FIND YOUR FOCUS
Welcome to FIA’s 34th Annual Expo!

Every year, this conference continues to grow and develop with more informative programming, thought leadership, exciting exhibitors and networking opportunities. At Expo 2018, we’re focusing on innovation, sustainable growth and building competitive markets, and you’ll see that reflected in the sessions and across the exhibition floor over the next three days.

For the fourth year in a row, we’re hosting the FIA Innovators Pavilion, a showcase for 15 fintech startups providing exciting new and potentially disruptive products and services for the cleared derivatives industry. I encourage you to attend our Meet the Innovators panel on Wednesday and hear from some of these companies directly. Other forward-looking sessions will address digital assets, the next generation of futures traders and workforce and executive diversity.

Our program will also feature discussions on the topics that matter most to your businesses, including CCP risk, Libor transition, cross-border regulation, and swap market reform. And, as always, you’ll hear about industry trends from exchange leaders and clearinghouse executives.

We are joined by an incredible group of speakers this year, including CFTC Chairman J. Christopher Giancarlo and newly minted Commissioner Dan Berkovitz. Our keynote speaker this year is Jeremy Heimans, who will tell us about “new power” and how that is changing an already hyperconnected world.

Whether you are an emerging leader or a seasoned executive, the Expo Learning Lab provides you with the chance to explore valuable topics driving the futures and cleared derivatives industry. In addition, our dynamic trade show features more than 130 exhibitors showcasing products, services and solutions for the industry.

Our commitment to charity and giving back continues with the Great Chicago Steak Out benefiting the Greater Chicago Food Depository. Since 2008, we’ve raised $3.8 million to help feed those in need, so please join us and help us push over the $4 million mark!

I hope you find Expo to be insightful, engaging and educational, and I look forward to our discussions over the next few days!

Walt Lukken, President and CEO, FIA

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THE FOUNDATION FOR SECURE MARKETS

#FIAEXPO | 3
## WEDNESDAY, OCTOBER 17, 2018

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<td>8:45 a.m.</td>
<td>Opening Remarks</td>
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<td>9:00 a.m.</td>
<td>CFTC Keynote Address</td>
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<td>9:30 a.m.</td>
<td>Exchanges: Building for a Future of Growth and Innovation</td>
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<td>Exhibit Halls Open</td>
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<td>Networking Break</td>
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<td>11:15 a.m.</td>
<td>Shattering the Glass Ceiling</td>
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<td>L&amp;C: U.S. Law &amp; Compliance Hot Topics</td>
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<td>Meet the Innovators</td>
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<td>12:30 p.m.</td>
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<td>2:00 p.m.</td>
<td>Preparing CCPs for a New Regulatory Reality</td>
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<td>L&amp;C: The Impact of EU Regulation on U.S. Markets</td>
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<td>Entrants, Exits and Consolidation: The Ever-Changing Derivatives Landscape</td>
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<td>Libor Transition: Building Liquidity for the Next Generation of Interest Rate Benchmarks</td>
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<td>L&amp;C: Enforcement Update</td>
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<td>Reinventing Futures for a New Generation</td>
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<td>CME Group Reception (VENUE SIX10)</td>
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<td>Time</td>
<td>Industry Issues &amp; Initiatives</td>
<td>Digital Assets</td>
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<td>One-on-One with CFTC Commissioner Berkovitz</td>
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<td>9:15 a.m.</td>
<td>Beltway, Brexit, and Beyond</td>
<td>Digital Assets: The Institutional Investor Perspective</td>
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<td>12:30 p.m.</td>
<td>12:30 - 1:00 p.m.</td>
<td>Innovator of the Year Award Ceremony</td>
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<td>Swaps Reform: The Story So Far</td>
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<td>4:00 p.m.</td>
<td>FIA Operations Americas Presents: Managing Post Trade Operational Risk</td>
<td>Impact of Trade Policy on Agricultural Markets</td>
<td>Extracting Value from Regulatory Data</td>
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<td>4:00 p.m.</td>
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<tr>
<td>5:15 p.m.</td>
<td>Panel Sessions Conclude</td>
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<td>6:30 p.m.</td>
<td>FIA Cares Great Chicago Steak Out Dinner Reception</td>
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10:00 a.m. - 6:00 p.m.
4:00 p.m. - 6:00 p.m. Taste of Expo

THURSDAY, OCTOBER 18
10:00 a.m. - 4:00 p.m.
12:00 p.m. - 1:00 p.m. Networking Lunch

See page 7 for Exhibitor List.
latch with the latest ultra-low latency, high-performance network solutions: we will show demos on how to gain network visibility with picosecond-precise timestamping, tapping & tap aggregation; how to improve nanosecond trading connectivity and how to leverage triple-FPGA technology to run your trading applications. Come by.

ASX  
ASX is the first major financial market open every day, one of the world’s top 10 listed exchange groups, and with a $53 trillion listed derivatives market that is the largest in Asia and among the biggest globally. Visit us on Booth 914.

AVELACOM  
www.avelacom.com
We offer low latency connectivity and IT infrastructure solutions. We help to setup at global exchanges, achieve true real-time market data and order routing, shift to multi-venue trading and find more arbitrage opportunities. We partner with 50+ exchanges. Our solutions can be applied for equity, FX, cryptocurrency and derivatives markets.

B3 - BRAZILIAN EXCHANGE AND OTC  
Come join us at our booth for a cup of Brazilian Coffee, and learn more about the Brazilian Exchange and B3 – Brazilian Exchange and OTC is a world-class financial market infrastructure company and one of the world’s largest companies by market value. We are a Brazilian financial company with a strong worldwide presence. B3’s scope of activities includes the creation and management of trading systems, clearing, settlement, deposit and registration for the main classes of securities, from equities, corporate fixed income securities to currency derivatives, structured transactions and interest rate, and agricultural commodities. B3 also acts as a central counterparty for most of the trades carried out in its markets and offers central deposit- ity and registration services.

BATON SYSTEMS  
www.batonsystems.com
Visit Baton Systems to learn about our fast and efficient payment solutions that increase return on capital, while reducing processing times and driving down operational costs. We do this by deploying the latest technologies to deliver easy integration across existing systems, while ensuring that security and performance are never compromised.

BCAUSE LLC  
www.bcause.com
This is where the crypto world grows up: Bcause LLC is the world’s first full-stack cryptocurrency ecosystem with mining, spot trading, custody, derivatives and clearing. Mining began in 2018 and other services will follow in quick succession pending regulatory approval. Be part of Bcause.

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www.broadridge.com
Stop by our booth to talk global real-time derivatives clearing & settlement and learn about our single, integrated platform that works across asset classes in more than 70 markets worldwide.
BSO  www.bsonetwork.com  BOOTH 714
Visit BSO at booth #714 to meet the winners of the Best Low-Latency & High-Performance Network Provider award two years running as voted for by the finance community. Discover more about low-latency connectivity, RF technology, colocation and more! Stop by booth #714 for a cutting-edge experience.

CASSINI SYSTEMS  cassinisystems.com/  BOOTH 123
Come Visit Cassini Systems at Booth #123 to learn about how to clean up your Margin and Collateral costs. You will have the chance to win an extremely unique prize! We look forward to meeting you.

CBOE GLOBAL MARKETS  www.cboe.com  BOOTH 421

CDW  www.cdw.com  BOOTH 511
CDW is a leading provider of technology solutions and services with a dedicated team supporting the financial services industry. For more than 30 years, CDW has consistently helped banks, credit unions, capital markets and specialty financial services companies assess and align their IT infrastructures to fit their unique needs.

CIARA, a Hypertec Brand  www.ciara-tech.com  BOOTH 711
CIARA, a Hypertec Brand, founded in 1984, is a global technology provider that specializes in the design, engineering, manufacturing, integration, deployment, support and recycling of cutting-edge IT products. With its vast range of products and services including desktops, workstations, servers and storage, HPC products, high-frequency servers, OEM, colocation and recycling services, CIARA is considered to be one of the largest system manufacturers in North America and the only provider capable of offering a total hardware lifecycle management solution. The company’s products are employed worldwide by organizations small to large in the sectors of finance, engineering, cloud, m&e and government.

CINNOBER GROUP | MINIUM | JLN  www.cinnober.com  BOOTH 314
Leading exchange, clearing and risk technology provider Cinnober Group, with subsidiary Minium, has partnered up with the team at John Lothian News. There will be ongoing discussions and filmed interviews on industry challenges and opportunities. Welcome to booth 314 to share your thoughts and opinions with us and the industry!

CMDTY  www.barchart.com/solutions/cmdty/  BOOTH 707

CME Group  www.cme.com  BOOTH 807

CORVIL  www.corvil.com  BOOTH 715
Corvil is the gold standard for machine-time analytics and intelligence for optimizing execution quality, client experience, operational performance, and cybersecurity for electronic trading businesses. Leading financial institutions trust Corvil to optimize and safeguard their businesses with a daily transaction value in excess of $1 trillion.

CQG, INC.  www.cqg.com  BOOTH 326
Stop by the CQG booth, #326 located in Salon D, to learn about new products and features. And don’t miss out on our trading challenge…use the CQG mobile app to try your hand at trading. We’ll have prizes for each days’ winners based on profit & loss.

DALIAN COMMODITY EXCHANGE  www.dce.com.cn  BOOTH 221
Visit our booth (No.221) to learn more about Dalian derivatives market.

DEEPHAVEN DATA LABS  www.deephaven.io/  BOOTH 908
Build alpha, not infrastructure, with a time series data platform that delivers. Deephaven is a complete data system that empowers your team to build analyses, models, algos, reports, and dashboards very quickly, all while churning through massive real-time, historical, and alternative data sets. Deephaven: the platform for innovation. (Booth 908)

DEMAND DERIVATIVES  www.demandderivatives.com  BOOTH 1605

DIGITAL REALTY  www.digitalrealty.com  BOOTH 907
Digital Realty supports the data center and colocation of more than 2,300 firms across its secure, network-rich portfolio of data centers located throughout North America, Europe, Asia and Australia. Digital Realty’s clients range from cloud and information technology services, communications to financial services, manufacturing, energy, healthcare, and consumer products.

DTCC  www.dtcc.com  BOOTH 227
DTCC will be onsite at this year’s FIA Expo and attendees can meet with the team representing our broader business as well as solutions that support various needs within the global derivatives market, including the Global Trade Repository, GlobalCollateral Ltd., GMEI and DTCC Data Services. Stop by stand 227 to find out more.

DTN  www.dtn.com  BOOTH 223
DTN offers high-quality data, analysis and trading tools available in integrated and easy-to-use tools, to boost profitability and give a competitive edge, for the front-, mid-, and back-office. DTN empowers customers with intelligent and actionable insights that exceed their expectations and enable their success on a daily basis.
EXHIBITORS

ENYX  
www.enyx.com  
Visit Enyx at Booth 318 for more information on our trading products for capital markets -- nxAccess, our ULL tick-to-trade solution allowing trading strategies to be embedded into the FPGA for sub-microsecond performance -- nxFeed, our FPGA-enabled, full featured feed handler and nxLink, the industry standard for wireless link bandwidth management.

EOX LIVE  
www.eoxlive.com  
EOXlive Active Markets provide transparency, pre-trade intelligence, and unmatched liquidity for commodities markets. EOX Holdings LLC, a subsidiary of OTC Global Holdings, is registered as an Introducing Broker (IB) with and is a member of the National Futures Association. EOX also offers unique and comprehensive market data and IB services.

ERIS  
www.erisfutures.com  
Visit booth 1007 and learn more about Eris USD Swap Futures. Eris starts trading on CME Globex as CME Group contracts on December 2nd! If you trade CME Globex products today, then Eris Swap Futures will be a seamless addition to your existing trading.

EUREX EXCHANGE  
www.eurexchange.com  
Eurex Exchange. Your gateway to Europe. As one of the leading derivatives exchanges, we operate one of the most liquid euro-based fixed income markets, cover the broadest range of equity index derivatives worldwide and are the platform of choice for European single name derivatives. All on one single platform. Eurex Clearing is one of the leading central counterparties and serves about 200 Clearing Members in 19 countries, managing a collateral pool of EUR 45 billion. Eurex Exchange is part of Deutsche Börse Group. For further information, please visit www.eurexchange.com.

EXABLAZE  
www.exablaze.com  
Exablaze is a world leading supplier of end-to-end, ultra-low latency networking products. Our products are deployed globally and used daily in mission critical trading systems. Our customers include large financial institutions, proprietary trading funds, telecommunications providers, defence and military organisations, and advanced research institutions. Exablaze’s products include low latency switches, multiplexers, and network adapters, high precision timing, capture, and measurement equipment, FPGA application devices and an extensive FPGA IP library. Come talk to us at FIA EXPO to learn how our latest products are powering the fastest and best global trading networks.

FIS  
www.fisglobal.com  
Visit FIS to learn more about trading and trade processing in a global derivatives market. We have experts available and ready to discuss the latest industry trends and how we can help you succeed. Be sure and stop by booth 905 to enter our raffle for an Amazon Echo.

FIA  
www.fia.org  
FIA is the leading global trade organization for the futures, options and centrally cleared derivatives markets with offices in Brussels, London, Singapore and Washington, DC. FIA’s mission is to support open, transparent and competitive markets, protect and enhance the integrity of the financial system and promote high standards of professional conduct. Stop by our booth to learn about current initiatives, products and services, including FIA Training.

GLOBALRISK CORPORATION  
www.globalrisk.com  
GlobalRisk is the provider of FirmRisk: the industry’s leading market risk management system for over 25 years. FCMs, brokerages, exchanges, regulators and trading groups all use this scalable system to manage portfolio risk across currencies and product classes – equities, futures, swaps, options and fixed income - by providing a centralized analysis hub for all of your data sources. Create risk alerts, portfolio stress tests, what-if analysis, margin, VaR, high and low altitude reports for the firm and your customers all in real-time. FirmRisk is customized to meet your firms’ specific risk management processes and requirements. Call 312-588-1300 or email info@globalrisk.com.
GREENBERG TRAURIG, LLP
www.gtlaw.com
Visit Greenberg Traurig (Booth #411) to learn how we counsel clients in all aspects of their regulated activities, and help to navigate the increasingly complex statutory and regulatory web that governs all aspects of their business.

GTI
BOOTH 313
www.gtt.net
GTI operates a Tier 1 global IP network, offering a comprehensive suite of cloud networking services to any location in the world. GTI serves many of the world’s largest global banks and investment firms with Ultra Low Latency and wide-area networking services. For more information on GTI, please visit www.gtt.net.

GX2 SPREAD MARKETS LLC
BOOTH 104
www.gx2spreadmarkets.com/
Visit GX2 Spread Markets to learn a refreshing approach to Spread Execution and Treasury Aggregation. GX2 Spread Markets is focused on providing the most efficient method of spread execution services in global electronic markets. GX2’s unique offering of an aggregated Treasury marketplace and spread execution free of leg risk in electronically traded markets is the cornerstone of our operation.

HKEX AND LONDON METAL EXCHANGE
BOOTH 520
www.lme.com
Visit HKEX and the London Metal Exchange (HKEX Group) at booth 520 to meet the teams and hear about the new contracts and trading opportunities available on both exchanges. While you’re here, have a go at our ‘Maze Raider’ video game and see if you can top the leaderboard!

HUATAI FUTURES CO., LTD.
BOOTH 107
www.huataiusa.com
Explore business opportunities with Chinese investors and learn more about China market through Huatai USA! We can create comprehensive and tailored solutions for individual and institutional clients, perfect for those trading in global derivative markets. While you’re here, pick up your own custom tote bag, charging cable, or phone stand!

HUISHANG FUTURES CO., LTD.
BOOTH 212
en.hsqh.net
Visit Huishang Futures for the New China-U.S. Futures Trading Opportunities focusing on the China Crude Oil Futures and Iron Ore Futures, China’s first two futures contract available to foreign participants. Discuss the Contract details, trading rules, and the exciting trading opportunities. So stop by booth 212 to learn more!

ILLINOIS INSTITUTE OF TECHNOLOGY STUART SCHOOL OF BUSINESS
BOOTH 710
stuart.iit.edu
Visit the Stuart School of Business (Booth 710) to connect with our Career Management Center and learn more about graduate program offerings. Let us know how the Stuart School can help you identify the right candidates for your organizational needs and take home one of our annual Expo t-shirts!

IMAGINE SOFTWARE
BOOTH 109
www.imaginesoftware.com
Meet the Imagine Software team in Salon D, booth 109. Join us to see demos of our technology solutions for cross-asset Real-Time Risk & Compliance and our Cloud Margin Optimization Engine – and to discuss the benefits of risk-enhanced data feeds. Imagine’s t-shirt won’t last long, stop by early.

INFORMATICA
BOOTH 119
www.informatica.com
Drop by Informatica’s booth #119 to hear about some exciting innovations for the Ultra Messaging family of high-performance messaging products, including receive-side multi-threading (XSP) and new Topic Resolution (SRS). Looking forward to seeing you there!

Bottled Water Stations
Quench your thirst with ICE cold water, located throughout the conference venue.
EXHIBITORS

THE INSTITUTE FOR FINANCIAL MARKETS
www.theifm.org
Established in 1989, the Institute for Financial Markets (IFM) is a nonpartisan, nonprofit educational foundation and an independent affiliate of the FIA. The IFM seeks to increase public awareness and understanding of the importance of financial markets and the financial service industry to the global economy. In advancement of its mission, the IFM produces educational publications, funds research, offers e-learning and live courses. The IFM also partners with universities to deliver conferences on Capitol Hill that focus on smart regulations for policymakers, regulators and market participants.

INTERCONTINENTAL EXCHANGE INC. (ICE)
www.intercontinentalexchange.com
Intercontinental Exchange (NYSE: ICE) is a Fortune 500 company that operates the leading network of global futures and equity exchanges and provides world class clearing, data and listing services across commodity and financial markets. The New York Stock Exchange is the world leader in capital raising and equities trading. Formed in 2000, ICE’s electronic trading platform brought more transparency and accessibility to the OTC energy markets. Soon after, ICE added global futures and cleared OTC markets across virtually all asset classes, data services and clearing houses. With a culture of growth through client service and innovation, ICE’s global network and state-of-the-art technology solutions serve the dynamic needs of market participants around the world. Today, ICE is an ecosystem of 12 exchanges, 6 clearing houses as well as global data and listings services that combine to provide access to global capital and derivatives markets.

INTERNATIONAL COMPUTER CONCEPTS INC.
www.icc-usa.com

IPC SYSTEMS, INC.
www.ipc.com/
Visit IPC at booth #416 to learn more about our communication & network solutions for the financial community and how we connect opportunities. Spin the IPC prize wheel for a chance to win free, cool stuff! We look forward to seeing you at booth #416!

ITIVITI
www.itiviti.com
All trading firms, banks and brokers need to visit Itiviti, the world-leading technology provider for multi-asset trading and financial infrastructure solutions for global capital markets. Learn how to rely on our technology, solutions and expertise to streamline daily operations, to gain a sustainable competitive edge in global markets.

IXIA A KEYSIGHT BUSINESS
www.ixiacom.com
Ixia delivers a combination of innovative solutions and trusted insight to support your network and security products, from concept to operation. Whether you are preparing your product for launch, deploying an application, or managing a product in operation, we offer an extensive array of solutions.

KOREA EXCHANGE
global.krx.co.kr

KRM22
www.krm22.com
Visit KRM22 in Booth 912 to learn about the ProOpticus Universal Risk Management software. Meet the experts with 25 years of experience behind the brand new 6.0 version of our multi-asset class, post execution risk platform and our new trading platform designed for “smart taker” volatility traders.

LEVEL TRADING FIELD LLC
leveltradingfield.com
LevelTradingField.com is a financial platform for trading, research and financial analytics. We have expanded our offering to include CADE (Crypto Asset Derivative Exchange), a professional grade exchange for both institutional investors and retail investors looking to trade derivative tokens on cryptocurrency assets.

LOMBARD RISK
www.lombardrisk.com
Lombard Risk, a Vermeg company, is the leading dedicated global provider of regulatory reporting and collateral management solutions to the financial services industry. Through intelligent automation and optimisation, our clients are able to improve their approach to risk management, gaining the agility they need to have a competitive advantage.

LONDON STOCK EXCHANGE GROUP
www.lseg.com/
Stop by and visit London Stock Exchange Group at booth 327 to learn more about our products. We’ll have representatives from FTSE Russell, CC&G and International and Italian derivatives experts from our LSEDM & IDEM teams. We look forward to talking to you!

LXDX
lxdx.co
The fastest ultra-low latency cryptocurrency exchange technology in existence, only from LXDX. Come take a test drive and learn how to get access.

MATBA – ROFEX
MATba and ROFEX are the two Futures and Options Exchanges in Argentina. MATba specializes in agricultural commodities and ROFEX, in financial contracts. Since 2017 both trading platforms are interconnected so that all products are available for trade to all members of both organizations.
EXHIBITORS

MBOCHIP
www.mbochip.com
Visit MBOCHIP to learn more about Brazilian markets and our top-notch connectivity APIs using FPGAs. We are also excited to launch in FIA Expo the new CME tick-to-trade API. Each afternoon we will be serving Brazilian cachaça, so stop by booth 510 and enjoy!

MCKAY BROTHERS & QUINCY DATA
www.mckay-brothers.com
Visit McKay Brothers and Quincy Data at Booth 317 to learn about Private Microwave Bandwidth and our Low Latency Market Data services. Stop by and say hello.

MCOBJECT
financial.mcoobject.com/
McObject developed eXtremeDB for HPC for trading, risk management, compliance, and other financial systems. Designed to process vast amounts of real-time/historical market data within rigorous time constraints, eXtremeDB shattered performance and latency records in STAC tests. eXtremeDB delivers high developer productivity with faster time-to-deployment and lower total-cost-of-ownership.

MEXDER, MEXICAN DERIVATIVES EXCHANGE
www.mexder.com.mx
Stop by booth 810. Learn how you can be part of this world. Where the doors of opportunity are ready to be opened. To a 'local' marketplace for trading futures & options and Cleared OTC Interest Rate Swaps on Mexican benchmarks. #MexDer_Asigna @BMVMercados

MINNEAPOLIS GRAIN EXCHANGE, INC.
www.mgx.com
Come visit MGEX at the 2018 FIA Expo located at booth 804. The Exchange will provide you with all you need to know about Hard Red Spring Wheat. Don’t forget to grab some great giveaways, too!

MONEY.NET
www.money.net/

MOSCOW EXCHANGE
www.moex.com/en/
Moscow Exchange Group manages Russia’s main trading platform for equities, bonds, derivative instruments, currencies, money market instruments and commodities. The Group includes Russia’s central securities depository (National Settlement Depository), and National Clearing Centre, performing the functions of central counterparty on the markets, which allows Moscow Exchange to offer its clients the full spectrum of trading and post-trading services. Moscow Exchange ranks among the world’s top 25 exchanges by total volume of equities traded, and among the ten largest exchanges for bond and derivative trading. It is also Russia’s primary venue for raising funds via the capital markets.

NALLATECH AND BITTWARE
www.bittware.com/

NANHUA USA LLC
www.nanhua-usa.com
Nanhua USA Futures is a registered FCM with a strong Chinese parent company. Visit us to learn more about the Chinese and global markets and Nanhua USA Future will serve as your gateway to the world’s markets! So, stop by booth 211 to say hi!

NASDAQ
business.nasdaq.com/futures
Nasdq (Nasdaq: NDAX) is a leading global provider of trading, clearing, exchange technology, listing, information and public company services. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today’s global capital markets. As the creator of the world’s first electronic stock market, its technology powers more than 100 marketplaces in 50 countries, and 1 in 10 of the world’s securities transactions. Nasdaq is home to approximately 4,000 total listings with a market value of approximately $14 trillion. To learn more, visit: business.nasdaq.com

NEOVEST OEMS
neovest.com

NETCOPE TECHNOLOGIES
www.tradecope.com
Visit booth 321 to see how easy is programming FPGAs with Netcope Technologies. We’ll demonstrate how a C++ developer can program your trading strategy into FPGA without hardware knowledge while still achieving latency under 500 nanoseconds. Get a chance to obtain free SW evaluation of Tradecope, ultra low-latency trading solution.

NETXPRESS
www.netxpressllc.com/
Visit NetXpress to learn more about our co-location services, global backbone, and layer 1 distribution. Ask us anything during your visit! We are truly transparent and will openly share any info about our network architecture. Plus, you’ll receive a cigar, hand rolled at our booth!

NFA
www.nfa.futures.org
NFA is the industrywide, self-regulatory organization for the U.S. derivatives industry, providing innovative and effective regulatory programs. Designated by the CFTC as a registered futures association regulatory program, NFA strives every day to safeguard the integrity of the derivatives markets, protect investors and ensure Members meet their regulatory responsibilities.

NOVASPARKS
www.novasparks.com

NSE INDIA
www.nseindia.com

OBJECT+
www.objectplus.com
Please visit us for a live demo of our system. You can find us in booth 208.

OCC
www.theocc.com

OROLIA
www.spectracom.com
Orolia is the global leader in resilient positioning, navigation and timing (RPNT) solutions. With over 40 years of experience in the timing industry, Orolia offers solutions for critical infrastructure with products that include high-performance NTP / PTP time servers, Time Compliance software (MiFID/FINRA), indoor sync solutions, professional services, and more.

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OSAKA EXCHANGE, INC - JPX GROUP  
www.jpex.co.jp/english/derivatives/index.html  
Join us at #426, where the mother market of Nikkei 225 and TOPIX Futures/Options awaits you. Let’s continue our EXPO tradition and raise our whiskey glasses to the 30th anniversary of Stock Index Futures in Japan. We’ll be waiting for you in red “Happi” so you can’t miss us!

PICO  
www.picotrading.com/  
Pico is a leading managed services provider that fuels the electronic-trading community by providing custom infrastructure solutions and market data services. From hosting to connectivity, we support our clients through the full trading lifecycle. Pico is headquartered in New York, with offices in Chicago, London, Singapore and Tokyo.

PRATTLE  
www.prattle.co  
Visit Prattle at booth 1601 for a hands-on demo of how our new research automation platform can enhance your investment approach regardless of your trading strategy. Stop by and grab a bottle opener!

predata  
www.predata.com  
Predata helps customers anticipate geopolitical risk and market-moving events through alternative data and machine learning. Financial professionals use the Predata platform to discover, quantify and act upon the risk of future events. The Predata platform generates predictive signals by organizing large amounts of unstructured metadata, and applying artificial intelligence to identify online patterns. With the Predata platform, users gain actionable insights 7 to 30 days ahead of market-moving events, allowing them to capture alpha or manage risk.

QBITIA  
www.qbitia.com  
Visit QuantHouse at Booth 1011 to discover how we’re building the future of algorithmic trading. We’ve spent this year refining our cutting-edge product suite to support the industry’s most demanding market data, infrastructure and backtesting requirements. Come stop by to learn more!

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www.quanthouse.com  
Visit QuantHouse at Booth 1011 to discover how we’re building the future of algorithmic trading. We’ve spent this year refining our cutting-edge product suite to support the industry’s most demanding market data, infrastructure and backtesting requirements. Come stop by to learn more!

QUICK SUITE TRADING  
www.quicksuiterading.com/pages/products.php  
Quick Suite Trading includes front-end screen and API-based trading systems, electronic trade order routing, pre- and post-trade risk management and back office systems. Each of these solutions can operate on a standalone basis or they can be combined to provide enhanced functionality unavailable in heterogeneous configurations.

REFLEX CES  
www.reflexces.com/  
Visit REFLEX CES on booth 319 and see our brand new Stratix 10 boards! We’ll also have demos on Stratix 10 and Arria 10 boards.

REGTEK.SOLUTIONS  
www.regtek solutions.com  
Come by booth 121 for a chat about our trade and transaction reporting continuous assurance solutions!

RISK.NET  
www.risk.net  
Visit the Risk.net stand to learn about our subscription offerings. Demo the site and talk with our account managers on how we can help your business make better decisions through our risk management and risk transfer coverage. Drop your business card for a chance to win a bottle of champagne!

RIVALS SYSTEMS  
www.rivalsystems.com/  
Rival’s ecosystem provides the smartest and fastest solutions for market data, derivatives trading, and enterprise risk management. Come visit us at booth 414 to discuss how we can help bring your big ideas into reality.

ROSENTHAL COLLINS GROUP: RCG  
MANAGED FUTURES  
www.rcgdirect.com;  
www.rcgmanagedfutures.com  
Traders, Investors, IBs, and Hedgers: Visit us at the Rosenthal Collins Group: RCG Managed Futures Booth. Learn about the RCG Advantage. See a Demo of Automated Algo Trading and Learn About our Managed Futures, Trading Systems and IB Programs. Enter Raffle: Win $100 Gift Card.

RSM US LLP  
www.rsmus.com/financialinstitutions  
RSM US LLP is a leading provider of audit, tax and consulting services focused on the middle market. With more than 85 years of experience serving over 1,500 financial institutions across the country, the firm develops solutions to assist financial institutions in today’s challenging economic environment.

SAF NORTH AMERICA  
www.safechnika.com  
Come visit SAF North America to learn more about the lowest latency microwave solutions in the market and how we can make your HFT operations more competitive and profitable. Please stop by booth #308 and let’s have a conversation!

SANTIAGO EXCHANGE  
www.bolsadesantiago.com/en  
Visit Santiago Exchange to learn more about Chile as an attractive investment pole and about our multi-asset class Exchange. We’ll have interesting information on how to invest in our four main markets. Enter our raffle to win a bottle of our excellent and renowned wine. Stop by booth 410, you’ll have a great time.

SCILA  
www.scila.se  
Visit the Scila booth to learn more about our market surveillance and risk system.

SHANGHAI GOLD EXCHANGE  
www.sge.com.cn  
Visit us at the Shanghai Gold Exchange Booth 108.
Visit Shengli Financial Software to learn more about the best low-latency access to China’s financial markets, our market leading FPGA based order gateway and quote systems and other amazing products.

SGX

Singapore Exchange

www.sgx.com

Singapore Exchange is Asia’s leading and trusted market infrastructure, operating equity, fixed income and derivatives markets to the highest regulatory standards. As Asia’s most international, multi-asset exchange, SGX provides listing, trading, clearing, settlement, depository and data services, with about 40% of listed companies and 75% of listed bonds originating outside of Singapore. SGX is the world’s most liquid offshore market for the benchmark equity indices of China, India, Japan and ASEAN and offers commodities and currency derivatives products. Headquartered in AAA-rated Singapore, SGX is globally recognised for its risk management and clearing capabilities. For more information, please visit www.sgx.com.

TellusLabs

www.telluslabs.com

Visit TellusLabs to learn more about our universal banking business model. Standing by its clients across sectors, we tailor solutions for clients by capitalizing on our worldwide expertise in investment banking, financing, market activities and investor services.

Stellar Trading Systems

www.stellartradingsystems.com

STT provides the professional trading community with trading platforms, risk and compliance tools, and infrastructure solutions. STT platforms are available for equity markets globally, and currently process 5% of the daily US equity volume. STT products are used in 30+ countries to trade international financial markets around the world.

Superloop

www.superloop.com

Looking to partner with someone that can take you in to the Asia Pacific - one of the worlds most dynamic and fastest growing regions? Visit the team at the Superloop booth to discuss our extensive fibre and subsea networks in Singapore, Hong Kong and Australia.
## Exhibitors

**Tethr**  
[tethr.com](http://tethr.com)  
Booth 1006  
Rest easy knowing 100% of your calls are monitored by an AI-enabled platform. Stop by our booth to learn how the Tethr Conversation Intelligence Platform analyzes all customer phone calls, automates and augments compliance monitoring efforts, and surfaces valuable insights for your entire enterprise.

**The Technancial Company**  
www.technancial.com  
Booth 217  
The Technancial Company - TTC – JANUS Suite: Real-Time Monitoring, Alerts and Portfolio Margins with μ second performance, 60+ margin algos, 80+ risk and compliance controls, 40K+ messages per second. Extensive agnostic pre-trade and post-order risk management and compliance. Come and visit us at booth # 217.

**Ticksmith**  
www.ticksmith.com  
Booth 1012  
TickSmith specializes in Big Data technology and has built a next generation data lake platform to solve the pains of Capital Markets. The platform, TickVault, is used for data centralization, distribution, risk management, and advanced analytics. TickVault is ideal for data producers and data consumers to normalize and centralize data.

**TMX Montréal Exchange**  
www.m-x.ca  
Booth 620

**TOCOM**  
www.tocom.or.jp  
Booth 222  
Please visit booth #222 to learn about our derivatives contracts on Precious Metals, Energy, Rubber, Agricultural products. Platts Dubai Crude is Asian benchmark for Middle Eastern Crude, and Gold is most liquid in East Asia among accessible markets. Platinum volumes indicate one of the most active markets in the world.

**TOKYO FINANCIAL EXCHANGE (TFX)**  
www.tfx.co.jp/en/  
Booth 224  
Join us at booth 224 and explore our products. Three-month Euroyen futures and FX/Equity Index Daily futures contracts. More information is available at the booth as well as Japanese beautiful folding fans. Please stop by, we are looking forward to seeing you.

**TradeParent**  
www.tradesparent.com  
Booth 114  
Join us at the TradeParent booth 114 to explore how automated data management can help your company to unlock the wealth of its data and boost performance. Say goodbye to spreadsheet mania and learn how to be compliant and in control to master any derivative market regulation with TRADESPARENT’s Regulatory solution.

**Trading Technologies International, Inc.**  
www.tradingtechnologies.com  
Booth 820  
Visit Trading Technologies to try the newest features in the TT® platform. Attend an in-booth session to learn about TT’s latest developments, including the integration of TT Score’s market surveillance technology, TT’s package for advanced options trading, support for trading cryptocurrencies and bitcoin futures, the TT REST API and more.

**Transaction Network Services**  
www.tnsfinancial.com  
Booth 705  
Transaction Network Services’ (TNS) robust secure trading network is relied on by the most prominent buy and sell-side institutions, market data and software vendors, exchanges and alternative trading venues.

**Trillium Surveyor**  
www.trlm.com/surveyor  
Booth 225  
Trillium is a diversified financial services firm operating both Trillium Trading, a proprietary equities and options trading group, and Trillium Labs, a trading technology development group and the creator of Surveyor, a post trade analytics and market surveillance tool designed to detect and eliminate market manipulation.

**U.S. Commodity Futures Trading Commission**  
www.whistleblower.gov  
Booth 205  
The CFTC’s Whistleblower Program provides monetary incentives to individuals who report possible violations of the Commodity Exchange Act that lead to a successful enforcement action, as well as privacy, confidentiality, and anti-retaliation protections for whistleblowers who share information with or assist the CFTC.

**verasti.com**  
Booth 111  
VERASTI, the only China Fin-Tech company at FIA EXPO 2018, is an unicorn financial technology company in China’s capital markets. It offers the channel for the global hedge fund to connect the trading of China onshore assets together with compliance operations, in addition to assist leading financial technologies vendors to enter the china market. Come to exchange your wisdom for small gifts at booth 111!

**Warsaw Stock Exchange**  
www.gpw.pl  
Booth 1009  
Visit with the Warsaw Stock Exchange at Stand 1009 to learn how to invest in CEE’s most dynamic market. Enter a raffle to win a bottle of the famous Polish Bison Vodka.

**Wedbush Futures**  
www.wedbushfutures.com  
Booth 417  
Wedbush Futures is a leading Futures Commission Merchant empowering professional and institutional traders with specialized global clearing, execution, and risk management solutions.

**Wolverine Execution Services, LLC**  
www.tradewex.com  
Booth 911  
Wolverine Execution Services (WEX) is a premier provider of value-added execution, enabling clients to trade equities, ETFs, options, and futures through our agency brokerage desk, proprietary electronic trading platform (WTP), algorithms, and floor access.

**Zhengzhou Commodity Exchange**  
www.czce.com.cn  
Booth 106  
Zhengzhou Commodity Exchange (hereafter referred to as ZCE) was founded on October 12, 1990. With the approval of the State Council, ZCE was established as China’s first pilot futures market. We welcome you to visit booth 106 for more information.
You must be pre-registered for Expo under a qualified* registration category before Tuesday, October 16 in order to appear on the guest list.

**WHERE**
Venue SIX10
610 S. Michigan Ave
Chicago, Illinois

**WHEN**
Wednesday, October 17
6:00 p.m. – 8:00 p.m.

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* Qualified registration categories include: Speakers, Press, Board, Full Conference, Single Day and Trade Show Plus. NOTE: Trade Show Only delegates must upgrade to Trade Show Plus to attend.
Innovators Pavilion:
Where Fintech Meets Futures
Silver Hall

This year’s Innovators Pavilion features 15 startups that are harnessing innovation and entrepreneurship in financial markets technology. Take time to stop by each Innovator’s TechPod and learn how their products and solutions are shaping the future of the industry. Based on the Meet the Innovators pitch competition on October 17, FIA will announce the FIA Innovator of the Year in the Learning Lab during the networking lunch on October 18.

ADROIT TRADING TECHNOLOGIES
www.adroit-tt.com/
Adroit is the first buy-side O/EMS platform for OTC products (Swaps, FI, FX). We power the most sophisticated of investment managers with a passion to minimize transaction costs and maximize trader productivity. Our liquidity aggregation (electronic & voice), automation, and regulatory support set us apart from our antiquated competitors.

CHAINALYSIS
www.chainalysis.com/
Chainalysis builds trust in blockchains between people, businesses and governments. Our Blockchain Intelligence Platform powers our compliance and investigation software for the world’s top institutions. With offices in New York, Washington D.C. and Copenhagen, Chainalysis is a global team backed by Benchmark and other leading names in venture capital.

DATAVORE
www.datavorelabs.com
Datavore® is an adaptive data analytics platform that enables any user to quickly and easily explore multiple datasets and ask meaningful questions. Datavore® creates instant visualizations of key trends and visual workflows which provide process transparency, consistency, and repeatability. Democratize analysis, increase efficiency, and unlock actionable insights with Datavore®.

DRAWBRIDGE LENDING
www.drawbridgelending.com
DrawBridge Lending initiates Fiat loans secured by digital assets on a Blockchain. DrawBridge Lending, a CFTC registered CPO/CTA, uses a qualified, insured custodian to protect borrower assets and risk management strategies to protect lender capital. By combining their knowledge and experience in trading, compliance and executive level management, the DrawBridge Lending team developed an innovative lending platform within the crypto space that concentrates on protecting borrowers’ assets with no margin calls and sterilizing credit exposure for lenders.

iCOMPLY INVESTOR SERVICES
www.icomplyco.com
iComply is the leading global compliance platform for digital finance and cryptocurrencies. We provide our clients with turnkey compliance automation by simplifying multi-jurisdictional requirements for non face-to-face transactions to liberate capital, ideas, and opportunities.
**IPushpull**

**IPUSHPULL**
www.ipushpull.com
IPushpull is a live data sharing, collaboration and workflow automation platform. IPushpull improves workflow efficiency by allowing data interoperability and cross application automation by joining Excel, databases, cloud services, corporate communication tools and internal platforms. Use across sell-side and buy-side, front to back office, trading, sales and risk.

**Primal Quant**

**PRIMAL QUANT**

**PRIMAL QUANT, INC.**
www.primalquant.com
Primal Quant is a Chicago based technology company that offers a web and mobile based application that makes it easy and fun for retail investors and traders to design and test trading ideas and strategies for Futures, Stocks, Forex and Cryptocurrencies, without the need for any programming knowledge or experience.

**Kaizen Reporting**

**KAIZEN REPORTING**
www.kaizenreporting.com
Kaizen has combined regulatory expertise with data science to develop market-leading quality assurance service ReportShield™ which ensures the accuracy, completeness, timeliness and overall quality of regulatory reporting. Whether it’s MiFID II, EMIR, Dodd-Frank, SFTR or another G20 regulation, Kaizen helps financial institutions reduce costs and increase confidence in their regulatory reporting.

**Radar Relay**

**RADAR RELAY INC.**
radarrelay.com/
Radar Relay is a peer-to-peer trading platform for Ethereum-based tokens built on the 0x Protocol. Radar Relay operates an order book and relays orders between peers while never taking custody.

**Sudrania**

**SUDRANIA**

**SUDRANIA LLC**
www.sudrania.com
Sudrania is a fintech business offering post-investment backoffice accounting and reporting solution via a cloud based technology application. Sudrania brings the level of scalability not imaginable before and lowers the cost of backoffice ops making greater level of data access, analytics and services available to a wider audience of investment managers regardless of AUM, asset classes or location.

**Tookitaki**

**TOOKITAKI HOLDING PTE LTD**
www.tookitaki.com
Tookitaki provides actionable, scalable and explainable regulatory compliance solutions for the financial services sector. The SG:D-accredited company offers Machine Learning-powered, end-to-end solutions — Reconciliation Suite and Anti-money Laundering Suite — for reconciliation management and AML/CFT compliance, respectively. Backed by Jungle Ventures and Spring Seeds, Tookitaki has offices in the US, Singapore and India.

**Waymark Tech**

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Making sure firms never miss important regulatory decisions, or waste time and money on compliance, thanks to intelligent automation.

By using natural language processing, we parse the millions of pages of regulation and associated guidance to provide compliance officers with the answers to their questions when they need the information the most.
Stop by the Expo Learning Lab and discover how the futures industry is evolving for the next generation.

**Wednesday:**
2:00 - 3:00 p.m.
Market Data & Alternative Data Usage in the Cloud
Sponsored by AWS

3:00 - 4:00 p.m.
Enabling the Next Generation of Traders

**Thursday:**
12:30 - 1:00 p.m.
Innovator of the Year Award Ceremony

1:00 - 2:00 p.m.
The Advantages of Futures

2:30 - 3:30 p.m.
WILD Panel: Women Empowered - Yes! Navigating Power Dynamics

**AWS**
7 W. 34th Street
New York, NY 10001
www.aws.amazon.com/financial-services/

Liz Song, Senior Partner Marketing Manager, Global Financial Services
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We are the structure that drives the market, the technology that connects it, the base for its development. In each system we operate and each trade we execute, we are always on our customers’ side. Along with our partners, we make the economy grow, life happen, dreams come true. With safety, soundness and efficiency. We endeavor to connect, develop and enable the financial and capital markets. Together with our clients and society, we aim to boost the growth of Brazil.

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As the world’s leading and most diverse derivatives marketplace, CME Group is where the world comes to manage risk. Through its exchanges, CME Group offers the widest range of global benchmark products across all major asset classes, including futures and options based on interest rates, equity indexes, foreign exchange, energy, agricultural products and metals. CME Group provides electronic trading globally on its CME Globex platform. The company also offers clearing and settlement services across asset classes for exchange-traded and over-the-counter derivatives through CME Clearing. CME Group’s products and services ensure that businesses around the world can effectively manage risk and achieve growth.
Intercontinental Exchange (ICE) is a Fortune 500 company that operates the leading network of global futures and equity exchanges and provides world class clearing, data and listing services across commodity and financial markets. The New York Stock Exchange is the world leader in capital raising and equities trading. Formed in 2000, ICE’s electronic trading platform brought more transparency and accessibility to the OTC energy markets. Soon after, ICE added global futures and cleared OTC markets across virtually all asset classes, data services and clearing houses. With a culture of growth through client service and innovation, ICE’s global network and state-of-the-art technology solutions serve the dynamic needs of market participants around the world. Today, ICE is an ecosystem of 12 exchanges, 6 clearing houses as well as global data and listings services that combine to provide access to global capital and derivatives markets.

HKEX is one of the largest financial market operators in the world. From its home in the financial hub of Hong Kong and an additional base in London, HKEX provides world-class facilities for trading and clearing securities and derivatives in Equities, Commodities, Fixed Income and Currency. HKEX operates Hong Kong’s only securities and derivatives exchanges as well as four clearing houses. It is also a leader in the trading and clearing of commodity derivatives through its subsidiaries London Metal Exchange (LME) and LME Clear. HKEX launched the groundbreaking Shanghai-Hong Kong Stock Connect programme in 2014, allowing international investors to connect easily with Mainland China’s stock market for the first time. The scheme was expanded with the launch of Shenzhen Connect in 2016 and extended to another asset class with the launch of Bond Connect in 2017.

With experienced professionals in both the US and Chinese markets, Huatai USA creates comprehensive solutions for individual and institutional clients trading futures and options globally. Huatai USA uses its position as one of the few Chinese companies with a US presence to facilitate futures clearing and execution services on domestic Chinese exchanges as well as most other global exchanges. As part of Huatai group, the company is certified to introduce international investors to Chinese markets and Chinese investors to the international market. In addition to brokerage services, Huatai USA provides services in asset management, technology, consulting, research, and education.
We deliver mission-critical trading and workflow automation software to financial institutions, corporations, central banks, and governments. By combining our passion for automation with a strategic view on the industries we serve, we design solutions that empower decision-making, simplify complex processes, and deliver value added services to customers. Simply put, we help our customers do more, faster and better than before. We believe our investment in technology is shaping the future of automation and enabling our customers to grow and adapt their business.

Nasdaq (Nasdaq: NDAQ) is a leading global provider of trading, clearing, exchange technology, listing, information and public company services. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today’s global capital markets. As the creator of the world’s first electronic stock market, its technology powers more than 100 marketplaces in 50 countries, and 1 in 10 of the world’s securities transactions. Nasdaq is home to approximately 4,000 total listings with a market value of approximately $14 trillion. To learn more, visit: business.nasdaq.com

Orient Futures Co., Ltd., founded in 2008, is an integrated company operating futures business with approval of China Securities Regulatory Commission. We are a wholly owned subsidiary of Orient Securities Co., Ltd., headquartered in Shanghai, with registered capital of 2 billion Yuan and over 400 employees. We are primarily engaged in such business as commodity futures brokerage, financial futures brokerage, futures investment consulting, asset management, fund sales, etc., with memberships of SHFE, DCE, CZCE and INE, as well as the general clearing membership of CFFEX. Orient Futures is persevering in the development of marketization, internationalization, collectivization, aiming to become a first-class derivatives facilitator which provides clients a comprehensive wealth management platform with research and technology serve as the core competitiveness.
Three Ways You Can Fight Hunger in Chicago

The futures community has raised more than $3.8 million over nine years as a part of the FIA Cares charity drive benefiting the Greater Chicago Food Depository.

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1. **Dinner and Reception**
The 8th Annual Great Chicago Steak Out

October 18, 2018 | 6:30 p.m. | Hilton Chicago, Grand Ballroom

Join over 800 Expo delegates who come together for one night to support the Greater Chicago Food Depository and give back to the community. Feast on a sampling of the finest selections from Chicago Private Dining Steakhouse Group restaurants. For the eighth year in the row, these establishments are supporting the community by donating, preparing and serving the food at The Great Chicago Steak Out. Visit the Steak Out booth in the Blue Hall to purchase tickets!

2. **Raffle Prize**
CME Group Raffle

October 17-18, 2018 | Hilton Chicago

CME Group, in support of the Greater Chicago Food Depository, will host a raffle for two business class tickets to anywhere in the world served by United Airlines. Raffle tickets will be sold near the CME Group booth 807 in the Blue Hall, throughout the Expo trade show floor and at the FIA Cares Great Chicago Steak Out dinner. The winning raffle ticket will be chosen at the dinner. Don’t miss it!

3. **Industry Fundraising Challenge**
FIA Cares Corporate Challenge

Through December 31, 2018

Show your firm’s competitive spirit and generosity! The FIA Cares Corporate Challenge is a fun way to get your entire company involved with a great cause and a friendly industry competition. Join this cash fundraising effort through December 31 as we ask our industry’s firms to challenge themselves and help Chicago’s food depository deliver more than 164,000 meals every day of the week. ABN AMRO Clearing Chicago was the challenge winner for 2017, contributing nearly $30,000 to the more than $40,000 pot! Let’s work together to beat those numbers in 2018!

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www.chicagosfoodbank.org
8th Annual Great Chicago Steak Out Sponsors and Restaurants
October 18, 2018 | 6:30 p.m. | Hilton Chicago, Grand Ballroom

PARTICIPATING RESTAURANTS

CARNIVALE
Chicago Chop House
DeFrisco’s Double Eagle Steakhouse

Fleming’s Prime Steakhouse & Wine Bar
Gibsons Bar & Steakhouse
Harry Caray’s Italian Steakhouse & Bar
Katan

MASTRO’S STEAKHOUSE
Michael Jordan’s Steak House
Morton’s The Steakhouse

Smith & Wollensky America’s Steakhouse
STK
Truluck’s Seafood Steakhouse & Bars
Wildfire Steaks, Cuts & Seafood

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FIA hosts multiple conferences and trade shows throughout the year to bring together the global cleared derivatives community. These events provide valuable insights into important legislative and regulatory developments, industry shaping trends and market intelligence. Join us in 2018-19!

November 27-29
Singapore
ASIA2018
ASIA DERIVATIVES CONFERENCE

March 12-14
Boca Raton
BOCA2019
INTERNATIONAL FUTURES INDUSTRY CONFERENCE

May 8-10
Washington
L&C2019
41st Annual Law & Compliance Division Conference

June 3-5
London
IDX2019
INTERNATIONAL DERIVATIVES EXPO

October 29-31
Chicago
EXPO2019
35th Annual FIA Futures & Options Expo

FIA.org/events
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