



BOCA 2017

A stylized graphic of a wave, composed of two curved segments. The top segment is yellow and the bottom segment is blue, creating a sense of motion and fluidity.

INTERNATIONAL FUTURES
INDUSTRY CONFERENCE

MARCH 14-17, 2017
BOCA RATON RESORT & CLUB
BOCA RATON, FLORIDA

CONFERENCE
PROGRAM

WELCOME TO BOCA

Dear Delegates,

Welcome to the 42nd Annual International Futures Industry Conference in Boca.

Our theme this year is the Industry at a Crossroads, and we've designed the program to facilitate evaluation and analysis of the current political and economic situation. There are few people with more direct experience on these issues than our keynote speaker, the Rt. Honorable David Cameron, Prime Minister of the United Kingdom of Great Britain and Northern Ireland from 2010 to 2016. He'll share his insights on Brexit, nationalist politics, and the evolving nature of financial regulations at the NEX Group Power Lunch on Wednesday.

Throughout the conference, we'll consider how regulations can better balance the necessity for both safety and growth. J. Christopher Giancarlo, the Acting Chairman of the CFTC, has brought a fresh perspective on this question in his new role, and I'm eager to hear his thoughts during his keynote address.

Senator David Perdue is another thought leader on regulatory balance, and he will join us to discuss America's economic future and how domestic policies affect global business.

As we consider the path forward, we also take time at Boca to honor those who have built, maintained, and championed our industry. This year, we will be inducting 14 people into the Futures Hall of Fame in honor of their contributions to our industry. I hope you will join us at breakfast at 8:00 a.m. on Thursday to celebrate these industry greats.

One of the things that makes Boca a truly exceptional conference is the wealth of opportunities to make valuable connections with your fellow industry members. You can see the Boca Effect at work during our many networking events, as executives from every corner of the industry gather together. If you prefer to do your networking outside, I encourage you to register for the FIA Boca Tennis Tournament or the Charity Golf Tournament, both of which support Futures for Kids. You can also sign up for the Fun Run on Thursday to enjoy a good workout and the resort's beautiful scenery.

No matter how you choose to participate in the many opportunities provided at Boca, I hope your time here is productive, educational, and entertaining.

Enjoy the week!



Walt Lukken
President & Chief Executive Officer
FIA

TABLE OF CONTENTS

Program At-A-Glance	4-5
General Info.....	6
Connect@Boca	7
Boca 2017 Sponsors	8-10
Program	12-34
Boca 2017 Steering Committee	35
Board of Directors	36
Regional Advisory Boards.....	38-39
Sponsor Profiles.....	40-48
Media Partners	49
Boca 2017 Exhibitors.....	50-51

We respectfully request that cell phones be turned off or switched to vibrate while you are attending the conference sessions.



Staff will be available throughout the conference to guide you to meeting rooms, answer questions about the program and social events and to advise you of location changes in case of inclement weather. Please let us know how we can assist you to make your stay in Boca more enjoyable.

PROGRAM AT-A-GLANCE

TUESDAY, MARCH 14, 2017	
8:00 a.m.	<i>Exchange Chairmen & Presidents Breakfast (Invitation only)</i>
8:30 a.m.	<i>CFTC International Regulators Meeting (Invitation only)</i>
9:00 a.m.	Registration Opens
9:00 a.m.	Exhibits Open
9:00 a.m.	FIA Boca Tennis Tournament Benefiting Futures for Kids
12:00 p.m.	DTCC Welcome Hospitality 2017
1:00 p.m.	FIA Annual Meeting (<i>FIA members only</i>)
1:25 p.m.	InformationXchange: Eurex
2:20 p.m.	InformationXchange: Intercontinental Exchange
3:15 p.m.	InformationXchange: CME Group
4:00 p.m.	Opening Remarks
4:30 p.m.	Taking Stock – An Industry at the Crossroads
5:30 p.m.	Welcome Cocktail Hour
6:30 p.m.	Exhibits Close
6:30 p.m.	Opening Reception
9:00 p.m.	Around the World with Nasdaq
WEDNESDAY, MARCH 15, 2017	
7:30 a.m.	Registration Opens
8:00 a.m.	ICE Energy Breakfast
9:00 a.m.	Exhibits Open
9:00 a.m.	Eurex Café Opens
9:20 a.m.	CFTC Keynote Address: Acting Chairman J. Christopher Giancarlo
10:00 a.m.	One-on-one Interview Featuring Digital Asset Holdings CEO Blythe Masters
10:30 a.m.	Eurex Café Networking Break
11:00 a.m.	Exchange Leaders – Mapping Out the Future of Markets

PROGRAM AT-A-GLANCE

WEDNESDAY, MARCH 15, 2017 (CONT.)		
12:15 p.m.	NEX Group Power Lunch Featuring David Cameron	
2:15 p.m.	Smart Regulation	Silicon Valley Meets Wall Street
3:30 p.m.	Eurex Café Networking Break	
4:00 p.m.	Time for a New Commodities Supercycle?	The Relationship Between Regulation and Technology
4:30 p.m.	Exhibits Close	
6:30 p.m.	Boca Nite Out	
THURSDAY, MARCH 16, 2017		
7:00 a.m.	FIA Tech Fun Run	
7:30 a.m.	Registration Opens	
8:00 a.m.	Hall of Fame Breakfast	
9:00 a.m.	Exhibits Open	
9:00 a.m.	Keynote Address: Senator David Perdue	
9:00 a.m.	Eurex Café Opens	
9:30 a.m.	Clearing - The Way Ahead	
10:45 a.m.	Eurex Café Networking Break	
11:15 a.m.	In Search of Liquidity	
12:30 p.m.	International Exchanges Networking Lunch	
1:30 p.m.	CCP Risk – Where Are We Now?	
2:30 p.m.	Eurex Café Networking Break	
2:50 p.m.	Closing Remarks	
3:00 p.m.	Washington Outlook	
3:15 p.m.	Exhibits Close	
5:00 p.m.	Closing Reception	
FRIDAY, MARCH 17, 2017		
7:30 a.m.	3rd Annual FIA Charity Golf Event Benefiting Futures For Kids	

GENERAL INFO

NAME BADGES/ATTIRE

All delegates and their guests will need to wear the provided name badges to gain entrance to all conference sessions and social events. Attire for the conference is business casual or resort casual. Informal cocktail attire is suggested for the evening receptions. We recommend a wrap or a jacket in the evening in case of cooler temperatures.

VENUE/PROGRAM INFO

All business sessions, exhibits, breakfasts and luncheons will take place in the Mizner Center unless otherwise noted on the program. Social events will take place at various locations throughout the property. Please check the program, the app or the Boca daily e-newsletter for program and event information.

REGISTRATION DESK

Royal Palm Pre-Assembly

Tuesday 9:00 a.m. – 6:00 p.m.

Wednesday 7:30 a.m. – 5:30 p.m.

Thursday 7:30 a.m. – 3:00 p.m.

EXHIBITS

Royal Palm Pre-Assembly

Tuesday 9:00 a.m. – 6:30 p.m.

Wednesday 9:00 a.m. – 4:30 p.m.

Thursday 9:00 a.m. – 3:15 p.m.

WELCOME COCKTAIL HOUR

Royal Palm Pre-Assembly

Tuesday 5:30 p.m. – 6:30 p.m.

PRESS OFFICE

Estate III

Tuesday 8:00 a.m. – 7:00 p.m.

Wednesday 8:00 a.m. – 7:00 p.m.

Thursday 8:00 a.m. – 4:00 p.m.

PRESS BRIEFING ROOM

Estate II

Access

Access the
Wi-Fi network

Sponsored by:

LCH The Markets'
Partner

NETWORK NAME: LCH

PASSCODE: ListedRates

Connection Instructions

1. Select the **LCH** wireless network
2. Open Web Browser
3. Enter passcode: **ListedRates**

Network

Download the Boca event
app and network with
fellow attendees

boca2017.FIA.org/mobile

*Or search for the "FIA Events"
app in your app store.

Sponsored by:



Share

Share how you're experiencing the Boca Effect!

Follow **@FIACONNECT** and tweet using the hashtag

#FIABoca

Relax

Use the **FIA Lounge** located in the Grand Pre-Assembly
for impromptu meetings! And, pick up some special FIA
giveaways, including sunglasses, lip balm and your very
own Boca 2017 koozie!

BOCA 2017 SPONSORS

Thank you for your support!

PLATINUM



GOLD



Securing Today. Shaping Tomorrow.®

SILVER



BOCA 2017 SPONSORS

BRONZE



**Pick up your free FIA giveaways
and relax at the FIA Lounge located
in the Grand Pre-Assembly!**

BOCA 2017 SPONSORS

CONTRIBUTING



Are you ready?



nex.com

NEX

**EMPOWERING
CAPITAL
MARKETS**

EXECUTE EFFICIENTLY OPTIMISE RESOURCES RAISE CAPITAL ACCELERATE GROWTH

PROGRAM

TUESDAY, March 14, 2017

8:00 a.m. – 9:00 a.m.

Royal Palm Ballroom VII-IX

EXCHANGE CHAIRMEN & PRESIDENTS BREAKFAST

(Invitation Only)



KORN FERRY

LAB49

8:30 a.m. – 5:00 p.m.

Addison Ballroom

CFTC INTERNATIONAL REGULATORS MEETING

(Invitation Only)

9:00 a.m. – 5:30 p.m.

Royal Palm Pre-Assembly

REGISTRATION OPENS



**SOCIETE
GENERALE**

Make sure to stop by the conference registration desk and pick up your SGX name badge and Societe Generale conference bag filled with Boca 2017 materials before heading into the first session.

9:00 a.m. – 6:30 p.m.

Royal Palm Pre-Assembly

EXHIBITS OPEN

Visit our diverse exhibit area and explore what our exhibitors are showcasing at Boca 2017.

9:00 a.m. – 12:00 p.m.

Tennis Courts

**FIA BOCA TENNIS TOURNAMENT BENEFITING
FUTURES FOR KIDS**



**TRADING
TECHNOLOGIES**

Trading Technologies (TT) is partnering with FIA to give some love to Futures for Kids with the FIA Boca Tennis Tournament. Spend an hour doing drills to perfect your swing, and then find your match in the round-robin

tournament. Prizes will be awarded to the top three final scores. Registration is separate from the conference with the total fee going to Futures for Kids – and TT will provide a matching donation of the total raised in registration fees!

12:00 p.m. – 3:00 p.m.

Royal Palm Pre-Assembly

DTCC WELCOME HOSPITALITY 2017

DTCC

Securing Today. Shaping Tomorrow.®

DTCC welcomes you to Boca 2017! Please enjoy refreshments and a buffet lunch in the registration and exhibit area.

1:00 p.m. – 1:15 p.m.

Venetian

FIA ANNUAL MEETING

(FIA members only)

1:25 p.m. – 2:10 p.m.

Grand Ballroom F-J

INFORMATIONXCHANGE: EUREX



Experts from Eurex Exchange will provide you with an overview of interesting new services, products and developments and are ready for an informative discussion with you.

Speakers:

Carlo Kölzer, Chief Executive Officer, 360T

Vassilis Vergotis, Executive Vice President, Head of
Eurex Offices- Americas, Eurex

PROGRAM

TUESDAY, March 14, 2017, continued

2:20 p.m. – 3:05 p.m.

Royal Palm Ballroom I-V

INFORMATIONXCHANGE: INTERCONTINENTAL EXCHANGE



Join ICE's management team for an update on its global markets, clearing developments and how data services are evolving to meet customers' requirements.

Speakers:

Jeffrey Sprecher, *Chairman & Chief Executive Officer, Intercontinental Exchange*

Chris Edmonds, *Senior Vice President, Financial Markets, Intercontinental Exchange*

Ben Jackson, *Chief Commercial Officer, Intercontinental Exchange*

Trabue Bland, *President, ICE Futures U.S.*

Hester Serafini, *President & Chief Operations Officer, ICE Clear U.S.*

Lynn Martin, *President & Chief Operations Officer, ICE Data Services*

3:15 p.m. – 4:00 p.m.

Grand Ballroom F-J

INFORMATIONXCHANGE: CME GROUP



The Era of Event Risk: Challenges and Opportunities

A tour through the global themes and challenges facing futures and options markets, as well as a new perspective on risk analysis and event risk, with Blu Putnam, CME Group's chief economist.

Speaker:

Blu Putnam, *Chief Economist, CME Group*

4:00 p.m. – 4:30 p.m.

Royal Palm Ballroom I-V

OPENING REMARKS



Speaker:

Walt Lukken, *President & Chief Executive Officer, FIA*

4:30 p.m. – 5:30 p.m.

Royal Palm Ballroom I-V

TAKING STOCK – AN INDUSTRY AT THE CROSSROADS



Moderator:

Edward Pla, *Managing Director, Global Head of Clearing & Execution, UBS*

The derivatives industry has spent the past decade bruised by the impact of broad financial market reform. With the pressures of regulatory change and geopolitical developments continuing alongside advances in technology and product offerings, is it time now to take stock? Have market structures evolved sufficiently to be fit for the future? Senior representatives from a range of market participants will offer their thoughts on the key trends impacting the industry.

Speakers:

Michael Bodson, *President & Chief Executive Officer, DTCC*

Craig Donohue, *Executive Chairman & Chief Executive Officer, OCC*

Michael Spencer, *Chief Executive Officer, NEX Group*

Don Wilson, *Chief Executive Officer, DRW Trading Group*

PROGRAM

TUESDAY, March 14, 2017, continued

5:30 p.m. – 6:30 p.m.

Royal Palm Pre-Assembly

WELCOME COCKTAIL HOUR

Start the evening off with a drink and networking.
Sponsored by the Boca 2017 Exhibitors.

Bloomberg L.P.
Calypso Technology
EOX Live
GlobalRisk Corporation
Lombard Risk
NEX Group plc

Societe Generale
t0
Trillium Surveyor
U.S. Commodity Futures
Trading Commission

6:30 p.m.

EXHIBITS CLOSE

6:30 p.m. – 8:30 p.m.

Garden Pool

OPENING RECEPTION

Join your fellow Boca delegates and celebrate what happens when people and cultures from around the world come together. Enjoy drinks and heavy hors d'oeuvres while you connect with longtime friends and make new ones! Sponsored by FIA and the Boca Raton Resort & Club.

9:00 p.m. - 11:00 p.m.

FlowRider Deck

AROUND THE WORLD WITH NASDAQ



Eat, drink, explore and take a trip Around the World with Nasdaq. Join us for an evening that's guaranteed to take you away!

*Charging stations are
provided by:*

LCH The Markets'
Partner



WEDNESDAY, March 15, 2017

7:30 a.m. – 5:30 p.m.

Royal Palm Pre-Assembly

REGISTRATION OPENS



Make sure to stop by the conference registration desk and pick up your SGX name badge and Societe Generale conference bag filled with Boca 2017 materials before heading into the first session.

8:00 a.m. – 9:10 a.m.

Grand Ballroom F-J

ICE ENERGY BREAKFAST



Welcome:

Walt Lukken, *President & Chief Executive Officer, FIA*



Speakers:

The Rt. Hon. the Lord Hague of Richmond

Jeffrey Sprecher, *Chairman & Chief Executive Officer, Intercontinental Exchange*



Jeff Sprecher, Chairman and CEO, Intercontinental Exchange will host a fire-side chat with Lord Hague of Richmond who served Britain for over 25 years in the UK Parliament, including serving as Foreign Secretary and First Secretary of State, Leader of the House of Commons, and Head of the Conservative Party.

Lord Hague will discuss a range of topics relating to the global political and regulatory landscape, current events and economic growth.

Lord Hague has been a director of Intercontinental Exchange (NYSE: ICE) since September 2015. He also serves as Chairman of the Board of Directors of ICE Futures Europe, the London-based energy exchange and a subsidiary of Intercontinental Exchange.

PROGRAM

WEDNESDAY, March 15, 2017, continued

9:00 a.m. – 4:30 p.m.

Royal Palm Pre-Assembly

EXHIBITS OPEN

Visit our diverse exhibit area and explore what our exhibitors are showcasing at Boca 2017.

9:00 a.m. – 5:00 p.m.

Spanish Terrace

EUREX CAFÉ OPENS



Welcome to Boca 2017! Come to the Eurex Café, grab a coffee and discuss the latest Eurex products & services with our team.

9:20 a.m. – 10:00 a.m.

Royal Palm Ballroom I-V

CFTC KEYNOTE ADDRESS: ACTING CHAIRMAN J. CHRISTOPHER GIANCARLO



Speaker:

J. Christopher Giancarlo, Acting
Chairman, Commodity Futures Trading
Commission

CFTC Acting Chairman Christopher Giancarlo was sworn in as a CFTC Commissioner in 2014. He was designated as Acting Chairman of the Commission on January 20, 2017. Before entering public service, Acting Chairman Giancarlo served as the Executive Vice President of GFI Group Inc. Prior to that he was Executive Vice President and U.S. Legal Counsel of Fenics Software and was a corporate partner in the New York law firm of Brown Raysman Millstein Felder & Steiner. Acting Chairman Giancarlo will provide an overview of the regulatory agenda for listed and cleared derivatives markets in the U.S. and address the need to reflect and enable innovation and a forward looking agenda.

10:00 a.m. – 10:30 a.m.**Royal Palm Ballroom I-V****ONE-ON-ONE INTERVIEW FEATURING DIGITAL
ASSET HOLDINGS CEO BLYTHE MASTERS****Interviewer:****Walt Lukken**, *President & Chief Executive Officer, FIA***Speaker:****Blythe Masters**, *Chief Executive Officer, Digital Asset Holdings*

Blythe Masters is CEO of Digital Asset, a New York headquartered financial technology company that builds distributed, encrypted straight through processing tools for wholesale financial service providers using distributed ledger technology. She is also Chair of the Governing Board of the Linux Foundation's open source Hyperledger Project, member of the International Advisory Board of Santander Group, and Advisory Board Member of the US Chamber of Digital Commerce.

Blythe was previously a senior executive at J.P. Morgan which she left in 2014 after a career spanning 27 years. She served in numerous senior positions at the firm including Head of Global Commodities, CFO of J.P. Morgan Investment Bank, Head of Global Credit Portfolio and Credit Policy and Strategy, in addition to other senior roles. In this interview, Blythe will discuss the impact of blockchain technology on financial markets.

*Your room key card is provided
compliments of:*



PROGRAM

WEDNESDAY, March 15, 2017, continued

10:30 a.m. – 11:00 a.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK



11:00 a.m. – 12:15 p.m.

Royal Palm Ballroom I-V

**EXCHANGE LEADERS – MAPPING OUT THE
FUTURE OF MARKETS**



Chair:

Jane Gladstone, *Senior Managing Partner,
Evercore*

Exchange leaders will address the status of trading and clearing within listed derivatives markets, highlighting opportunities on the horizon, as well as potential roadblocks in the expansion of global exchange networks.

Speakers:

Terry Duffy, *Chairman & Chief Executive Officer, CME Group*

Adena Friedman, *President & Chief Executive Officer, Nasdaq*

Loh Boon Chye, *Chief Executive Officer, Singapore Exchange*

Jeffrey Sprecher, *Chairman & Chief Executive Officer, Intercontinental Exchange*

Jeffrey Tessler, *Member of the Executive Board, Deutsche Boerse Group*



*Keep cool and quench your
thirst with bottled water...
Compliments of:*



12:15 p.m. – 2:00 p.m.

Grand Ballroom F-J

NEX GROUP POWER LUNCH FEATURING DAVID CAMERON



Welcome:

Walt Lukken, *President & Chief Executive Officer, FIA*



Speaker:

Michael Spencer, *Chief Executive Officer, NEX Group*



Keynote:

The Rt Hon David Cameron, *Prime Minister of the United Kingdom (2010-2016)*

David Cameron, Prime Minister of the United Kingdom (2010-2016), will be the keynote speaker at the NEX Group Power Lunch during the 42nd annual FIA International Futures Industry Conference. Cameron will provide an unrivaled perspective on the future of the European Union and Britain's place in the world following Brexit; and a unique insight into the rise of populist politics at a time of profound global change. Cameron's appearance is sponsored by NEX Group, a forward-thinking, technology-based services company. NEX Group celebrates its launch at Boca 2017.

Note: There is limited seating available for this keynote & luncheon. We encourage you to arrive on time (or early!) to ensure a seat in the room is available. Doors will open at 12:00 p.m. (subject to change). Once capacity has been reached, interested attendees will be directed to a nearby overflow room to watch the speech on a large monitor.

PROGRAM

WEDNESDAY, March 15, 2017, continued

CONCURRENT SESSIONS

2:15 p.m. – 3:30 p.m.

Royal Palm Ballroom I-V

SMART REGULATION



Chair:

Jackie Mesa, *Senior Vice President, Global Policy, FIA*

While regulatory reform is still not yet complete in some jurisdictions, the need to review what has already been implemented has started. This panel of regulatory experts will assess the effectiveness of existing regulation and discuss where change may be required.

Speakers:

Sharon Bowen, *Commissioner, Commodity Futures Trading Commission*

Jonathan Jachym, *Head of North America Regulatory Strategy & Government Relations, London Stock Exchange Group*

Yao Loong Ng, *Executive Director, Markets Policy & Infrastructure Department, Monetary Authority of Singapore*

Bill Stenning, *Managing Director, Clearing, Regulatory & Strategic Affairs, Societe Generale*

Petr Wagner, *Counselor, Economic and Financial Affairs, Delegation of the European Union to the United States*

Get the latest Boca 2017 information and updates in the FIA Events app, provided by



Download at:
boca2017.FIA.org/mobile

*Or search for the “FIA Events” app in your app store.



2:15 p.m. – 3:30 p.m.*Addison Ballroom***SILICON VALLEY MEETS WALL STREET**

Chair:

Jeffrey Kutler, *Senior Contributing Editor,
Institutional Investor*

Technology has the potential to dramatically change the way markets operate – but how can the derivatives industry, specifically, make best use of the opportunities provided by new developments? This panel of technology and market specialists will examine the key emerging technology trends that will impact how markets function in the future.

Speakers:

Mark Beeston, *Founder & Managing Partner, Illuminate Financial***Morgan Downey**, *Chief Executive Officer, Money.net***Jenny Knott**, *Chief Executive Officer, NEX Optimisation,
NEX Group***Rumi Morales**, *Executive Director, CME Ventures***Scott Mullins**, *Head of Worldwide Financial Services
Business Development, Amazon Web Services*

3:30 p.m. – 4:00 p.m.*Spanish Terrace***EUREX CAFÉ NETWORKING BREAK**

PROGRAM

WEDNESDAY, March 15, 2017, continued

CONCURRENT SESSIONS

4:00 p.m. – 5:00 p.m.

Addison Ballroom

TIME FOR A NEW COMMODITIES SUPERCYCLE?



Chair:

Maureen Downs, *President, Rosenthal Collins Group*

Is optimism of a bullish market in commodities well founded? If so, what are the core areas of opportunity for the derivatives industry? And who will fill the vacuum created by the departure of banks from these markets in recent years?

Speakers:

Trabue Bland, *President, ICE Futures U.S.*

Carl Daucher, *Head of Commodities, Bloomberg*

Clive Furness, *Managing Director, Contango Markets*

James Proudlock, *Deputy Chief Executive Officer, LME Clear*

Derek Sammann, *Senior Managing Director, Global Head of Commodities & Options Products, CME Group*

CONCURRENT SESSIONS

4:00 p.m. – 5:00 p.m.

Royal Palm Ballroom I-V

THE RELATIONSHIP BETWEEN REGULATION AND TECHNOLOGY



Chair:

Greg Wood, *Senior Vice President, Global Industry Operations & Technology, FIA*

The growing interdependence between markets and technology presents regulators with a number of challenges. How do they provide effective oversight to this critical part of market structure? How can they make best use of technology offerings to fulfill their own objectives such as greater transparency? And, how do regulators make sure

that outsourced services are fully compliant with the requirements placed on the regulated firms who use them?

Speakers:

Daniel Bucsa, *Deputy Director, Division of Market Oversight, Commodity Futures Trading Commission*

Steve Grob, *Director of Group Strategy, Fidessa*

Bill Harts, *Chief Executive Officer, Modern Markets Initiative*

Lars Ottersgård, *Executive Vice President & Head of Market Technology, Nasdaq*

4:30 p.m.

EXHIBITS CLOSE

6:30 p.m. – 8:30 p.m.

Mizner Center Porte Cochère

BOCA NITE OUT



Fun “county fair” delights await you at this must-attend networking event! Try your hand at one of the exciting midway games, strike a pose with friends and colleagues at the Vela Trading Technologies Photo Booth or sample some of South Florida’s favorite fair foods. Make new connections and mingle with colleagues courtesy of our Boca Nite Out sponsors!



THURSDAY, March 16, 2017

7:00 a.m. – 8:00 a.m.

Resort Golf Course

FIA TECH FUN RUN



Don't Give-Up, get moving and meet us on the golf course for a fun 3.1 mile run/walk. Race will start at sunrise and participation is free, courtesy of FIA Tech.

All participants will receive a runner's pack including a super soft tee and cooling towel. Sign up at the Activities Desk in the registration area. FIA Tech is your partner for processing services, collaborating globally with the futures industry to improve operational efficiency via web-based software and processing solutions.

7:30 a.m. – 3:00 p.m.

Royal Palm Pre-Assembly

REGISTRATION OPENS



Make sure to stop by the conference registration desk and pick up your SGX name badge and Societe Generale conference bag filled with Boca 2017 materials.

8:00 a.m. – 9:00 a.m.

Grand Ballroom F-J

HALL OF FAME BREAKFAST

Speaker:

Walt Lukken, *President & Chief Executive Officer, FIA*

Since its inception in 2005, FIA's Futures Hall of Fame has honored the accomplishments and contributions of 131 remarkable individuals from around the world. These inductees have earned the respect and gratitude of participants in the global futures, options and cleared derivatives markets for laying the foundation for the great success of our industry. Join us for breakfast as FIA inducts 14 new members into the Futures Hall of Fame.

Around the world, there are

8,000

micro-earthquakes every day.



Eurex Exchange turns figures into opportunities. We help you manage your European volatility exposure with over 14.5 million VSTOXX® contracts traded in 2016.

Use our cost efficient offering to trade volatility with our VSTOXX® Futures (FVS), CFTC-certified VSTOXX® Options (OVS2) and Variance Futures (EVAR), now included in our portfolio risk-based margining methodology providing significant reduction in margin requirements.

Whether you want to hedge, diversify your portfolio, generate alpha or trade the spread between European and Non-European volatility, VSTOXX® offers a transparent and liquid order book with the safety of a centrally cleared product.

We help investors get more from the market and maximize capital and cost efficiencies.
www.eurexchange.com/vstoxx

**VSTOXX® – Europe's
volatility benchmark**



The information published in this publication is for general information purposes only. It is not intended to constitute investment advice nor is it intended for solicitation purposes. Eurex is not responsible for any errors or omissions contained in this publication. Before trading, persons should consider the risks involved and the legal requirements of the relevant jurisdiction.

PROGRAM

THURSDAY, March 16, 2017, continued

9:00 a.m. – 3:15 p.m.

Royal Palm Pre-Assembly

EXHIBITS OPEN

Visit our diverse exhibit area and explore what our exhibitors are showcasing at Boca 2017.

9:00 a.m. – 9:30 a.m.

Royal Palm Ballroom I-V

KEYNOTE ADDRESS: SENATOR DAVID PERDUE



Introduction:

Walt Lukken, *President & Chief Executive Officer, FIA*

Speaker:

Senator David Perdue (R-GA)

Senator David Perdue is the only Fortune 500 CEO in Congress and has over 40 years of business experience as the former CEO of Reebok and Dollar General. He was elected to the U.S. Senate in 2014, his first role in public office. In the 115th Congress, Senator Perdue serves on the Armed Services Committee, Banking Committee, Agriculture Committee, and Budget Committee. His role on these committees puts him at the intersection of solving the U.S. national debt and global security crisis. During his Boca address, Senator Perdue will discuss America's economic future, ending the over-reaching regulatory environment, and how domestic policies affect global business.

9:00 a.m. – 3:00 p.m.

Spanish Terrace

EUREX CAFÉ OPEN



Come to the Eurex Café, grab a coffee and discuss the latest Eurex products & services with our team.

9:30 a.m. – 10:45 a.m.

Royal Palm Ballroom I-V

CLEARING - THE WAY AHEAD



Chair:

George Simonetti, *Managing Director,
Head of Global Exchange Traded Services,
Wells Fargo*

Leaders from major clearinghouses across the globe will set out key issues on their agenda as the implementation of regulatory change continues to shape their operations. How will rules to ensure the stability of CCP structures impact them? Will direct customer access bring about new challenges? What new opportunities and challenges do they see ahead?

Speakers:

Sunil Cutinho, *President, CME Clearing, CME Group*

Daniel Maguire, *Managing Director, Global Head of
Rates & FX Derivatives, LCH*

Eric Mueller, *Chief Executive Officer, Eurex Clearing*

Hester Serafini, *President & Chief Operating Officer,
ICE Clear U.S.*

10:45 a.m. – 11:15 a.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK



Wi-Fi provided by:

LCH The Markets'
Partner



Network Name: LCH

Passcode: ListedRates

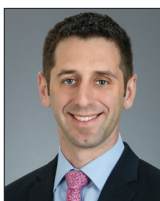
PROGRAM

THURSDAY, March 16, 2017, continued

11:15 a.m. – 12:30 p.m.

Royal Palm Ballroom I-V

IN SEARCH OF LIQUIDITY



Chair:

Kevin McPartland, *Head of Market Structure & Technology Research, Greenwich Associates*

Liquidity is a key prerequisite of an efficient and effective market. This panel will look at what is happening to liquidity globally; the impact of technology and regulation on liquidity providers; and what markets will be the source of liquidity?

Speakers:

Nicolas Bertrand, *Global Head of Derivatives Markets & Commodities, London Stock Exchange Group*

Chris Edmonds, *Senior Vice President, Financial Markets, Intercontinental Exchange*

Keith Fishe, *Managing Partner, TradeForecaster Global Markets*

Jonah Platt, *Director, Government & Regulatory Policy, Citadel LLC*

Antonio Reyes, *Americas Head - Futures, Clearing and Collateral, Citigroup Global Markets*

John Shay, *Senior Vice President & Global Head of Fixed Income and Commodities, Nasdaq*

12:30 p.m. – 1:30 p.m.

Grand Lawn

INTERNATIONAL EXCHANGES NETWORKING LUNCH



Balancing market fresh organic greens with favorites from the Mediterranean, this al fresco lunch promises to fill you up and spark conversation. Meet with colleagues and grab a plate...brought to you by our international futures exchanges.

Where Innovation Meets Efficiency

Our commitment to fintech is driven by our ambition to empower our customers with end-to-end solutions to take their organization to the next level.

That's why Nasdaq is proud to sponsor **FIA's 42nd Annual International Futures Industry Conference.**

To learn more visit nasdaq.com/ambition



Ignite your ambition

PROGRAM

THURSDAY, March 16, 2017, continued

1:30 p.m. – 2:30 p.m.

Royal Palm Ballroom I-V

CCP RISK – WHERE ARE WE NOW?



Chair:

Eric Aldous, *Managing Director, Head of Futures, RBC Capital Markets*

CCPs and clearing members work to promote safe and efficient mechanisms by collecting margin, establishing default rules, and managing porting or liquidating customer trades in a time of crisis. Regulators are now discussing mechanisms to use when a crisis creates a systemic issue for the CCP. This panel will consider new international standards, regulations, and CCP rules related to resiliency, recovery and resolution and whether new regulatory initiatives are improving the overall system or actually raising new concerns. Panelists will debate the pros and cons of using tools such as variation and initial margin haircutting, partial tear ups, loss allocation and at what point a CCP should go from recovery to resolution.

Speakers:

Lee Betsill, *Managing Director, Chief Risk Officer, CME Group*

Helen Lofthouse, *Executive General Manager, Derivatives & OTC Markets, ASX*

Chris Perkins, *Managing Director & Global Head of OTC Clearing, Citigroup Global Markets*

Robert Steigerwald, *Senior Policy Advisor, Financial Markets Group, Federal Reserve Bank of Chicago*

Kay Swinburne, *Member, European Parliament*

2:30 p.m. – 2:50 p.m.

Spanish Terrace

EUREX CAFÉ NETWORKING BREAK



2:50 p.m. – 3:00 p.m.
CLOSING REMARKS

Royal Palm Ballroom I-V



Speaker:
Walt Lukken, *President & Chief Executive Officer, FIA*

3:00 p.m. – 4:00 p.m.
WASHINGTON OUTLOOK

Royal Palm Ballroom I-V



Chair:
Dawn Stump, *Founder & Principal, Stump Strategic*

A new U.S. administration paves the way for potentially significant change for listed derivatives – with the impact likely to extend beyond domestic markets. Legislative and regulatory specialists will share their view of that potential as the industry assesses how it will need to respond to changes likely to emerge from Washington.

Speakers:

Julie Bauer, *Senior Vice President, Government Relations, OCC*

Ryan Jachym, *Executive Director, Global Policy, J.P. Morgan*

Jim Newsome, *Founding Partner, Delta Strategy Group*

Mark Wetjen, *Managing Director & Head of Global Public Policy, DTCC*

3:15 p.m.
EXHIBITS CLOSE

PROGRAM

THURSDAY, March 16, 2017, continued

5:00 p.m. – 7:00 p.m.

Resort Golf Course- 9th Hole

CLOSING RECEPTION



UBS is proud to sponsor the closing reception benefiting Futures for Kids. Join your fellow Boca delegates at the Beer Garden on the Green! Enjoy local craft beers, live music, great fun and good company. We hope to see you there on March 16, at 5:00 p.m.

Friday, March 17, 2017

7:30 a.m. – 12:00 p.m.

Resort Golf Course

3RD ANNUAL FIA CHARITY GOLF EVENT BENEFITING FUTURES FOR KIDS

Top off your Boca 2017 experience with the 3rd Annual FIA Charity Golf Event Benefiting Futures For Kids, sponsored by UBS, at the newly renovated Resort Course of the Boca Raton Resort and Club.

Sign up at the activities registration desk in the Royal Palm Pre-Assembly and support this wonderful charity!



Sponsored by



Benefiting



BOCA 2017 STEERING COMMITTEE

Mark Benhard, London Stock Exchange Group

Rob Creamer, Geneva Trading

Michael Dawley, Goldman Sachs & Co.

Maureen Downs, Rosenthal Collins Group

Pat Hickey, Optiver

Mark Ibbotson, G. H. Financials Limited

Kelly Loeffler, Intercontinental Exchange

Anita Liskey, CME Group

Joanna Mallers, FIA PTG

John Dabbs, Credit Suisse Securities

Edward Pla, UBS

Kenneth Raisler, Sullivan & Cromwell



With over 40 years of experience, DTCC provides robust processing services across the trade lifecycle that help mitigate risk, reduce costs, increase transparency and promote greater market efficiency.

For more information on DTCC products and services, visit [DTCC.com](https://www.dtcc.com). [in](#) [twitter](#) [facebook](#)



2017 BOARD OF DIRECTORS

CHAIRMAN

Michael Dawley
Goldman Sachs & Co.

TREASURER

Gerald Corcoran
R.J. O'Brien & Associates LLC

VICE CHAIRMAN

Jerome Kemp
Citigroup Global Markets

SECRETARY

Clark Hutchison
Deutsche Bank

Clive Christison

BP Corporation
North America

Robert Creamer

Geneva Trading

John Dabbs

Credit Suisse Securities

Jan Bart de Boer

ABN AMRO Clearing

Maureen Downs

Rosenthal Collins Group

Thomas Erickson

Cargill Risk Management

Mary Foreman

Morgan Stanley

Andrew Gooch

GF Financial Markets
(U.K.) Ltd

Arthur W. Hahn

Katten Muchin Rosenman

Chris Hehmeyer

Heymeyer Trading +
Investments Capital

Alasdair Hodge

Barclays

Mark Ibbotson

G.H. Financials

Tom Kadlec

ADM Investor Services

Najib Lamhaouar

HSBC Securities

Walt Lukken

FIA

David Martin

J.P. Morgan Securities

James Overdahl

Delta Strategy Group

Edward Pla

UBS

Kenneth Raisler

Sullivan & Cromwell

Nicholas Rustad

J.P. Morgan Securities

George Simonetti

Wells Fargo Securities

Steve Sparke

Marex Spectron

Dean Tonkin

Bank of America
Merrill Lynch

Chris Toppie

Societe Generale

Michael Voisin

Linklaters

As of February 2017



ALWAYS ON

Global markets are always moving.
That's why we're always innovating
trading, clearing, data and
technology solutions.

theice.com/always-on



© 2017 Intercontinental Exchange, Inc. The following are trademarks of Intercontinental Exchange, Inc. and/or its affiliated companies: Intercontinental Exchange, ICE, New York Stock Exchange and NYSE. For more information regarding trademarks owned by Intercontinental Exchange, Inc. and/or its affiliated companies see intercontinentalexchange.com/terms-of-use

2017 REGIONAL ADVISORY BOARDS

AMERICAS

CHAIRMAN

Maureen Downs

Rosenthal Collins Group

Antoine Babule

Societe Generale

Clive Christison

BP Corporation

North America

Gerald Corcoran

R.J. O'Brien &

Associates LLC

Robert Creamer

Geneva Trading

Jan Bart de Boer

ABN AMRO Clearing

Michael Dawley

Goldman Sachs & Co.

Thomas Erickson

Cargill Risk Management

Richard Gorelick

RGM Advisors

Arthur Hahn

Katten Muchin Rosenman

Chris Hehmeyer

Heymeyer Trading +

Investments Capital

Clark Hutchison

Deutsche Bank

Tom Kadlec

ADM Investor Services

Najib Lamhaouar

HSBC Securities

Edward Pla

UBS

Kenneth Raisler

Sullivan & Cromwell

George Simonetti

Wells Fargo Securities

Dean Tonkin

Bank of America

Merrill Lynch

ASIA

CHAIRMAN

David Martin

J.P. Morgan Securities

Helen Lofthouse

ASX Ltd

Ady Ng

UOB Bullion and

Futures Ltd

Sharon Shi Ning

G.H. Financials

(Hong Kong) Ltd

Matt O'Neill

Morgan Stanley

Rama Pillai

Singapore Exchange

Kevin Rideout

Hong Kong Exchange

and Clearing Ltd

James Shekerdemian

Societe Generale

David Strachan

UBS

2017 REGIONAL ADVISORY BOARDS

EUROPE

CHAIRMAN

Steve Sparke

Marex Spectron

Rod Banus

Nomura International Plc

Robbert Booij

ABN AMRO Clearing

Paul Bromley

Amalgamated Metal
Trading Ltd.

Paul Dawson

RWE Supply &
Trading GmbH

Phil Donert

Morgan Stanley

Richard Fearn

Goldman Sachs & Co.

Silas Findley

Citigroup Global Markets

Nicholas Forgan

J.P. Morgan Securities

Andrew Gooch

GF Financial Markets
(U.K.) Ltd

Mark Ibbotson

G.H. Financials

Christophe Lattuada

Societe Generale

Janina Marks

UBS

Stephen Plestis

Credit Suisse Securities

Bruce Savage

Deutsche Bank

Brooks Stevens

Bank of America
Merrill Lynch

Jonathan Taylor


Barclays


SPONSOR PROFILES


PLATINUM SPONSORS


Eurex Exchange

www.eurexexchange.com

 @EurexGroup

 Vassilis Vergotis,
Executive Vice President

 +1 312.544.1000

 info.usa@eurexexchange.com



As one of the world's leading derivatives exchanges we offer a broad range of international benchmark products.


We operate one of the most liquid fixed income markets, provide the broadest range of equity index derivatives worldwide and are the platform of choice for European equity derivatives. In addition, we cover derivatives on dividends, volatility and ETFs. On one single platform.


Innovative and reliable technology supplies about 400 participants and 7,500 traders in 35 countries with access to more than 2,000 products. The latest additions to our offering are the EURO STOXX 50® Index Dividend Futures (FEXD) and Options on VSTOXX® Futures contract (OVS2).

Eurex Clearing is one of the leading central counterparties globally, clearing the broadest scope of products under a single framework in Europe. Eurex Clearing serves 185 Clearing Members in 17 countries, managing a collateral pool of EUR 57 billion and processing a gross risk valued at EUR 17 trillion every month. Partnering with other industry leaders, investors and regulators around the world, our people are shaping an environment where all participants can get more from the market. Eurex Exchange and Eurex Clearing are part of Deutsche Börse Group.

Intercontinental Exchange (ICE)

www.theice.com

 @ICE_markets

 info@theice.com



Intercontinental Exchange (NYSE: ICE) operates the leading network of global futures and equity exchanges and provides world class clearing, data and listing services across commodity and financial markets. The New York Stock Exchange is the world leader in capital raising and equities trading.

Formed in 2000, ICE's electronic trading platform brought more transparency and accessibility to the OTC energy markets. Soon after, ICE added global futures and cleared OTC markets across


virtually all asset classes, data services and clearing houses. With a culture of growth through client service and innovation, ICE's global network and state-of-the art technology solutions serve the dynamic needs of market participants around the world.

Today, the result is an ecosystem of 11 exchanges, 6 clearing houses as well as global data and listings services that combine to provide access to global capital and derivatives markets.

Nasdaq

business.nasdaq.com

 @Nasdaq

 trade@nasdaq.com




Nasdaq (Nasdaq: NDAQ) is a leading provider of trading, clearing, exchange technology, listing, information and public company services across six continents. Through its diverse portfolio of solutions, Nasdaq enables clients to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today's global capital markets.


As the creator of the world's first electronic stock market, its technology powers more than 85 marketplaces in 50 countries, and 1 in 10 of the world's securities transactions. Nasdaq is home to approximately 3,800 listed companies with a market value of \$10.1 trillion and nearly 18,000 corporate clients. To learn more, visit: business.nasdaq.com.

NEX Group plc

www.nex.com

 @nexgrp

 +44 (0) 20.7818.9000

 boca@nex.com



NEX Group plc offers customers better ways to execute trades and manage risk. Our products and services underpin the entire trade lifecycle pre-, during and post-execution. Our electronic trading platforms are industry standards. Customers use our lifecycle management and information services to optimise portfolios, control risk and reduce costs. We partner with emerging technology companies to bring greater efficiency, transparency and scale to the world's capital markets. NEX is headquartered in London with offices worldwide.

SPONSOR PROFILES

GOLD SPONSOR

DTCC

www.dtcc.com



@The_DTCC



MarkVercruysse,
Managing Director



+1 212.855.4151



mvercruysse@dtcc.com



Securing Today. Shaping Tomorrow.®



Katherine Delp, Executive Director



+1 212.855.4760



kdelp@dtcc.com

With over 40 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes, and standardizes the post-trade processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers worldwide.

User owned and industry governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to the financial markets. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to the financial markets.


SILVER SPONSORS


ABN AMRO Clearing Chicago LLC



ABN·AMRO Clearing

www.abnamroclearing.com

 Brian Duff, U.S. Sales Prime

 + 1 312.604.8000

 brian.duff@us.abnamroclearing.com

ABN AMRO Clearing Chicago LLC (AACC) is engaged in the clearing and execution of listed equities, options, and futures. AACC is focused on three key client segments including Proprietary Trading Groups (PTG), Institutional Money Managers, including CTA's and Hedge Funds, and Corporate Hedging Clients in the Agricultural and Energy sectors.


Our global presence, coupled with local market expertise in each region makes AACC a highly capable provider on a strategic basis. Our global reach includes offices in Amsterdam (Worldwide Headquarters), London, Paris, Frankfurt, Sao Paulo, Tokyo, Hong Kong, Singapore, Sydney, New York and Chicago (U.S. Headquarters).


AACC does not engage in any proprietary trading or risk-less principal securities trading. Our primary business is our clients.


ABN AMRO Clearing Chicago LLC-Member FINRA, NFA, FIA and SIPC.


CBOE Holdings

www.cboe.com

 @CBOE

 Jay Caauwe,
Managing Director

 +1 312.786.8855

 caauwe@cboe.com



CBOE Holdings, Inc. (NASDAQ: CBOE) is the holding company for Chicago Board Options Exchange (CBOE), CBOE Futures Exchange (CFE), and other subsidiaries. CBOE, the largest U.S. options exchange and creator of listed options, continues to set the bar for options and volatility trading through product innovation, trading technology and investor education. CBOE Holdings offers equity, index and ETP options, including proprietary products, such as options and futures on the CBOE Volatility Index (VIX Index) and S&P 500 options (SPX), the most active U.S. index option. Other products engineered by CBOE

SPONSOR PROFILES

SILVER SPONSORS

include equity options, security index options, Weeklys options, FLEX options, and benchmark products such as the CBOE S&P 500 BuyWrite Index (BXM).


CBOE Holdings is home to the world-renowned Options Institute, Livevol options analytics and data tools, and www.cboe.com, the go-to place for options and volatility trading resources.

CME Group



www.cmegroup.com

 @CMEGroup

 +1 312.930.1000

 info@cmegroup.com

As the world's leading and most diverse derivatives marketplace, CME Group (www.cmegroup.com) is where the world comes to manage risk, offering the widest range of global benchmark products across all major asset classes. CME Group brings buyers and sellers together through its CME Globex® electronic trading platform, its trading facilities in New York and Chicago, and through its London-based CME Europe derivatives exchange.

CME Clearing and CME Clearing Europe, industry-leading central counterparty clearing providers, offer clearing and settlement services for exchange-traded and over-the-counter derivatives.


Korn Ferry International | Lab49




KORN FERRY


LAB49

www.kornferry.com

 @Korn_Ferry

 Alan Guarino, Vice Chairman, CEO & Board Services


 +1 845.206.8199


 alan.guarino@kornferry.com

www.lab49.com

 @lab49

 Ashley Whitney, Global Head of Client Solutions

 +1 212.966.3468

 sales@lab49.com


Korn Ferry is the preeminent global people and organizational advisory firm. We help leaders, organizations, and societies succeed by releasing the full power and potential of people. Our nearly 7,000 colleagues deliver services through our Executive Search, Hay Group and Futurestep divisions. Visit www.kornferry.com for more information.

Lab49 is a global strategy, design and technology consulting company specializing in capital markets. Lab49 specializes in the delivery of large-scale programs that transform the business and operations of the world's leading capital markets firms.

LCH

www.lch.com

 @LCH_Clearing


 marketing@lch.com


LCH The Markets'
Partner

LCH has always endeavoured to build strong relationships with partners across the global markets to deliver best-in-class risk management. With our accumulated experience and expertise, we are uniquely positioned to help participants increase capital and operational efficiency, while adhering to an expanding and complex set of cross-border regulations. Working together with our stakeholders, we have helped the market transition to central clearing by introducing an array of innovative enhancements. These include solo compression with blended rate, an expanded set of customer protection options, and the launch of LCH Spider, our portfolio margining tool. When combined with an uncompromising commitment to service delivery, it's no surprise that LCH is the natural choice of the world's leading market participants.

Osaka Exchange, Inc.
– JPX Group

www.jpx.co.jp/english/derivatives

 +81 (0) 50.3377.8645

 ose-dmg@jpx.co.jp



Osaka Exchange, Inc., the mother market of Nikkei 225 Futures & Options, is the derivatives arm of JPX-Group. Following the integration of Tokyo Stock Exchange's derivatives market in 2014, OSE is offering trading opportunities of all range of Japanese equity index futures/options including TOPIX products and also JGB products.

SPONSOR PROFILES

SILVER SPONSORS

In 2016, OSE launched the new trading platform, New J-GATE, powered by Nasdaq Genium INET Trading, and extended their trading hours to cover not only European but also US trading hours. They also celebrated 10th anniversary of Nikkei 225 mini, the world's third most actively traded equity index futures.

Singapore Exchange



www.sgx.com



@SGX



Alex Lenhart, UK Country
Manager & Head of Sales –
Europe and USA



+44 (0) 20.7965.4576



alex.lenhart@sgx.com

Singapore Exchange is Asia's leading and trusted market infrastructure, operating equity, fixed income and derivatives markets to the highest regulatory standards. As Asia's most international, multi-asset exchange, SGX provides listing, trading, clearing, settlement, depository and data services, with about 40% of listed companies and 90% of listed bonds originating outside of Singapore.

SGX is the world's most liquid offshore market for the benchmark equity indices of China, India, Japan and ASEAN and offers commodities and currency derivatives products. Headquartered in AAA-rated Singapore, SGX is globally recognised for its risk management and clearing capabilities. For more information, please visit www.sgx.com.

Societe Generale



**SOCIETE
GENERALE**

www.cib.societegenerale.com



@SocGen_US



Antoine Babule, Managing
Director, Head of Prime Services – Americas



+1 312.762.1000



antoine.babule@sgcib.com

At the core of Societe Generale's universal banking business model, the Corporate & Investment Bank is a well-diversified and leading player with more than 12,000 professionals and a commercial offer in 37 countries with extensive European coverage and representative offices in Central and Eastern Europe, the Middle East, Africa, the Americas and the Asia-Pacific region.




Standing by its clients across sectors, the Corporate & Investment Bank offers bespoke financial solutions combining innovation, advisory services and high execution quality across four areas of expertise: investment banking, financing, market activities and investor services.

For more information, visit www.cib.societegenerale.com.

Tokyo Commodity Exchange



www.tocom.or.jp

 Ryoichi Seki, Senior Vice President Global Business Development Department
 +81 (0) 3.3661.1660
 tocom.gbd@tocom.or.jp

Tokyo Commodity Exchange (TOCOM) is Japan's largest commodity futures exchange and one of the most prominent in Asia offering precious metals, energy, natural rubber and agricultural products.





TOCOM's Gold market is the most liquid in East Asia, Dubai crude is the leading benchmark for Middle East crude oil, and TOCOM Rubber is the established global benchmark. Our new initiatives include launching Platinum Rolling Spot in March, cash-settled oil products in May and others in the pipeline aiming to create a comprehensive energy market.

Recent market upgrades are driving the global trading community which trades account for half TOCOM's volume today.

UBS



www.ubs.com

 @UBS
 Mike du Plessis, Global Head FX, Rates & Credit Execution Services
 +1 203.719.1754
 mike.du-plessis@ubs.com

UBS Investment Bank provides corporate, institutional, and wealth management clients with expert advice, innovative financial solutions, outstanding execution and comprehensive access to the world's capital markets. It offers investment banking advisory services and access to international capital

SPONSOR PROFILES


SILVER SPONSORS


markets, and provides comprehensive cross asset research, along with access to the equities, foreign exchange, precious metal and rates and credit markets.


The Investment Bank is an active participant in capital markets flow activities, including sales, trading and market-making across a range of securities. Our Investor Client Services division provides quality insight, analytics, liquidity, execution, post-trade services as well as wide partnership in fund investments and distribution capabilities for clients.

Vela Trading Technologies

velatradingtech.com

 @vela_tt

 Jim Bruen, Sales

 +1 646.586.3245

 jbruen@velatt.com



Vela (formerly SR Labs) is an independent global leader in high performance trading and market data technology. We provide the breadth of coverage and depth of expertise demanded by today's multi-asset, multi-region electronic trading environment. Our clients benefit from white-glove global customer support and professional services from an experienced team comprised of seasoned financial services industry experts and cutting-edge technologists.

Providing premier market data and trading technology, Vela improves time-to-market, lowers costs, and eliminates complexity. Vela delivers products that empower our clients to focus on their core business strengths, leveraging key trading infrastructure solutions via our technology, expertise, and experience.

MEDIA PARTNERS



BOCA 2017

Delegate Recognition Program



FIA is grateful for your participation and support
over the years at the FIA Boca Conference.


Thank you!


BOCA 2017 EXHIBITORS

Bloomberg L.P

www.bloomberg.com

 @Bloomberg

 Mimi Thiha, Bloomberg Vault Business Development Manager

 +1 212.318.2000


 vaultsales@bloomberg.net

Calypso Technology

www.calypso.com

 @calypsoTech

 Mark Abrams, Senior Sales Executive

 +1 212.905.0744

 mark_abrams@calypso.com

EOX Live

www.otcgh.com

 @otcgh

 Amy Lach, Account Executive


 +1 713.627.2223


 alach@piercom.com

GlobalRisk Corporation

www.globalrisk.com

 @Global_Risk

 Curt Wichmann, Vice President

 +1 312.588.1300

 cpw@globalrisk.com

Lombard Risk

www.lombardrisk.com

 @LombardRiskM

 Tina Wilkinson, Global Head of Product

 +44 (0) 20.7593.6700

 info@lombardrisk.com

NEX Group plc

www.nex.com

 @nexgrp


 +44 (0) 20.7818.9000


 boca@nex.com

Societe Generale

www.cib.societegenerale.com

 @SocGen_US

 Antoine Babule, Head of Prime Services,
Americas


 +1 312.762.1000

 antoine.babule@sgcib.com

t0

www.t0.com

 Derek Tabacco, Vice President Sales Division


 +1 917.596.2552


 dtabacco@t0.com

Trillium Surveyor

www.trlm.com/surveyor

 @TRLM

 Bailey Kessing, Product Manger

 +1 212.401.2356

 bkessings@trlm.com  suveyor@trlm.com

U.S. Commodity Futures Trading Commission

<https://www.whistleblower.gov>

 Christopher Ehrman, Director

 +1 866.873.5675

 whistleblower@ctfc.gov

JOIN US IN 2017

L&C | MAY 3-5, 2017

39th Annual Law & Compliance Division Conference
Omni Shoreham Hotel | Washington, DC

IDX | JUNE 5-7, 2017

10th Annual International Derivatives Expo
The Brewery | London

EXPO | OCTOBER 17-19, 2017

33rd Annual Futures & Options Expo
Hilton Chicago | Chicago, IL

ASIA | NOVEMBER 28-30, 2017

13th Annual Asia Derivatives Conference
The St. Regis Singapore | Singapore

FIA/SIFMA AMG | FEBRUARY 7-9, 2018

Asset Management Derivatives Forum
Co-hosted by FIA and SIFMA Asset Management Group
Ritz-Carlton Laguna Nigel | Dana Point, CA

BOCA | MARCH 13-16, 2018

43rd Annual International Futures Industry
Conference
Boca Raton Resort and Club | Boca Raton, FL



Learn more at FIA.org

Exhibit and Sponsorship Sales: Toni Vitale Chan,
tvitalechan@fia.org or +1 312.636.2919

General Inquiries: +1 202.466.5460