



# EXPO

2021 FIA CONFERENCE  
2-4 NOVEMBER | HILTON CHICAGO

# 2021 Expo Program

## Tuesday, November 2

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**16:00 CDT**

**REGISTRATION OPENS & BADGE PICK UP**

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**18:00 CDT**

**FIA EXPO WELCOME HAPPY HOUR**

FIA welcomes attendees back to Expo Pick up your Expo conference badge at 8th Street Registration and then head to the lobby bar. Show your badge to have a beverage and snack on FIA and mingle with conference attendees.

## Wednesday, November 3

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**8:30 CDT**

**REGISTRATION OPENS AND WELCOME COFFEE**

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**9:15 CDT**

**OPENING REMARKS**

FIA President and CEO Walt Lukken opens the conference with an overview of current industry developments and priorities.

Speaker:

*Walt Lukken, President & CEO, FIA*

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**9:30 CDT**

**UK REGULATED EXCHANGES**

Join FIA President and CEO Walt Lukken in a dynamic discussion with CFTC Commissioner Dawn DeBerry Stump as she shares her insights and perspectives on current and likely priorities for the regulator.

Speaker:

*Dawn DeBerry Stump, Commissioner, CFTC*

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**10:00 CDT**

**EXHIBITS OPEN**

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**10:00 CDT**

**EXCHANGE LEADERS PANEL**

The return, in person, of the popular exchange leaders panel, addressing topical issues, opportunities and challenges.

Moderator:

*Jacqueline Mesa, Chief Operating Officer & Senior Vice President of Global Policy, FIA*

Speakers:

*Terry Duffy, Chairman and Chief Executive Officer, CME Group*  
*Jeff Sprecher, Chairman & CEO, Intercontinental Exchange | ICE*  
*and Chairman, New York Stock Exchange*

*Edward Tilly, Chairman, President and Chief Executive Officer, Cboe Global Markets*

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**11:00 CDT**

**EXHIBITS AND NETWORKING BREAK**

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**11:15 CDT**

**ROUNDTABLE ON OPERATIONAL EFFICIENCIES**

A dynamic discussion on how the industry can come together to agree on practical solutions to address core operational challenges.

Moderator:

*Claire Unterseher, Equities Operations Global Head of Transformation, Chicago Site Lead for Global Clearing Operations, J.P. Morgan*

Speakers:

*Russell Abramson, Managing Director, Bank of America*  
*Scott Andersen, Director, Head of Americas Listed Derivatives, Societe Generale*

*Christopher S. Edmonds, Global Head of Clearing & Risk, Intercontinental Exchange | ICE*

*Louis Rosato, Director of Global Investment Operations for Strategy and Industry Engagement, BlackRock.*

*Brian Sayers, Executive Director, Post-Trade Services & Risk, CME Group*

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**11:30 CDT**

**M&M DEFEND APP: REAL TIME RESPONSE FOR LAW ENFORCEMENT AND REGULATORY INQUIRIES - SPONSORED BY MURPHY & MCGONIGLE**

In this presentation, two white collar defense attorneys and former federal prosecutors will provide guidance on legal rights and strategies to employ when interacting with law enforcement and regulatory officials. They will also introduce the M&M Defend app, Murphy & McGonigle's free proprietary smart phone app that prepares individuals, businesses and in-house counsel for a variety of legal crises, and address the challenges facing financial industry actors.

Speakers:

*Steven D. Feldman, Shareholder, White Collar Defense, Investigations & Compliance Counseling Practice, Murphy & McGonigle*

*Robertson Park, Shareholders, White Collar Defense, Investigations & Compliance Counseling Practice, Murphy & McGonigle*

# 2021 Expo Program

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**12:00 CDT**

## KEYNOTE LUNCH

Award-winning journalist, author and CNN Chief International Correspondent Clarissa Ward will share her observations gathered from an outstanding career covering world conflict, with insight into female resilience, bravery, and fear and lessons to be learned.

Speaker:

*Clarissa Ward, Chief International Correspondent, CNN*

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**14:00 CDT**

## EVOLVING TECHNOLOGIES AND THEIR LASTING IMPACTS

The global pandemic has had a huge impact on working practices within financial markets, with large numbers of staff operating remotely away from their usual workplaces. Fintech developments such as cloud computing, chatbots, AI and distributed ledger appear to have come into their own within this environment. This discussion will consider the impact these have had from pre-execution through to post trade and the extent to which they are permanently changing the way capital markets function.

Moderator:

*Kimberly Trautmann, Head of DRW Venture Capital, DRW Holdings*

Speakers:

*Patrick Flannery, CEO, MayStreet*

*Arjun Jayaram, Founder and CEO, Baton Systems*

*Larry List, Head of North America, VoxSmart*

*Dan Schleifer, Co-Founder & Chief Executive Officer, Cosaic*

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**14:30 CDT**

## FIRESIDE CHAT WITH CHRIS GIANCARLO

Former CFTC Chairman J. Christopher Giancarlo talks to FIA President and CEO Walt Lukken about his new book, *CryptoDad: The Fight for the Future of Money*.

Moderator:

*Walt Lukken, President and CEO, FIA*

Speakers:

*Hon. J. Christopher Giancarlo, Author of CryptoDad: The Fight for the Future of Money (Wiley)*

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**14:45 CDT**

## EXHIBITS AND NETWORKING BREAK

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**15:00 CDT**

## TREASURIES CLEARING

This panel brings together a range of views to consider the impact that clearing may have had on the functioning of

the US Treasuries market in March 2020, including both the potential benefits and the pitfalls.

Moderator:

*Allison Lurton, Chief Legal Officer & General Counsel, FIA*

Speakers:

*Laura Klimpel, Managing Director, General Manager FICC, and Clearing Agency Business Development Head, DTCC*

*Jonah Platt, U.S. Head of Government & Regulatory Policy, Citadel Securities*

*Wyatt Russo, CFA, Market Structure & Strategic Partnerships, Goldman Sachs & Co.*

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**15:15 CDT**

## INNOVATOR PITCH #1: ARTERIA AI

In this fast-paced session, Arteria AI will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. Toronto-based Arteria AI, is a modular, AI-enabled, data-first, digital-documentation solution that offers organizations data-driven contracting and processing. Learn how this enhances the document generation, negotiation, workflow, execution, and extraction processes that organizations face daily to remove risk, speed up time, and allow for a deeper understanding of the data within documents.

Speakers:

*Abrar Huq, Co-Founder and Chief Revenue Officer, Arteria AI*

*Brent Taylor, Head of Sales, Arteria AI*

Judges:

*Rahul Amin, Americas Head of Electronic ETD and Agency FX Execution, UBS*

*Sach Chitnis, Co-Founder and Partner, Jump Capital*

*Toby Joy, Chief Strategy Officer, Akuna Capital*

*George Kalant, Venture Capital Lead, Chicago Trading Company*

*Esther Tian, Partner, Broadhaven Capital Partners*

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**15:30 CDT**

## INNOVATOR PITCH #2: FARMERS RISK

In this fast-paced session, Farmers Risk will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. Farmers Risk is software that aggregates critical risk management pillars that farmers have at their disposal to manage risk. It also allows farmers to execute low-cost futures and options trades directly from their risk dashboard, providing farmers the information needed to make decisions and the tools to act upon them.

Speaker:

*Dakota Hoben, Co-Founder and CEO, Farmers Risk*

# 2021 Expo Program

## Judges:

*Rahul Amin, Americas Head of Electronic ETD and Agency FX Execution, UBS*

*Sach Chitnis, Co-Founder and Partner, Jump Capital*

*Toby Joy, Chief Strategy Officer, Akuna Capital*

*George Kalant, Venture Capital Lead, Chicago Trading Company*

*Esther Tian, Partner, Broadhaven Capital Partners*

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## 15:45 CDT

### INNOVATOR PITCH #3: STABLE

In this fast-paced session, Stable will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. Stable is changing the way the world manages volatile commodity prices as the only provider of customized price risk management strategies across global commodity markets. Stable's products allow clients to select from one of over 7,000 indexes, making hedging simple by eliminating basis and correlation risk.

## Speakers:

*Chris Roeder, Head of Sales, Stable*

*Jim Sullivan, Commercial Director, Stable*

## Judges:

*Rahul Amin, Americas Head of Electronic ETD and Agency FX Execution, UBS*

*Sach Chitnis, Co-Founder and Partner, Jump Capital*

*Toby Joy, Chief Strategy Officer, Akuna Capital*

*George Kalant, Venture Capital Lead, Chicago Trading Company*

*Esther Tian, Partner, Broadhaven Capital Partners*

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## 16:00 CDT

### INNOVATOR PITCH #4: DATABENTO

In this fast-paced session, Databento will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. Databento provides market data APIs with a pay-as-you-go, self-service model. We let you customize real-time feeds as well as download batch files from petabytes of historical data, and only pay for what you use, replacing upfront data expenses with low variable costs that scale with your business.

## Speaker:

*Christina Qi, CEO, Databento*

## Judges:

*Rahul Amin, Americas Head of Electronic ETD and Agency FX Execution, UBS*

*Sach Chitnis, Co-Founder and Partner, Jump Capital*

*Toby Joy, Chief Strategy Officer, Akuna Capital*

*George Kalant, Venture Capital Lead, Chicago Trading Company*

*Esther Tian, Partner, Broadhaven Capital Partners*

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## 16:15 CDT

### INNOVATOR PITCH #5: STX

In this fast-paced session, STX will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. STXapp.io is a unique peer-to-peer sports betting platform that will offer traditional financial instruments. On STX, the public will determine the odds on their favorite wagers in a truly free market.

## Speaker:

*Justin Deutsch, CEO and Founder, STX*

## Judges:

*Rahul Amin, Americas Head of Electronic ETD and Agency FX Execution, UBS*

*Sach Chitnis, Co-Founder and Partner, Jump Capital*

*Toby Joy, Chief Strategy Officer, Akuna Capital*

*George Kalant, Venture Capital Lead, Chicago Trading Company*

*Esther Tian, Partner, Broadhaven Capital Partners*

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## 16:30 CDT

### TRANSITIONING BACK TO THE OFFICE

As the industry maps its way back to normalcy post pandemic, we look at the key challenges to managing a return to the office.

## Moderator:

*Elizabeth Davis, Chair and Shareholder, Murphy & McGonigle, P.C.*

## Speakers:

*Caitlin Doyle, Senior Legal Counsel, IMC Trading*

*Kara Dutta, Assistant General Counsel, ICE*

*Heather Rucci, Global Chief Human Resources Officer, R.J. O'Brien & Associates*

*Carol Wooding, Senior Vice President and General Counsel, NFA*

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## 17:00 CDT

### NETWORKING RECEPTION

Wrap up the first day of Expo at the networking reception on the trade show floor. Meet with exhibitors, learn about the Innovators and reconnect with the cleared derivatives community.

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## 18:30 CDT

### EXHIBITS CLOSE

# 2021 Expo Program

## Thursday, November 4

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**8:30 CDT**

### REGISTRATION OPENS AND WELCOME COFFEE

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**9:30 CDT**

### KEYNOTE FIRESIDE CHAT

Moderator:

*Walt Lukken, President & CEO, FIA*

Speaker:

*Sam Bankman-Fried, Chief Executive Officer, FTX*

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**10:00 CDT**

### EXHIBITS OPEN

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**10:15 CDT**

### THE RISE OF RETAIL

The growth in participation of retail investors in financial markets has an impact across the spectrum, including, increasingly, futures markets. The session will look how this new flow is impacting FCMs and wholesale futures markets.

Moderator:

*Bob Fitzsimmons, EVP, Fixed Income, Commodities, and Stock Lending, Wedbush*

Speakers:

*Neal Brady, CEO, FairX*

*Paul Jiganti, Managing Director of Business Development & Market Structure Strategy, IMC*

*Dan Ryba, Executive Director, E\*TRADE*

*Karen K. Wuertz, Senior Vice President, External Affairs & Communications, NFA*

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**10:45 CDT**

### GREENWOOD PROJECT - ADVANCING INCLUSIVE OPPORTUNITIES FOR THE FUTURE

This session highlights the accomplishments of the Greenwood Project whose mission is to create career pathways in the financial services industry for Black and Latinx students and partner with companies to find equitable and inclusive solutions for recruitment, retention, and advancement. Two alumni of the program join co-founder and Executive Director, Bevon Joseph, to discuss the systemic barriers to entry for people of color in the financial services industry and how Greenwood Project helps talented, ambitious, and hard-working students-of-color overcome these obstacles.

Moderator:

*Bevon Joseph, Executive Director and Co-Founder, Greenwood Project*

Speakers:

*Chris Holloway, Advisory Associate, Vivaldi Capital Management, Inc. and Greenwood Project Alum*

*Sydney Taylor, Analyst, Goldman Sachs and Greenwood Project Alum*

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**11:00 CDT**

### EXHIBITS AND NETWORKING BREAK

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**11:15 CDT**

### INNOVATOR PITCH #6: PARADIGM

In this fast-paced session, Paradigm will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. Paradigm is the global network for crypto traders, powered by unified services and institutional-grade infrastructure. Paradigm provides a single point of access for: instant liquidity, complex and custom trade functionality, private negotiations, liquidity aggregation, riskless execution, automated price discovery and instant settlement across leading exchanges and venues.

Speakers:

*Micki Koonin, Co-Founder and Head of Operations, Paradigm*

*Jonathan Pollack, Principal Product Manager, Paradigm*

Judges:

*Jamil Nazarali, Global Head of Business Development, Citadel Securities*

*Craig Robertson, Head of FCM and Electronic Execution, Barclays*

*Tito Shirley, Co-Head of Product Development, Global Futures and OTC Clearing, Goldman Sachs*

*Liam Smith, Head of Corporate Strategy, Optiver US*

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**11:30 CDT**

### NEW FUTURES PRODUCTS AND MARKETS

A range of new products is emerging in the futures industry, including trading on sports and events. We consider the regulatory landscape, the appetite for these products and the prospects for success.

Moderator:

*JB Mackenzie, President & CEO, Charles Schwab Futures and Forex LLC*

Speakers:

*Dean Carlson, Head of Digital Asset Investments, Susquehanna International Group LLP*

*Alex Kane, Founder and CEO, Sporttrade*

*Neal Kumar, Partner, Willkie Farr & Gallagher*

*Travis McGhee, Chief Executive Officer, Nadex*



# 2021 Expo Program

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**11:30 CDT**

## **INNOVATOR PITCH #7: TALOS**

In this fast-paced session, Talos will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. Talos has built an institutional-grade infrastructure that connects the diverse group of participants involved in the crypto-asset market structure – institutional investors, prime brokers, exchanges, OTC desks, lenders, and custodians – through a single point of entry. This streamlines the entire trading process, eliminates unnecessary intermediary risk, and provides institutions a clear path to best execution.

Speaker:

*Alfonse Mandese, Head of Sales and Business Development, Talos*

Judges:

*Jamil Nazarali, Global Head of Business Development, Citadel Securities  
Craig Robertson, Head of FCM and Electronic Execution, Barclays  
Tito Shirley, Co-Head of Product Development, Global Futures and  
OTC Clearing, Goldman Sachs  
Liam Smith, Head of Corporate Strategy, Optiver US*

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**11:45 CDT**

## **INNOVATOR PITCH #8: X-MARGIN**

In this fast-paced session, X-Margin will introduce its innovation and explain its relevance to the cleared derivatives industry. After the presentation there will be a short Q&A with a panel of expert judges. X-Margin facilitates data-driven lending for any pool of capital. It uses privacy preserving computations and proofs to attest to a trading firm's real-time creditworthiness, while maintaining the privacy of their sensitive data. As a provably unbiased arbitrator of their risk, X-Margin can also programmatically restrict the movement of borrowed funds to improve security of the loans. Disintermediating the flow of credit can ensure capital efficiency and yield generation at scale.

Speaker:

*Darshan Vaidya, Chief Executive Officer, X-Margin*

Judges:

*Jamil Nazarali, Global Head of Business Development, Citadel Securities  
Craig Robertson, Head of FCM and Electronic Execution, Barclays  
Tito Shirley, Co-Head of Product Development, Global Futures and  
OTC Clearing, Goldman Sachs  
Liam Smith, Head of Corporate Strategy, Optiver US*

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**12:15 CDT**

## **EXHIBITS AND NETWORKING LUNCH**

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**12:30 CDT**

## **A DIALOGUE WITH WOMEN ENTREPRENEURS**

Join WILD for a discussion with Karey Baum, founder of Pegasus Commodities, LLC, and Liz Cook, CME Board of Directors, as they discuss opportunities & challenges for women-run businesses.

Speakers:

*Liz Cook, Board of Directors, CME*

*Karey Baum, Pegasus Commodities, LLC*

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**13:15 CDT**

## **SUSTAINABILITY/ESG AND MARKETS**

Concern over environmental risk has grown substantially in recent years and financial markets have responded with the development of new products and services. We look at the role futures exchanges and FCMs in this emerging asset class, along with the growth in investor interest in ESG products.

Moderator:

*Sabeena Ahmed Liconte, Head of Legal and Compliance, BOCI  
Commodities & Futures, a member of Bank of China Group*

Speakers:

*Trabue Bland, President, ICE Futures US, Intercontinental Exchange Inc.*

*Michael Greenstone, Co-Founder of Climate Vault and Milton*

*Friedman Distinguished Service Professor in Economics at the  
University of Chicago*

*Daniel Scarbrough, Founder, President, and COO, INCUBEX*

*Elizabeth Turner, Director of Index Product Management, Qontigo*

*Jack Velasquez, Director of Environmental Markets North America, Marex*

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**13:30 CDT**

## **IN CONVERSATION WITH LEO MELAMED – MAN OF THE FUTURES**

FIA President and CEO Walt Lukken talks to industry luminary Leo Melamed about his life and career and the events recounted in his latest book that shaped the development of futures markets globally. Delegates will also have the opportunity to purchase a signed copy of Man of the Futures.

Speakers:

*Walt Lukken, President and CEO, FIA*

*Leo Melamed, Chairman and CEO, Melamed & Associates, Inc. and  
Chairman Emeritus, CME Group, Inc.*

# 2021 Expo Program

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**14:00 CDT**

## EXHIBITS AND NETWORKING BREAK

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**14:30 CDT**

### CRYPTO – THE REGULATORY LANDSCAPE

As regulators in the US continue to develop their approaches to oversight of crypto markets, how will this affect the development of products and platforms in the future?

Moderator:

*Kyle Glenn, Director of Government Relations, FIA*

Judges:

*Kristin Boggiano, Co-Founder & President, CrossTower*

*Kari Larsen, Partner and Co-Lead of the Blockchain, Digital Asset, and Custody Group, Perkins Coie LLP*

*Chris Zuehlke, Partner and Global Head of Cumberland, DRW*

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**15:15 CDT**

## EXHIBITS AND NETWORKING BREAK

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**15:15 CDT**

### INNOVATORS AWARD CEREMONY

Join FIA for the announcement the 2021 FIA Innovator of the Year Award as selected by the judges following a series of pitches during Expo. FIA will also announce the winner of this year's 'people's choice' award, determined by votes cast by Expo attendees.

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**15:45 CDT**

### CRYPTO – MARKET STRUCTURE

The session will look at the development of market structure in the crypto space and the services that surround it include venues, brokers, data and surveillance.

Moderator:

*Rob Creamer, President & Chief Executive Officer, Geneva Trading*

Speakers:

*Luke Hoersten, CEO, Bitnomial, Inc.*

*David Nuelle, Managing Director, Hehmeyer Nortide*

*David Rosu, Head of Futures Electronic Trading, Americas, Barclays*

*Renata Szkoda, Director, Galaxy Digital*

*Matt Trudeau, Chief Operating Officer, ErisX*

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**17:00 CDT**

## EXHIBITS HALL CLOSES, CONFERENCE CONCLUDES

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**18:30 CDT**

### FIA CARES GREAT TASTES OF CHICAGO BENEFITTING THE GREATER CHICAGO FOOD DEPOSITORY

Wrap up your Expo 2021 experience by giving back! FIA is hosting the Great Tastes of Chicago benefitting the Greater Chicago Food Depository on 4 November 2021 at the Hilton Chicago. Make the most of this final networking opportunity at Expo 2021 to connect with executives from the futures, options and centrally cleared derivatives community all while providing valuable financial support to help feed those in need. This year's event features three tasting stations highlighting quintessential Chicago dining experiences. Explore flavors inspired by the stockyards, Little Italy and Pilsen.