

**Request for CLE Credits for the FIA Law and Compliance Division
L&C 2021 Virtual**

Wednesday, April 28, 2021		Time	Length (Credit)
Session 1	Enforcement Trends	10:30am - 11:30am	60 minutes LIVE (1)
Session 2	What is Keeping Compliance Awake at Night?	12:00pm - 1:00pm	60 minutes LIVE (1)
Session 3	Managing Climate Risk in the Global Financial Markets	1:30pm - 2:30pm	60 minutes LIVE (1)
Session 4	Regulation in Transition	3:00pm - 4:00pm	60 minutes LIVE (1)
Thursday, April 29, 2021		Time	Length (Credit)
Session 5	Market Abuse and Disruptive Trading	9:00 am - 10:00am	60 minutes LIVE (1)
Session 6	The Regulation of Digital Assets -It's a Mad, Mad, Mad (Regulatory) World	10:30am - 11:30am	60 minutes LIVE (1)
Session 7	Conducting and Defending an Investigation from Home	2:00pm - 3:00pm	60 minutes LIVE (1)
Friday, April 30, 2021		Time	Length (Credit)
Session 8	Ethics: Use of Zoom and Social Media	9:30 am - 10:30am	60 minutes LIVE (1)
Session 9	Position Limits (Once More with Feeling)	11:00am - 12:00pm	60 minutes LIVE (1)
Session 10	SEC Issues Affecting the CFTC World	12:30pm - 1:30pm	60 minutes LIVE (1)
Session 11	Pandemic Lessons	2:00pm - 3:00pm	60 minutes LIVE (1)
On Demand Sessions - These sessions, as well as the "Live" sessions will be available to all attendees, via recordings, for 30 days after the live conference has ended.			Length (Credit)
Session 12	Futures 101		60 minutes (1)
Session 13	What is Dogecoin? A Case Study to Understand DLT, Crypto Assets, DeFI and NFTs		60 minutes (1)
Session 14	Mechanics of Clearing and Settlement		60 minutes (1)
Session 15	Libor: Where Are We and Where Are We Going?		60 minutes (1)
Session 16	Brexit and Other Global Developments		60 minutes (1)
Session 17	Agency Model v. Principal Model: Distinction without a Difference?		60 minutes (1)
Session 18	US Access to International Products		60 minutes (1)
Session 19	Reporting and Recordkeeping		60 minutes (1)
Session 20	CPO/CTA/Investment Adviser Issues		60 minutes (1)
Session 21	Negotiating Client Documentation		60 minutes (1)
Session 22	Market Volatility and Impact on the Clearing Systems		60 minutes (1)
Session 23	FIA Training: What Brokers, Trading Firms and Vendors Need to Know About Spoofing		60 minutes (1)
Session 24	Negotiating CFTC and Exchange Settlements		60 minutes (1)

Total Minutes:

Live Sessions

660 minutes divided by 60 equals 11, of which 1 is Ethics credit if the Ethics session was attended
660 minutes divided by 50 equals 13.2, of which 1 is Ethics credit if the Ethics session was attended

On Demand

780 minutes divided by 60 equals 13 credits
780 minutes divided by 50 equals 15.5 credits

Live Sessions and On Demand - If all offered sessions are attended.

1,440 minutes divided by 60 equals 24 credits
1,440 minutes divided by 50 equals 28.5 credits