

Memo

TO: Clearing Members

FROM: MGEX Clearing & Market Operations

SUBJECT: FIA Disaster Recovery Test – Pre-Testing of Connectivity

DATE: August 12, 2022

The Minneapolis Grain Exchange (“MGEX”) will participate in the industry-wide Futures Industry Association www.fia.org, (“FIA”) sponsored Disaster Recovery (“DR”) test on Saturday, October 15, 2022. During the test, U.S. futures exchanges, clearing houses, Futures Commission Merchants, back-office providers, and data vendors will simultaneously test connectivity and functionality between DR sites. **All MGEX Clearing Members** are required to participate in the testing, including the pre-testing described in this memo, and failure to do so may result in disciplinary action.

In addition to any ad hoc testing firms may wish to engage in to prepare for the FIA DR test, MGEX will hold a required pre-test for Clearing Members. This test will occur on September 10th, 2022 from 10:00 a.m. to 2:00 p.m. CST. Participation in this test is mandatory for all Clearing Members. The purpose of pre-testing is to ensure firms are able to connect to MGEX’s DR servers and address any problems that arise prior to the October 15th test date.

If your firm has not already done so, please register for the test on the FIA website at <https://secure.fia.org/bcp/test-registration.asp>. MGEX will provide further information regarding the October 15th test in the upcoming weeks.

Testing Components:

There are multiple components to this pre-testing, each of which must be fully tested by your firm on or before the September 10th test date. First, all firms must connect to the MGEX DR Remote Desktop Server (RDS). Please note that although the DR RDS is running, users will not be able to log into the DR30 AS400/TEMS system until the actual

test date. Second, all firms must connect and download any previous TRX file from the MGEX DR FTP using the same login and password information as production and the information detailed below. Optionally, to assist with troubleshooting connectivity to the MGEX DR systems, you may ping the DR site using the address listed below.

MGEX DR RDS: 208.42.238.103 / dr-rds.mgex.com Does not respond to ping.

MGEX DR FTP: 208.42.238.105 / dr-ftp.mgex.com Does not respond to ping.

MGEX DR Ping: 208.42.238.100

Required Responses and Contact Information:

Please contact Lindsay Hopkins at (612) 321-7143 or lhopkins@mgex.com by Friday, August 26th to confirm your participation in the pre-testing or if you have any questions concerning the test or participation. Please include your contacts for who will be participating in the test. MGEX will be following-up with firms next week to ensure your receipt of this memo and test plans. Upon completing the pre-test, send an email to lhopkins@mgex.com and apilon@mgex.com confirming completion of such test and if testing was successful or not. If testing was not successful, please note any issues.

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