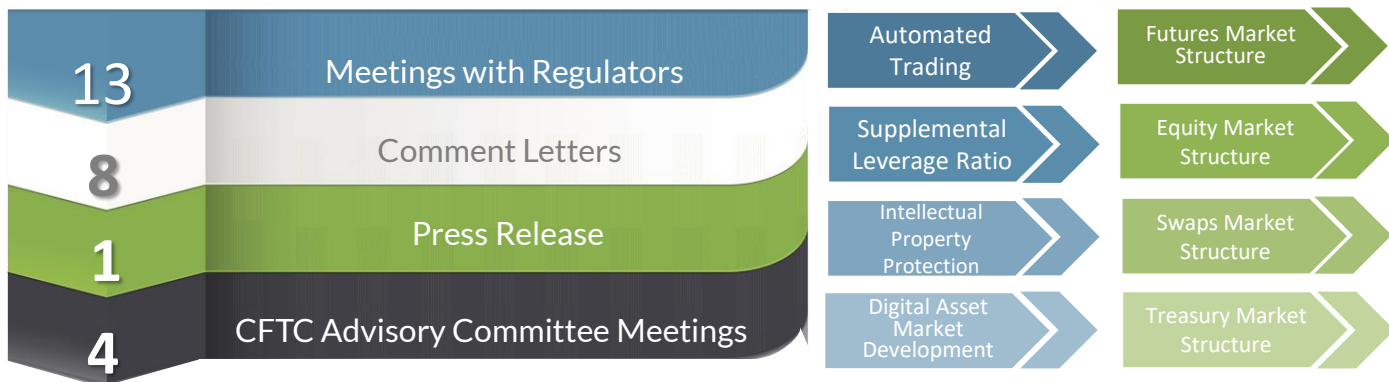


Advocacy plans for the first half of 2020 were upended by the coronavirus. Efforts turned to keeping our markets open and supporting our members through the pandemic. Once members had settled into the new “normal” we resumed our advocacy work including equity and swap market structure issues, as well as the newly proposed CFTC Electronic Trading Risk Principles.

Education & Advocacy Work



Comment Letters

- CFTC: [Prohibition of post-trade name give-up in cleared swaps](#)
- CFTC: [Capital requirements for Swap Dealers](#)
- CFTC: [Real-time public reporting requirements](#)
- SEC: [IEX Discretionary limit order type proposal](#)
- SEC: [IEX Discretionary limit order type proposal #2](#)
- SEC: [NYSE Proposed rule change to establish schedule of wireless connectivity fees and charges](#)
- SEC: [Market data infrastructure proposal](#)
- CVM: [Brazilian market structure reform consultation](#)

Coronavirus Support

- Worked with state and local governments to ensure “essential” worker status for our members.
- Met with and emphasized to regulators and policymakers the importance of keeping markets open.
- Monitored and provided regular recaps of applicable coronavirus related information.

Press Release

- [Opposition to short selling bans in Europe](#)