



# **Fireside chat with James McDonald: a discussion of the CFTC's revised penalty guidance and enforcement priorities**



May 28, 2020

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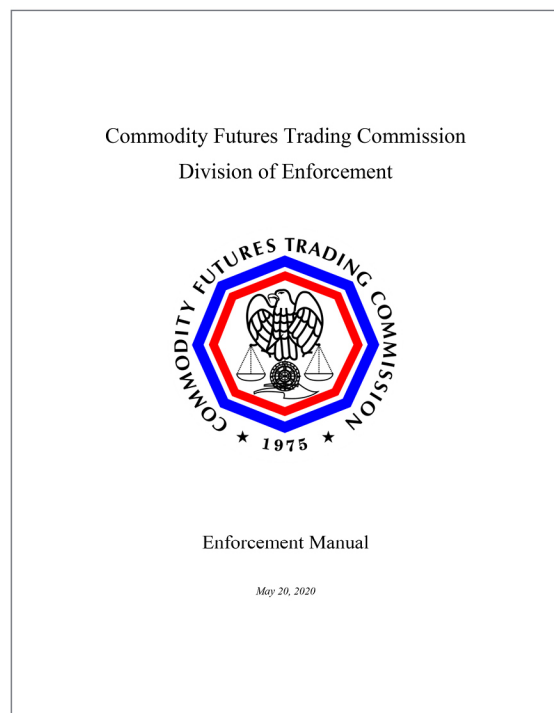


**Allison Lurton**  
**MODERATOR**  
*Chief Legal Officer & General  
Counsel, FIA*



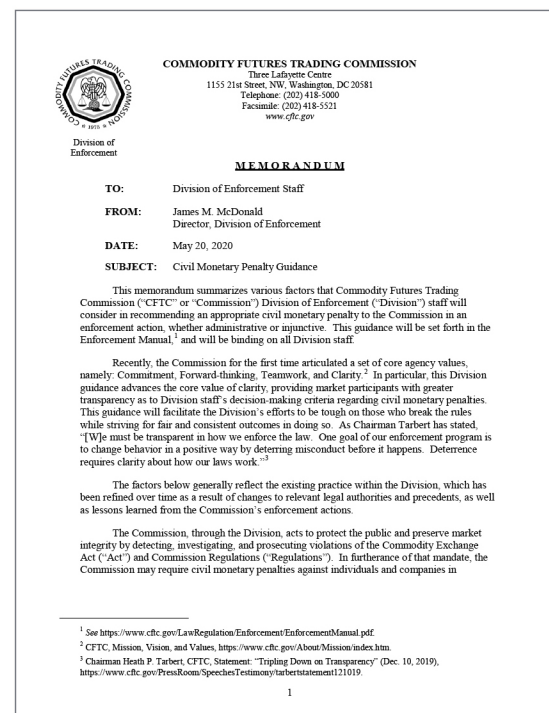
**James McDonald**  
*CFTC, Director, Division of  
Enforcement*

# Fireside chat with James McDonald: a discussion of the CFTC's revised penalty guidance and enforcement priorities



## CFTC Division of Enforcement – Enforcement Manual

<https://www.cftc.gov/LawRegulation/Enforcement/EnforcementManual.pdf>



## Memo: Civil Monetary Penalty Guidance

<https://www.cftc.gov/media/3896/EnfPenaltyGuidance052020/download>

**FIA**

The image features the letters 'FIA' in a bold, sans-serif font. The 'F' is dark grey. The 'I' is dark grey with a green triangle on its right side. The 'A' is composed of two overlapping triangles: a green one on the left and a blue one on the right. The background is a white canvas with large, overlapping geometric shapes in light green, light blue, and light grey.