FIA BOCA 2019-3

INTERNATIONAL FUTURES INDUSTRY CONFERENCE

MARCH 12-14, 2019

BOCA RATON RESORT & CLUB BOCA RATON, FLORIDA

CONFERENCE PROGRAM



Transforming global markets

Driving markets through information, technology and expertise.



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We respectfully request that cell phones be turned off or switched to vibrate while you are attending the conference sessions.



Staff will be available throughout the conference to guide you to meeting rooms, answer questions about the program and social events and to advise you of location changes in case of inclement weather. Please let us know how we can assist you in making your Boca conference experience most enjoyable.

WELCOME TO BOCA

Dear Attendees,

FIA is pleased to welcome you to the 44th Annual International Futures Industry Conference – Boca 2019. We gather as a community under signs that our industry is thriving. There are more products being cleared today than ever before, with volumes for exchange-traded derivatives up 20 percent globally over last year.

This year's conference will explore many of the issues this industry faces today. From sessions about inconsistencies between governments and regulatory bodies, to discussions of liquidity and market making, to exploring technological advances such as blockchain, there is sure to be something in our program that will shed new light on the issues facing your business in 2019.

We are pleased to welcome Agriculture Secretary Sonny Perdue as well as many of our industry's leaders. We will hear from CFTC Chairman Giancarlo, and we are proud to host regulators involved in oversight of our markets including the FCA, JFSA, CFTC and others. We are excited to have Reshma Saujani of Girls Who Code as a keynote speaker, and we will look at industry challenges and trends such as CCP regulation, capital requirements, Brexit and MIFID II. Of course, new technologies present new opportunities and challenges, and we will discuss this emerging landscape with panels on trends in fintech, blockchain, and cryptocurrency. We also urge you to visit our exhibitors located throughout the conference space.

And lastly, FIA is proud to support Futures for Kids once again through multiple events throughout Boca. Futures for Kids is a wonderful charity organization that has raised millions to support children's charities around the world. We invite you to show your support, and skills, at the Tennis Tournament on Tuesday and the Golf Event on Thursday afternoon.

Thank you for joining us at Boca 2019.

Walt Lukken

President & Chief Executive Officer, FIA

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Registration Desk & Badges

Royal Palm Pre-Assembly

Tuesday 9:00 a.m. – 6:30 p.m. Wednesday 7:30 a.m. – 5:30 p.m. Thursday 7:30 a.m. – 2:00 p.m.

All delegates and their guests will need to wear the provided name badges to gain entrance to all conference sessions and social events.

Venue & Program Info

All business sessions, exhibits, breakfasts and luncheons will take place in the Mizner Center unless otherwise noted on the program. Social events will take place at various locations throughout the property. Please check the app for the latest program and event information.

Exhibits

Royal Palm Pre-Assembly

Tuesday 9:00 a.m. – 6:30 p.m. Wednesday 9:00 a.m. – 4:30 p.m. Thursday 9:00 a.m. – 2:00 p.m.

Press Office

Estate II

Tuesday 8:00 a.m. – 7:00 p.m. Wednesday 8:00 a.m. – 7:00 p.m. Thursday 8:00 a.m. – 3:00 p.m.

Press Briefing Room

Estate III

Tuesday 8:00 a.m. – 7:00 p.m. Wednesday 8:00 a.m. – 7:00 p.m. Thursday 8:00 a.m. – 3:00 p.m.

Access

Access the Wi-Fi network

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NETWORK NAME: LCH

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Connection Instructions

- 1. Select the **LCH** wireless network
- 2. Open web browser
- 3. Enter passcode: themarketspartner

Connect

Download the FIA Connect app to stay up-to-date with the latest at Boca.

https://fia.org/app

- Visit https://fia.org/app or search for the "FIA Connect" app in your app store.
- 2. Use the password **Boca2019** to access the event.
- 3. Set up your profile and connect with other attendees!

Share

Share how you're experiencing the Boca Effect!
Follow @FIAconnect on social media using the hashtag
#FIABoca

Relax

Use the FIA Lounge located in the Grand Pre-Assembly for impromptu meetings! And, pick up some special FIA giveaways, including sunglasses, USB adapters, drawstring sports bags and frisbees!



BOCA 2019 STEERING COMMITTEE

Neil Burke, Credit Suisse Securities Jan Bart de Boer, ABN AMRO Clearing Alicia Crighton, Goldman Sachs Rob Creamer, Geneva Trading Tom Erickson, Cargill Matthias Graulich, Eurex Jonathan Jachym, LSE Group Ben Jackson, Intercontinental Exchange Jerome Kemp, Citigroup Global Markets Brad Levy, Markit Anita Liskey, CME Group JB Mackenzie, TD Ameritrade Joanna Mallers, FIA PTG Mark Phelps, GH Financials Rama Pillai, Singapore Exchange Ken Raisler, Sullivan & Cromwell Mark Wetjen, DTCC



FIA TRAINING

FIA Training delivers high quality learning opportunities, developed using the collective wisdom of industry experts. Our courses help educate traders, senior managers, software developers and other team members on important topics like market conduct and compliance.

Available Courses

- Exchange Trading & Regulatory Fundamentals for Electronic Traders
- Market Conduct Fundamentals
- Rules & Regulatory Guidance for CME Group Markets
- Rules & Regulatory Guidance for ICE Futures U.S.
- Safeguarding Customer Funds

New Courses Coming Soon!

- LME Trader Training
- SGX

Learn more at Training.FIA.org

Tuesday, March 12, 2019

8:00 a.m. - 9:00 a.m.

Grand Ballroom C-D

EXCHANGE CHAIRMEN AND PRESIDENTS BREAKFAST (Invitation Only)

Sponsored By:



8:30 a.m. - 5:00 p.m.

Addison Ballroom

CFTC INTERNATIONAL REGULATORS MEETING (Invitation Only)

9:00 a.m. - 6:30 p.m.

Royal Palm Pre-Assembly

REGISTRATION HOURS

Sponsored By:





9:00 a.m. - 12:00 p.m.

Resort Tennis Courts

FIA BOCA TENNIS TOURNAMENT BENEFITING **FUTURES FOR KIDS**

Sponsored By:



9:00 a.m. - 6:30 p.m.

Royal Palm Pre-Assembly

EXHIBIT HOURS

12:00 p.m. - 3:00 p.m.

Royal Palm Pre-Assembly

CME GROUP WELCOME HOSPITALITY 2019



12:30 p.m. - 1:15 p.m.

Royal Palm Ballroom

INFORMATIONXCHANGE: HONG KONG EXCHANGES AND CLEARING



Speaker:

Kevin Rideout, Managing Director, Market Development, Hong Kong Exchanges and Clearing

1:25 p.m. - 2:10 p.m.

Royal Palm Ballroom

INFORMATIONXCHANGE: EUREX



Speakers:

Rachna Mathur, Head of Equity and Index Sales, Americas, Eurex

Phil Simons, Global Head of Fixed Income Sales – Derivatives, Funding & Financing, Eurex

2:20 p.m. - 3:05 p.m.

Royal Palm Ballroom

INFORMATIONXCHANGE: INTERCONTINENTAL EXCHANGE



Chair:

Chris Edmonds, Senior Vice President, Financial Markets, Intercontinental Exchange

Speakers:

Trabue Bland, President, ICE Futures U.S.

Lynn Martin, President & Chief Operating Officer, ICE Data Services

Stuart Williams, President, ICE Futures Europe



TUESDAY, March 12, 2019, continued

3:15 p.m. - 4:00 p.m.

Royal Palm Ballroom

INFORMATIONXCHANGE: CME GROUP

CME Group

Speaker:

Blu Putnam, Chief Economist & Managing Director, CME Group

4:00 p.m. - 4:30 p.m. OPENING REMARKS

Grand Ballroom F-J



Speaker: Walt Lukken, President & Chief Executive Officer, FIA

4:30 p.m. - 5:30 p.m.

Grand Ballroom F-J

OPENING KEYNOTE PANEL: THE HEALTH OF THE INDUSTRY



Chair: **Alicia Crighton**, Managing Director, Goldman Sachs

Speakers: **John Davidson**, President & Chief Operating Officer, OCC

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TUESDAY, March 12, 2019, continued

Daniel Maguire, Chief Executive Officer, LCH Gil Mandelzis, Founder & Chief Executive Officer, Capitolis Nick Rustad, Managing Director, Head of Global Clearing, J.P. Morgan

Don Wilson, Chief Executive Officer, DRW Trading Group

5:30 p.m. - 6:30 p.m.

Royal Palm Pre-Assembly

WELCOME COCKTAIL HOUR

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- ChartIQ
- Digital Asset Holdings LLC
- EOX Live
- Exactpro Systems Limited
- Hitachi Vantara
- IPC
- KRM22 Central Ltd.

- Minmetals & Jingyi Futures Co., Ltd.
- Numerix
- OpenFin
- SmartStream
- Societe Generale
- T3 Custom
- TickSmith
- U.S. Commodity Futures Trading Commission

6:30 p.m. - 8:30 p.m.

Garden Pool

OPENING RECEPTION

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Wednesday, March 13, 2019

7:30 a.m. - 5:30 p.m.

Royal Palm Pre-Assembly

REGISTRATION HOURS

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8:00 a.m. - 9:10 a.m.

Royal Palm Ballroom

ICE ENERGY BREAKFAST

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Welcome:

Walt Lukken, President & Chief Executive Officer, FIA



Speakers:

Jeff Sprecher, Chairman & Chief Executive Officer, Intercontinental Exchange, and Chairman, New York Stock Exchange



Sonny Perdue, Secretary, U.S. Department of Agriculture

9:00 a.m. - 4:30 p.m.

Royal Palm Pre-Assembly

EXHIBIT HOURS

9:00 a.m. - 5:00 p.m. **EUREX CAFÉ OPEN** **Spanish Terrace**

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9:30 a.m. - 10:00 a.m.

Grand Ballroom F-J

CFTC KEYNOTE ADDRESS



Speaker:

J. Christopher Giancarlo, Chairman, **Commodity Futures Trading Commission**

10:00 a.m. - 11:00 a.m. **EXCHANGING VIEWS** Grand Ballroom F-J

Chair:

Walt Lukken, President & Chief Executive Officer, FIA

Speakers:

Thomas Book, Chief Executive Officer, Eurex Terry Duffy, Chairman & Chief Executive Officer, CME Group

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WEDNESDAY, March 13, 2019, continued

Adena Friedman, President & Chief Executive Officer, Nasdaq

Loh Boon Chye, Chief Executive Officer, Singapore Exchange

Jeff Sprecher, Chairman & Chief Executive Officer, Intercontinental Exchange, and Chairman, New York Stock Exchange

Edward Tilly, Chairman, President, & Chief Executive Officer, Choe Global Markets

11:00 a.m. - 11:20 a.m.

Addison Ballroom

JOINT ANNOUNCEMENT BY THE CFTC AND THE MONETARY AUTHORITY OF SINGAPORE

11:00 a.m. - 11:30 a.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK

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CONCURRENT SESSIONS

11:30 a.m. - 12:30 p.m.

Grand Ballroom F-J

KEEPING MARKETS CROSS-BORDER



Chair:

Jackie Mesa, Senior Vice President, Global Policy, FIA

Speakers:

Finbarr Hutcheson, President, ICE Clear Europe

Boon Ngiap Lee, Assistant Managing Director of Capital Markets, Monetary Authority of Singapore

Jochen Metzger, Director General, Payments & Settlement Systems, Deutsche Bundesbank

Virginie Saade, Head of Government & Regulatory Policy, Europe, Citadel

Dawn Stump, Commissioner, Commodity Futures Trading Commission

11:30 a.m. - 12:30 p.m.

Grand Ballroom C-D

SWAPS REFORM: THE NEXT CHAPTER



Chair:

Michael Loesch, Partner, Winston & Strawn

Speakers:

Stephen Berger, Managing Director, Government & Regulatory Policy, Citadel Dan Berkovitz, Commissioner, Commodity

Futures Trading Commission Graham Harper, Partner, Delta Strategy Group **Amy Hong**, Market Structure Strategy, Securities Division, Goldman Sachs

12:30 p.m. - 2:15 p.m.

Royal Palm Ballroom

KEYNOTE SPEAKER & LUNCH Sponsored By:

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Welcome:

Walt Lukken. President & Chief Executive Officer, FIA Introduction:

Michael Bodson, President & Chief Executive Officer, DTCC

Speaker:

Reshma Saujani, Founder, Girls Who Code



2:30 p.m. - 3:30 p.m.

Grand Ballroom F-J

THE IMPACT OF ESG ISSUES ON LISTED **DERIVATIVES**



Clive Furness, Managing Director, Contango Markets

Speakers:

Jan Bart de Boer, Chief Commercial Officer, ABN AMRO Clearing

Rostin Behnam, Commissioner, Commodity Futures Trading Commission

WEDNESDAY, March 13, 2019, continued

Matthew Chamberlain, Chief Executive Officer, London Metal Exchange

Tom Kadlec, President, ADM Investor Services Vassilis Vergotis, Global Head of Product Development & Strategy, Equity, Equity Index and Digital Assets, Eurex

2:30 p.m. - 3:30 p.m.

Grand Ballroom C-D

EMERGING REGULATORY CHALLENGES: THE BEST APPROACH?



Chair: **Gary DeWaal**, Special Counsel, Katten

Muchin Rosenman

Speakers:

Patrice Aguesse, Head of the Market Regulation Division, Autorité des Marchés Financiers

Paul Andrews, Secretary General, IOSCO

Jakub Michalik, Senior Officer, European Securities and

Markets Authority

Jun Mizuguchi, Deputy Commissioner for International Affairs, Japan Financial Services Agency

Eric Pan, Director, Office of International Affairs, Commodity Futures Trading Commission

Paul Willis, Technical Specialist - Commodities, UK Financial Conduct Authority

3:30 p.m. - 4:00 p.m.

Grand Ballroom F-J

ONE-ON-ONE INTERVIEW WITH THOMAS PETERFFY



Interviewer:

Jane Gladstone, Senior Managing Director,
Evercore Partners



Speaker: Thomas Peterffy, Chief Executive Officer, Interactive Brokers



4:00 p.m. - 4:15 p.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK

Sponsored By:



4:15 p.m. - 5:15 p.m.

Grand Ballroom F-J

2019 HALL OF FAME CEREMONY & RECEPTION

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6:30 p.m. - 8:30 p.m.

Boca Beach Club: Poolside

BOCA NITE OUT

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Reception Sponsored by



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Thursday, March 14, 2019

7:00 a.m. - 8:00 a.m.

Resort Golf Course

FIA TECH FUN RUN

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7:30 a.m. - 2:00 p.m.

Royal Palm Pre-Assembly

REGISTRATION HOURS

Sponsored By:





8:00 a.m. - 9:00 a.m.

Royal Palm Ballroom

NETWORKING BREAKFAST

Royal Palm Pre-Assembly

9:00 a.m. - 2:00 p.m. **EXHIBIT HOURS**

9:00 a.m. - 2:00 p.m.

Spanish Terrace

EUREX CAFÉ OPEN

Sponsored By:



9:00 a.m. - 9:30 a.m.

Grand Ballroom F-J

KEYNOTE ADDRESS



Speaker:

Benn Steil, Director of International Economics, Council on Foreign Relations

THURSDAY, March 14, 2019, continued

9:30 a.m. - 10:30 a.m.

Grand Ballroom F-J

WHERE POLITICS AND MARKETS MEET

Chair:

Walt Lukken, President & Chief Executive Officer, FIA

Speakers:

Julie Bauer, Senior Vice President, Government Relations, OCC

Jonathan Jachym, U.S. Country Head, Head of North America Regulatory Strategy & Government Relations, London Stock Exchange Group

Brian Quintenz, Commissioner, Commodity Futures Trading Commission

Virginie Saade, Head of Government & Regulatory Policy, Europe, Citadel

Ali Wolpert, Executive Director, U.S. Government Relations, DTCC

10:30 a.m - 10:45 a.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK

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CONCURRENT SESSIONS

10:45 a.m. - 11:45 a.m.

Grand Ballroom F-J

CCP RISK: LOOKING TO THE FUTURE

Chair: To be announced

Speakers:

Sunil Cutinho, President, CME Clearing, CME Group **Fredrik Ekstrom**, Senior Vice President, Post Trade Services. Nasdaa

Marnie Rosenberg, Managing Director and Head of Clearinghouse Risk & Strategy, J.P. Morgan

Hester Serafini, President & Chief Operating Officer, ICE Clear U.S.

10:45 a.m. - 11:45 a.m.

Grand Ballroom C-D

BLOCKCHAIN: FROM PILOT TO PRODUCTION



Brian Steele, Managing Director, Global Head of Market Solutions, Goldman Sachs

Speakers:

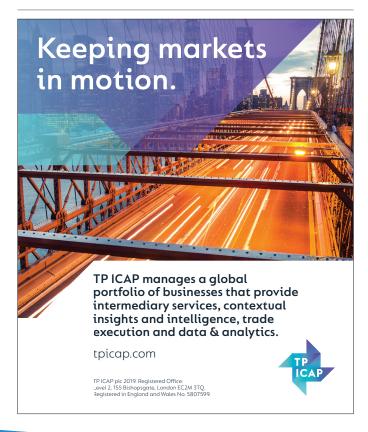
Emmanuel Aidoo, Head of Distributed Ledger & Blockchain Strategy, Credit Suisse Daniel Gorfine. Chief Innovation Officer

& Director, LabCFTC, Commodity Futures Trading Commission

Lyon Hardgrave, Vice President, Business & Product Development, VAKT

Arjun Jayaram, Chief Executive Officer, Baton Systems **Jennifer Peve**, Managing Director, Head of Solutions Business Development, Partners and Fintech Strategy, DTCC

Greg Schvey, Chief Executive Officer, Axoni



THURSDAY, March 14, 2019, continued

11:45 a.m. - 12:00 p.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK

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CONCURRENT SESSIONS

12:00 p.m. - 1:00 p.m.

Grand Ballroom C-D

INTEREST RATE BENCHMARK REFORM: THE END-USER PERSPECTIVE

Chair: To Be Announced

Speakers:

Tracy Rucker-Wilson, Global Portfolio Risk Manager, Vanguard

Sean Tully, Senior Managing Director, Global Head of Financial and OTC Products, CME Group

Philip Whitehurst, Head of Service Development, Rates, LCH

12:00 p.m. - 1:00 p.m.

Grand Ballroom F-J

CRYPTOCURRENCIES AND DERIVATIVES: THE BATTLE FOR DOMINANCE



Chair:

Colleen Sullivan, Chief Executive Officer, CMT Digital

Speakers:

Ron Bernstein, Lead, New Assets & Products, Coinbase

Thomas Chippas, Chief Executive Office, ErisX



Room cards compliments of:

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John Deters, Chief Strategy Officer & Head of Multi-Asset Solutions, Choe Global Markets

Tim McCourt, Global Head of Equity Products & Alternative Investments, CME Group

Adam White, Chief Operating Officer, Bakkt

1:00 p.m. - 2:00 p.m.

Grand Lawn

INTERNATIONAL NETWORKING LUNCH

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1:30 p.m. - 5:30 p.m.

Resort Course

5TH ANNUAL FIA CHARITY GOLF EVENT BENEFITING FUTURES FOR KIDS



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5:30 p.m. - 7:30 p.m. Boca Resort Golf Course - 9th Hole **CLOSING RECEPTION**

Sponsored By:



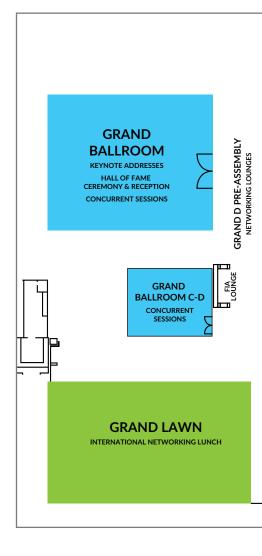
Please use this floorplan for a quick reference to events at Boca 2019.

ALSO NOTE:

Tuesday Opening Reception will be at the Pool Terrace.

Wednesday Boca Nite Out will be at the Boca Beach Club and shuttle buses will be provided.

Thursday Closing Reception will be at the Golf Course.



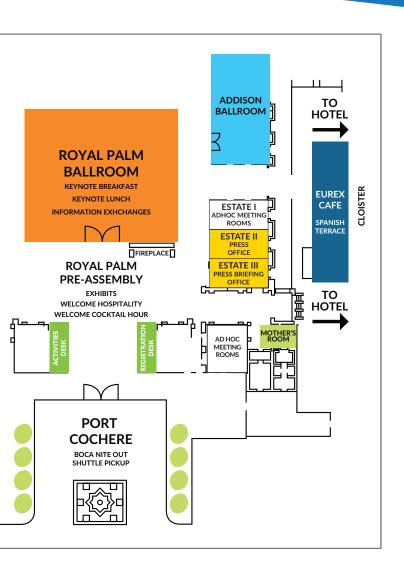


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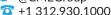
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Mark Vercruysse, Managing Director, Head of Global Relationship Management, Sales and Solution Delivery

mvercruvsse@dtcc.com

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PLATINUM (Continued)

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www.theice.com







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Societe Generale



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