

MEMBER NOTICE

M20/006 OCTOBER 2020 INDUSTRY-WIDE DISASTER RECOVERY TEST

ICE Futures U.S. (IFUS) and ICE Clear U.S. (ICUS) will again participate in the industry-wide FIA sponsored Disaster Recovery test on **Saturday, October 24, 2020**. On this day, futures exchanges, clearing houses, FCMs, and service providers will simultaneously test connectivity and functionality between back-up sites. For IFUS and ICUS this will involve the activation of the ICE backup data center facility.

Test Registration

Clearing members are required to register for the test through the FIA registration portal. Upon first visiting the registration page, enter the generic username and password (referenced below) into the fields under “**NEW Test Registration**” to gain access to the registration form. To make subsequent changes, users will enter the username and password created on their initial visit in the “**EDIT Test Registration**” box.

Generic log-in information:

<https://secure.fia.org/bcp/test-registration.asp>

Username: **bcd**

Password: **f1A4@o20**

IMPORTANT: While everyone has the ability to create their own username and password to access, submit and make updates to the registration form, it will be important to coordinate registration within your firm to avoid duplicating efforts and registration forms.

Pre-Testing (Ping testing) - Saturday, September 12, 2020

Clearing Members will be able to conduct connectivity pretesting on Saturday, September 12, 2020 (9:00 AM ET – 12:00 PM ET).

Clearing members can contact ICE through the ICE Help Desk at (770) 738-2101. For trade inquiries, select option #1 then #1, for clearing inquiries, select #2 then #1. This same contact number will be used for both the Pre-Test and Test Day activities.

Clearing members may complete a pre-test on this date to ensure a connection to the backup data center can be successfully completed. **Clearing members that have participated in a Pre / Ping Test must complete and return a Pre-Test Checklist to ICE Clear U.S.** Please email the checklist to iceclearus@theice.com.

Test Day - Saturday, October 24, 2020

Trade Entry

9:00 AM ET – 12:00 PM ET

Clearing Members and traders will be free to enter trades into the WebICE electronic trading platform for Canola, Sugar #11, and/or US Dollar Index products on test day from 9:00 AM ET – 12:00 PM ET. ICE will switch the trading platform to its back-up configuration prior to the test. Users will log in using their WebICE production user ID's and passwords.

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Post Trade Management

Trade executions and allocations will be visible in ICE FEC so clearing members can complete trade acceptance and allocation activities. All clearing applications (FEC, ECS, MFT) should be accessed using ICUS production URL's.

Trade Messaging

FIXML MQ Message Traffic – Test trade and give-up activity will be reflected in the FIXML real time trade feed to Clearing Member's designated MQ.

File Transfers – MFT

Match-Off File – Production Match-Off files from the Friday, October 23, 2020 trading day will be available for download in MFT on Test Day.

GCM & Large Trader - Clearing Members can send in GCM and Large Trader files to MFT at any time between 9:00 AM and 12:00 PM ET on test day. These should be the production files from the Friday, October 23, 2020 trading day.

ICE Risk Model files for this test will also be available through ICUS' MFT application.

Back to Production

12:30 PM ET – 1:30 PM ET

Production Reconnection – ICUS will reestablish production connectivity to all clearing applications.

1:30 PM ET – 3:30 PM ET

Verify Clearing Member Connectivity - At the conclusion of the Industry DR test on Saturday, October 24, 2020, ICUS will have technical support available to verify that clearing members have re-established production connectivity.

Clearing Members are required to complete and return the Test Day checklist upon completion of testing. Please scan, sign and email the checklist to iceclearus@theice.com.

Clearing members that wish to complete a MS Word version of the checklists can contact ICUS to obtain this version.

FOR MORE INFORMATION

ICE Clear U.S.

ICEClearUS@theice.com

(212) 748-4001

(312) 836-6777

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Key Information Documents for certain products covered by the EU Packaged Retail and Insurance-based Investment Products Regulation can be accessed on the relevant exchange website under the heading "Key Information Documents (KIDS)," commencing January 1, 2019.



**ICE Clear U.S.
Clearing Member Connectivity Pre-Test Checklist
Saturday, September 12, 2020**

Testing hours are from 9:00 AM ET -12:00 PM ET

For support on the day of the ping test, contact: (770) 738-2101; option #2 then #1

Overview: The purpose of the ping tests is to allow clearing members to exercise the procedures and system changes necessary to connect to the clearing applications from their backup sites. You will see a login page and/or banner message for each application. You will not need to login to the applications.

Please complete **and sign** this form and return a scanned copy by email to ICE Clear U.S., iceclearus@theice.com.

	Completed	Not Completed	Location (Backup or Primary)
FEC Mark Trades for Give-Up/Allocate/Claim _____ https://fec.usclearing.theice.com/	_____	_____	_____
ECS Connect to Login Screen _____ https://ecs.usclearing.theice.com/console/index.html	_____	_____	_____
FIXML Trade Feed/MQ Channel Ping Channel _____	_____	_____	_____
MFT File Transfer Connect to mft.usclearing.theice.com using SFTP software.			
Download Match-Off File _____	_____	_____	_____

Date: _____

Clearing Member Name: _____

Clearing Member Representative: _____

Telephone Number: _____

Email: _____

Signature: _____

Clearing Members must attempt to complete each item. For any items not successfully completed please indicate the reason in the space below.

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ICE Clear U.S.
Clearing Member Test Day Checklist
Saturday, October 24, 2020

Testing hours are from 9:00 AM ET - 12:00 ET PM

For clearing support on the day of the DR Test, contact the ICE Helpdesk (770) 738-2101 option #2 then #1. For Trading System support select option #1 then #1.

Please complete and sign this form and return a scanned copy by email to ICE Clear U.S., iceclearus@theice.com

Table with 4 columns: Task, Completed, Not Completed, Location (Backup or Primary). Rows include ICE Platform (Enter Trades), FEC (Mark Trades for Give-Up/Allocate/Claim), ECS (Login Successfully), FIXML trade feed/MQ channel (Receive Trade Messages), and MFT File Transfer (Upload LTR, & GCM File; Download Match-Off File).

Date: _____

Clearing Member Name: _____

Clearing Member Representative: _____

Telephone Number: _____

Email: _____

Signature: _____

Clearing Members must complete each item. All items must be completed to merit a successful test. For any items not successfully completed please indicate the reason in the space below.

Empty rectangular box for providing reasons for incomplete items.

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