFTC Advisory Committee Meetings .

Media Highlight

- Hosted media roundtable attended by seven publications at FIA Boca Conference.