

Small Exchange 2021 DR Test

Overview/Registration

On October 23, 2021, the Futures Industry Association (FIA) will conduct its annual Disaster Recovery Test, in which the Small Exchange will participate. Although the Disaster Recovery (DR) Test is optional, we strongly encourage our members and participants to participate. The test connectivity and activity will occur in the Small Exchange's disaster recovery site at Equinix NY2.

To take part in the Small Exchange DR Test, you will need to register with the FIA using the link below and to select "Small Exchange". Prior to the DR Test on October 23rd, the Small Exchange will host mandatory pre-test connectivity tests. The Small Exchange pre-test dates are August 28th, September 11th and September 25th from 9:00 AM to 12:00 PM CT. When registering with the FIA, you must select one of these pre-test dates, after which a representative from Small Exchange's IT Department will be in contact with additional information.

<https://portal.fia.org/meetings/individualregistration.aspx?ID=4765>

Test Schedule

The expected test schedule on October 23rd will be as follows (times in Central Time):

8:00 AM: Connectivity to Small Exchange is available
9:00 AM: Pre-open
9:30 AM: Mock trading session begins
12:00 PM: Mock trading session closes

Test Activities

All production symbols will be available for order entry during this mock trading session. Test participants who have completed pre-testing will be allowed to connect, submit orders, and receive market data for the duration of the test. The trade date for all transactions and on all exchange messages will be the actual test date, October 23, 2021. The following scenarios will be tested and verified during the DR test:

- Connectivity
- Pre-Open
- Market open
- Order routing (trade activity)
- Market data dissemination
- Clearing

- Daily settlement price dissemination

Additional Information

If you are not currently connected to the Small Exchange's DR site directly and would like to be, we'd be happy to facilitate. Please note, you do not need to be directly connected to our DR environment to participate in this test.

Please contact us if you have any questions or need assistance.

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