



**MCLE ATTENDANCE VERIFICATION FORM**

*Please submit your completed form (Part A and B) as instructed below by Sunday, November 8, 2020.*

**PART A**

|                      |  |
|----------------------|--|
| <b>PROGRAM:</b>      | <i>FIA Law and Compliance Virtual Conference</i> |
| <b>DATE / TIME:</b>  | <i>7-9 October 2020 / _____</i>                  |
| <b>CLE PROVIDER:</b> | <i>Futures Industry Association</i>              |

FIA can provide CLE certificates for Virginia and Illinois as a registered provider in those jurisdictions. Virginia offers reciprocity with other jurisdictions, including New York. Attorneys who intend to seek CLE credits in jurisdictions other than Illinois or Virginia should request CLE accreditation in Virginia below, and then separately seek CLE credit through their respective jurisdiction’s reciprocity procedures.

Kindly e-mail this completed form to [bthompson@fia.org](mailto:bthompson@fia.org) by Sunday, November 8, 2020 for processing. A Certificate of Attendance will be sent to your email within 10 business days from receipt of your completed verification.

**PLEASE COMPLETE ALL FIELDS TO RECEIVE CLE CREDIT**

|  |  |                      |
|--|--|----------------------|
| <b>ATTORNEY’S NAME and EMAIL ADDRESS</b>     | NAME: _____  |                      |
|  | EMAIL ADDRESS: _____   |                      |
| <b>CERTIFICATION OF PARTICIPATION</b>        | I certify that I received the course materials and participated in the session(s) designated in Part B for the durations indicated. Therefore, I request that I be awarded the applicable number of CLE credits approved for the session(s) for the jurisdiction(s) indicated below:<br><b>Please initial here _____</b> |                      |
| <b>JUSRIDJCTION REQUESTED FOR CLE CREDIT</b> | <input type="checkbox"/> ILLINOIS  | ARDC Number: _____   |
|  | <input type="checkbox"/> VIRGINIA  | VA Bar Number: _____ |

# MCLE ATTENDANCE VERIFICATION FORM

## PART B

PLEASE RECORD, BELOW, ALL SESSIONS ATTENDED WITH THE APPROPRIATE CLE CODES FOR EACH SESSION

Name: \_\_\_\_\_

State CLE is required in (*check as applicable*):  Illinois  Virginia

If Illinois is Required – Bar #: \_\_\_\_\_

**Time in and time out must include time zone.**

**Each session has two unique codes, and both must be inserted if seeking credit for the full session.**

| SESSION NAME   | DATE VIEWED | TIME IN | TIME OUT | CODES                  |
|--|-------------|---------|----------|------------------------|
| Enforcement: Recent Actions/<br>Developments/Trends & What It Means to You |             |         |          | #1: _____<br>#2: _____ |
| Clearing 101   |             |         |          | #1: _____<br>#2: _____ |
| Fintech and Advanced Crypto Issues for<br>Non-Techies (and Techies too)    |             |         |          | #1: _____<br>#2: _____ |
| Covid Update From NFA  |             |         |          | #1: _____<br>#2: _____ |
| Trading Desk Issues  |             |         |          | #1: _____<br>#2: _____ |
| CCP Risk   |             |         |          | #1: _____<br>#2: _____ |
| This Time You Really Were Hacked!  |             |         |          | #1: _____<br>#2: _____ |
| Ethics   |             |         |          | #1: _____<br>#2: _____ |
| How to Respond to Exchange Inquiries:<br>First, Don't Panic!               |             |         |          | #1: _____<br>#2: _____ |
| Diversity & Inclusion: We're All in This<br>Together                       |             |         |          | #1: _____<br>#2: _____ |
| Electronic and Automated Trading   |             |         |          | #1: _____<br>#2: _____ |
| Foreign Security Futures   |             |         |          | #1: _____<br>#2: _____ |

Other Jurisdictions Requested:

*Continued on next page*

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### PART B *(continued)*

| SESSION NAME  | DATE VIEWED | TIME IN | TIME OUT | CODES                  |
|---|-------------|---------|----------|------------------------|
| If at first you don't succeed, REFIT, REFIT again                                       |             |         |          | #1: _____<br>#2: _____ |
| Around the World in 60 Minutes: Global Developments                                     |             |         |          | #1: _____<br>#2: _____ |
| CPO/CTA Update  |             |         |          | #1: _____<br>#2: _____ |
| Schoolhouse Rock! APA/Rulemaking Process  |             |         |          | #1: _____<br>#2: _____ |
| Reporting Requirements  |             |         |          | #1: _____<br>#2: _____ |
| Part 190 Bankruptcy   |             |         |          | #1: _____<br>#2: _____ |
| Cleared Margin  |             |         |          | #1: _____<br>#2: _____ |
| Commodities: Take it to the Limit ... One More Time!                                    |             |         |          | #1: _____<br>#2: _____ |
| CCO Reports and Risk Management Programs  |             |         |          | #1: _____<br>#2: _____ |
| Clearing Up Uncleared Margin  |             |         |          | #1: _____<br>#2: _____ |
| Surveillance  |             |         |          | #1: _____<br>#2: _____ |
| Industry Standard Documentation, Give-Up Agreements, EFP Agreements, Control Agreements |             |         |          | #1: _____<br>#2: _____ |

Other Jurisdictions Requested:

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