



**FIA**

**BOCA**

**2023 FIA CONFERENCE**  
**13-16 MARCH | BOCA RATON, FL**

# 2023 Boca Program

## Monday, 13 March

**4:30 p.m. - 6:00 p.m. ET**

### REGISTRATION OPEN

Sponsored by MIAX

**6:00 p.m. - 7:30 p.m. ET**

### COINBASE EVENING RECEPTION

Join us for our first-ever pre-conference networking reception sponsored by Coinbase.

## Tuesday, 14 March

**8:30 a.m. - 9:30 a.m. ET**

### EXCHANGE CHAIRMEN AND PRESIDENTS BREAKFAST (INVITATION ONLY)

Co-sponsored by Korn Ferry and Shearman & Sterling

Asia has never been more exciting, more complex and more enticing. SGX looks forward to reuniting with friends of FIA Boca to give the lowdown on what has taken Asia by storm over the last two years. Join us as we share how we work with the marketplace to cut through the noise, simplify access and innovate for good.

#FutureisInAsia #alwaysopen #trust.

#### Speaker:

*The Honorable Kenneth J. Braithwaite, Rear Admiral, US Navy(ret), 31st US Ambassador to the Kingdom of Norway, 77th US Secretary of the Navy*

**9:00 a.m. - 6:30 p.m. ET**

### REGISTRATION & EXHIBITS OPEN

Sponsored by MIAX

**9:30 a.m. - 6:30 p.m. ET**

### THE MARQUEE IS OPEN

Presented by Trading Technologies in partnership with Ateo, AxeTrading, KRM22 and RCM-X

**10:00 a.m. - 12:00 p.m. ET**

### B3 ARRIVAL REFRESHMENTS

Once you arrive, enjoy coffee, breakfast snacks, and networking with colleagues sponsored by B3.

**12:30 p.m. - 2:30 p.m. ET**

### WELCOME LUNCH

Welcome to the Conference! Enjoy a networking lunch with colleagues!

Sponsored by CME Group

**12:10 p.m. - 12:40 p.m. ET**

### SPONSORED SESSION - COINBASE

#### HOW REGULATION WILL SHAPE THE FUTURE OF CRYPTO

With the regulatory spotlight on crypto, Coinbase experts, alongside industry peers, will discuss the paths of creating workable regulation and what it means for the future of the digital asset landscape and adoption.

#### Moderator:

*John D'Agostino, Senior Institutional Strategist, Coinbase*

#### Speakers:

*Julia Hueckel, Associate General Counsel, Coinbase*

*Brian Quintenz, Head of Policy, a16z crypto*

*Chris Perkins, President, CoinFund*

**12:50 p.m. - 1:20 p.m. ET**

### SPONSORED SESSION - MICROSOFT

#### LONDON STOCK EXCHANGE GROUP AND MICROSOFT: INTELLIGENT DATA AND THE FUTURE OF FINANCIAL MARKETS

Join David Schwimmer, CEO, London Stock Exchange Group (LSEG), and Bill Borden, CVP of WW Financial Services, Microsoft in a dynamic discussion about the future of data and financial markets. In a new strategic partnership, Microsoft and LSEG are focused on delivering next generation data, analytics, and workplace solutions that will transform how firms discover, analyze, collaborate, and transact across the financial markets value chain.

#### Speakers:

*Bill Borden, CVP of WW Financial Services, Microsoft*

*David Schwimmer, CEO, London Stock Exchange Group*

# 2023 Boca Program Continued

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**1:30 p.m. - 2:00 p.m. ET**

## SPONSORED SESSION - MIAX

### MGEX: BUILDING A MODERN FUTURES CLEARING SYSTEM

MIAX is rebuilding the MGEX clearing system using innovative, proprietary technology to create a modern clearing house platform. Join us as we share our vision for the future of our clearing technology, clearing services and modernization of our risk management framework.

#### Moderator:

*John Smollen, Executive Vice President, Head of Exchange Traded Products and Strategic Relations, MIAX*

#### Speakers:

*Sean Barry, Senior Vice President & Chief Information Officer, ADMIS*

*Joseph W. Ferraro III, President, MIAX Futures and Deputy General Counsel, MIAX*

*Lindsay Hopkins, Vice President, Senior Counsel, MGEX*

*JB Mackenzie, Vice President & General Manager - Futures & International, Robinhood*

*Douglas M. Schafer, Jr., Executive Vice President, Director and Chief Information Officer, MIAX*

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**2:30 p.m. - 3:30 p.m. ET**

## MIAX COFFEE & CONNECTIONS

Enjoy coffee and refreshments with colleagues sponsored by MIAX.

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**1:30 p.m. - 2:00 p.m. ET**

## SPONSORED SESSION - TRADING TECHNOLOGIES

### TT 2023: FROM TRADING SCREEN EVOLUTION TO PLATFORM REVOLUTION

Since being acquired by 7RIDGE in late 2021, Trading Technologies has transformed from a trading screen provider serving professional futures traders to the multi-asset-class, end-to-end, technology platform company for the global capital markets industry. Learn how TT is building the operating system of capital markets and forging into new markets and asset classes through strategic partnerships, acquisitions and organic growth.

#### Speakers:

*Nick Garrow, EVP Multi-Asset & Buy Side, Trading Technologies*

*Jason Shaffer, EVP Product Management, Trading Technologies*

*Keith Todd, Chief Executive Officer, Trading Technologies*

*Carsten Kengeter, Co-Founder, 7RIDGE & Chairman, Trading Technologies*

*Jose Lopez, Director - North America, ATEO*

*Stephen Casner, CEO, KRM22*

*Joe Signorelli, Managing Director, RCM-X, a subsidiary of Trading Technologies*

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**2:50 p.m. - 3:20 p.m. ET**

## SPONSORED SESSION - SGX GROUP

### UNCOVERING THE PULSE OF ASIA

Join an interactive discussion on industry trends and topics in Asia. Gain a live market perspective with SGX Group and Monetary Authority of Singapore (MAS).

#### Speakers:

*Craig Cohen, Head of America Sales, Global Sales & Origination, SGX Group*

*Lim Cheng Khai, Executive Director, Financial Markets*

*Development Department, Monetary Authority of Singapore*

*Paul Macchione, Senior Director, Global Sales & Origination, SGX Group*

*Ding Meiyuan, Senior Director, Co Head Derivatives Product Management, Equities, SGX Group*

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**3:30 p.m. - 3:45 p.m. ET**

## OPENING REMARKS

In his traditional welcome address, Walt Lukken sets the scene for Boca 2023 and shares his views on some topical issues.

#### Speaker:

*Walt Lukken, President & CEO, FIA*

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**3:45 p.m. - 5:15 p.m. ET**

## EXCHANGE LEADERS ROUNDTABLE

In a dynamic and engaging dialogue, leaders from major exchange groups around the world will discuss challenges and opportunities for exchange-traded markets and the role of their respective organizations in the coming years.

#### Moderator:

*Bob Pisani, Senior Markets Correspondent, CNBC*

#### Speakers:

*Nicolas Aguzin, CEO, Hong Kong Exchanges and Clearing Limited*  
*Stephane Boujnah, CEO and Chairman of the Managing Board, Euronext*

*Terry Duffy, Chairman and Chief Executive Officer, CME Group*

*Adena Friedman, Chair and Chief Executive Officer, Nasdaq*

*Loh Boon Chye, Chief Executive Officer, SGX Group*

*Michael Peters, Chief Executive Officer, Eurex Frankfurt AG*

*David Schwimmer, Chief Executive Officer, London Stock Exchange Group*

*Jeff Sprecher, Chair & Chief Executive Officer, ICE*

*Edward Tilly, Chairman and Chief Executive Officer, Cboe Global Markets*

# 2023 Boca Program Continued

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**5:15 p.m. - 6:30 p.m. ET**

## **MICROSOFT COCKTAIL HOUR**

Enjoy networking and refreshments with conference colleagues and visit conference exhibitors sponsored by Microsoft.

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**6:30 p.m. - 8:30 p.m. ET**

## **OPENING RECEPTION**

Kick off your conference experience with networking and refreshments among conference colleagues, sponsors, and exhibitors.

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**8:30 p.m. - 10:30 p.m. ET**

## **SGX GROUP LATE NIGHT EVENT**

Join the reception sponsored by SGX Group and enjoy a gold and glamorous networking experience to close out day one of Boca!

## **Wednesday, 15 March**

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**6:30 a.m. - 7:30 a.m. ET**

## **AXONI BOOT CAMP**

Join your fellow attendees bright and early for a morning workout featuring bursts of intense activity alternating with intervals of lighter activity. This workout includes functional fitness, such as using whole body, multijointed exercises that simulate movements people do in life. This boot camp will challenge you no matter what your fitness level.

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**7:30 a.m. - 6:30 p.m. ET**

## **REGISTRATION OPEN**

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**8:00 a.m. - 9:00 a.m. ET**

## **ICE ENERGY BREAKFAST**

Join former U.S. Senator and businesswoman, Kelly Loeffler as she hosts a fireside chat with Democratic strategist and ABC News contributor, Donna Brazile and Wall Street Journal columnist and former White House Deputy Chief of Staff to George W. Bush, Karl Rove to discuss the current environment in Washington and the 2024 Presidential Election.

### **Moderator:**

*Kelly Loeffler, Fmr. U.S. Senator, Fmr. CEO, Bakkt, And SVP, Intercontinental Exchange*

### **Speakers:**

*Donna Brazile, Democratic Strategist, ABC News contributor and former Chair of the Democratic National Committee*  
*Karl Rove, Wall Street Journal Columnist and former White House Deputy Chief of Staff to George W. Bush*

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**9:00 a.m. - 6:15 p.m. ET**

## **EXHIBITS OPEN**

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**9:05 a.m. - 9:30 a.m. ET**

## **CFTC KEYNOTE ADDRESS & FIRESIDE CHAT**

In this annual keynote address, CFTC Chairman Rostin Behnam sets out his current priorities for the US regulator and shares his thoughts on topical issues.

### **Moderator:**

*Walt Lukken, President and CEO, FIA*

### **Speaker:**

*Rostin Behnam, Chairman, Commodity Futures Trading Commission*

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**9:35 a.m. - 10:20 a.m. ET**

## **THE BIG PICTURE**

The Industry has experienced a number of key events in the past year which have led to a reappraisal of the core principles supporting robust, safe and transparent markets. This panel of industry leaders will consider some of the lessons learned from these developments and assess the impact on cleared derivatives.

### **Moderator:**

*Richard Berliand, Chairman of TP ICAP Group and FIA Tech*

### **Speakers:**

*Trabue Bland, Senior Vice President, Futures Exchanges, ICE*  
*Alicia Crighton, Co-Head of Global Futures, Goldman Sachs & Co. LLC*  
*Don Wilson, CEO and Co-Founder, DRW Holdings*  
*Michael Winnike, Director - Head of US Market Structure, BlackRock*

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**10:20 a.m. - 10:50 a.m. ET**

## **NETWORKING BREAK**

*Boca Café sponsored by Eurex*

*The Marquee presented by Trading Technologies in partnership with Ateo, AxeTrading, KRM22 and RCM-X*

Enjoy networking and refreshments with conference colleagues.

# 2023 Boca Program Continued

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**10:55 a.m. - 11:40 a.m. ET**

## ENHANCING REGULATORY OVERSIGHT

Regulators consider the current key targets for enhanced regulatory oversight and how best to achieve it – including crypto, commodities, climate risk, and non-financial intermediaries.

### Moderator:

*Jacqueline Mesa, Chief Operating Officer & Senior Vice President of Global Policy, FIA*

### Speakers:

*Ugo Bassi, Director Financial Markets, DG FISMA, European Commission*

*Sasha Mills, Executive Director, Financial Market Infrastructure, Bank of England*

*Abigail Ng, Executive Director, Markets Policy & Infrastructure Department, Monetary Authority of Singapore*

*Caroline Pham, Commissioner, Commodity Futures Trading Commission*

*Michael Voisin, Partner, Linklaters LLP*

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**11:40 a.m. - 12:25 p.m. ET**

## BACK TO BUSINESS CASUAL: HOW CRYPTO IS REBUILDING BY FOCUSING ON REAL-WORLD PROBLEMS

In the wake of the crypto hype cycle fading, there's a belief that blockchain has underdelivered. The builders and entrepreneurs that remain must now demonstrate their solutions address actual problems. This panel will explore some of the most ambitious endeavors currently underway in distributed finance.

### Moderator:

*Dave Olsen, Jump Trading Group*

### Speakers:

*John D'Agostino, Senior Institutional Strategist, Coinbase*

*Kristin Johnson, Commissioner, Commodity Futures Trading Commission*

*John Palmer, President, Cboe Digital*

*Dawn Song, Founder, Oasis Labs*

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**12:30 p.m. - 2:00 p.m. ET**

## DTCC KEYNOTE LUNCH

### Introduction by:

*Frank La Salla, President & CEO, DTCC*

### Speaker:

*Ian Bremmer, President, Eurasia Group and GZERO Media*

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**2:10 p.m. - 2:40 p.m. ET**

## EXPERT INSIGHTS - THE OUTLOOK FOR DIGITAL ASSETS POLICY: GREATER CLARITY OR MORE CONFUSION?

It's been one year since the Biden Administration published its Executive Order on Ensuring Responsible Development of Digital Assets, a year which has seen several significant crypto firm failures and declines in crypto prices. What about overall policy and regulation? Have the objectives of the executive order been advanced? Has there been too much "regulation by enforcement" as the crypto industry says, or too little, as some in Congress assert? What is the outlook for legislation on crypto generally or stablecoins? What is the outlook for CBDC development?

### Moderator:

*Allison Lurton, General Counsel and Chief Legal Officer, FIA*

### Speaker:

*Timothy Massad, Research Fellow, Harvard Kennedy School Mossavar-Rahmani Center for Business and Government and Director, M-RCBG Digital Assets Policy Project*

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**2:40 p.m. - 3:24 p.m. ET**

## THE MARKET OF THE FUTURE

What is the right market structure for the future? What are the challenges of achieving key goals such as real-time risk management and true 24/7 operations? This panel will consider the principles that govern the sound functioning of markets and how to encourage innovation without compromising on market safety.

### Moderator:

*Rob Creamer, President and CEO, Geneva Trading*

### Speakers:

*Jonathan Kellner, CEO, MEMX*

*Jerome Kemp, President, Baton Systems*

*JB Mackenzie, Vice President & General Manager - Futures & International, Robinhood*

*Liam Smith, Head of Corporate Strategy, Optiver*

*Julie Winkler, Chief Commercial Officer and Senior Managing Director, CME Groups*



# 2023 Boca Program Continued

**3:25 p.m. - 4:10 p.m. ET**

## **BUILDING INDUSTRY RESILIENCE**

The cyberattack on a key technology supplier has raised questions around resilience and exposure to the risk of failure – for whatever reason – of a systemically important part of the industry's infrastructure. Speakers consider the lessons learned from the recent incident, approaches to mitigate against such risk in the future, and examine whether regulators will be taking a closer look at oversight of key technologies going forward.

### **Moderator:**

*Don Byron, SVP – Head of Global Industry Operations and Execution, FIA*

### **Speakers:**

*Christy Goldsmith Romero, Commissioner, Commodity Futures Trading Commission*

*Randolf Roth, Member of the Executive Board, Eurex*

*Keith Todd, Chief Executive Officer, Trading Technologies*

*Tom Wagner, Managing Director, SIFMA*

**4:10 p.m. - 4:40 p.m. ET**

## **NETWORKING BREAK**

*Boca Café sponsored by Eurex*

*The Marquee presented by Trading Technologies in partnership with Ateo, AxeTrading, KRM22 and RCM-X*

Enjoy networking and refreshments with conference colleagues.

**4:45 p.m. - 5:30 p.m. ET**

## **FIA HALL OF FAME CEREMONY**

Join us to celebrate the latest inductees to the FIA Hall of Fame. Since 2005, FIA has celebrated and recognized significant contributions individuals have made to the listed and cleared derivatives community through the Hall of Fame.

**5:30 p.m. - 6:15 p.m. ET**

## **FIA HALL OF FAME RECEPTION**

*Co-Sponsored by R.J. O'Brien, TSIImagine*

**6:30 p.m. - 8:30 p.m. ET**

## **BOCA NITE OUT**

*Co-Sponsored by Cboe Digital, Citadel Securities, CME Group, Exegy, MEMX, Nasdaq, NinjaTrader, OCC, Tradeweb Markets, Wells Fargo*

Unwind your second day at Boca with the continuation of a great conference tradition. Enjoy giveaways, refreshments, and networking with conference attendees.

## **Thursday, 16 March**

**7:00 a.m. - 8:00 a.m. ET**

## **FIA TECH FUN RUN**

**7:30 a.m. - 1:00 p.m. ET**

## **REGISTRATION OPEN**

**8:30 a.m. - 9:30 a.m. ET**

## **MICROSOFT NETWORKING BREAKFAST**

**9:30 a.m. - 10:00 a.m. ET**

## **EXPERT INSIGHTS - SPONSORSHIP TO HELP DRIVE DEI**

Sponsorship is an important tool for driving diversity, equity and inclusion in organizations. Learn about steps to take to drive a culture of sponsorship in your organization and create a more equitable and inclusive workplace.

### **Speaker:**

*Keisha Bell, Managing Director - Head of Talent Management and Diversity, Equity & Inclusion, DTCC*

**10:05 a.m. - 10:50 a.m. ET**

## **MANAGING PRICE HIKES AND VOLATILITY**

The volatility experienced on the back of the war in Ukraine, especially in commodities markets, created stresses in the system. This panel of exchanges and market participants considers the tools available to the industry to manage these stresses and how best to avoid a repeat of the experiences of 2022.

### **Moderator:**

*Jan Bart de Boer, Global Chief Commercial Officer and Board Member, ABN AMRO Clearing Bank*

### **Speakers:**

*Roland Chai, Executive Vice President, Marketplace Technology, Nasdaq*

*Chris Edmonds, Chief Development Officer, ICE*

*Troy Kane, Global Head of Derivatives and FICC Development, Citadel Securities*

*Summer Mersinger, Commissioner, Commodity Futures Trading Commission*

*Peter Reitz, CEO, European Energy Exchange AG*

# 2023 Boca Program Continued

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**10:50 a.m. - 11:10 a.m. ET**

## **NETWORKING BREAK**

*Boca Café sponsored by Eurex*

*The Marquee presented by Trading Technologies in partnership with Ateo, AxeTrading, KRM22 and RCM-X*

Enjoy networking and refreshments with conference colleagues.

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**11:15 a.m. - 12:00 p.m. ET**

## **THE OUTLOOK FOR COMMODITIES - THE IMPACT OF CLIMATE RISK**

The response to global climate change has led to a growth in trading of new commodity products - such as metals used in electric vehicles, carbon offsets, new energy/power products - while also impacting more traditional sectors. This panel will look at how these products will fare as climate becomes an increasing focus for financial markets.

### **Moderator:**

*Nelson Neale, President, CHS Hedging*

### **Speakers:**

*Amanda Cain, Head of Agency Commodities, Societe Generale*

*Robin Martin, Head of Market Development, London Metal Exchange*

*Dan Scarbrough, Co-Founder, President & COO, IncubEx*

*Guy Wolf, Global Head of Market Analytics, Marex*

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**12:00 p.m. - 1:00 p.m. ET**

## **NETWORKING LUNCH**

*Co-Sponsored by ION Group, Taiwan Futures Exchange, TMX | Montréal Exchange*

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**1:00 p.m. - 2:00 p.m. ET**

## **GOLF CLINIC**

*Sponsored by BSO*

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**1:00 p.m. - 5:30 p.m. ET**

## **CHARITY GOLF EVENT BENEFITTING FUTURES FOR KIDS**

*Title Sponsor HKEX*

*Co-Sponsored by ABN AMRO Clearing, Barclays, CQG, Eventus and Genesis Global*

A Boca tradition returns with the Charity Golf Tournament. Join your colleagues for fun round robin style play on The Boca Raton Golf Course benefitting Futures for Kids.

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**5:30 p.m. - 7:00 p.m. ET**

## **CLOSING RECEPTION**

*Co-Sponsored by Architect Financial Technologies Inc & FIA*

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**7:00 p.m. ET**

## **CONFERENCE ENDS**

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