



ACCESS

FIA PTG MEMBERSHIP GUIDE



FIA.org/ptg

WHY JOIN FIA PTG?

ACCESS

Access to Legislators

FIA PTG members have frequent meetings with lawmakers and are regularly invited to participate in Congressional roundtables, making us uniquely positioned to educate and inform policy makers.

Access to Regulators

FIA PTG members take part in frequent meetings with Commissioners and staffers at the CFTC and SEC. FIA PTG is deeply engaged in the rulemaking process through comment letters, thought pieces, and industry committee participation.

Access to Media

FIA PTG is an established thought leader on issues affecting the markets, including automation and market structure. Members have the opportunity to engage with reporters from national outlets, including the Financial Times, Wall Street Journal, and the New York Times. FIA PTG serves as an industry spokesperson on issues gaining widespread attention.

Access to Resources

FIA PTG members enjoy access to a range of information produced by FIA PTG and FIA, including volume statistics, best practices, regular meetings with other FIA PTG members, and exclusive interviews with regulators.



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MEMBER BENEFITS

Best Practices

FIA PTG develops best practices and industry guidelines on issues critical to your business, including automated trading, risk controls, software change management, and drop copy. FIA PTG allows your organization to access and shape thought leadership across the industry.

Networking

With more than 20 members trading their own capital in exchange-traded markets, FIA PTG provides a wealth of networking opportunities for professionals in every department of your organization. In addition to regular meetings and networking events, FIA PTG members can take advantage of informal lunches with executive leadership to discuss pressing issues and develop strategy.

Conferences

FIA PTG membership comes with associate membership in FIA, which includes access to FIA comment letters, guidance, and best practices. Additionally, FIA PTG members are invited to attend and speak at premium conferences including the Law & Compliance Division Conference in Baltimore, the FIA Expo in Chicago, the International Futures Industry Conference in Boca, and the International Derivatives Expo in London.

Leverage

FIA PTG members regularly speak on key legislative, regulatory, and industry panels. In 2014, FIA PTG members participated in CFTC advisory meetings, Congressional roundtables, academic conferences, and industry events across the country. As an FIA PTG member, your business has an international platform to reach key influencers and decision-makers.

FIA PTG KEY ISSUES

FIA PTG IS ACTIVELY ENGAGED ON A VARIETY OF ISSUES INCLUDING:

Market Structure

Any efforts to enhance market structure must not sacrifice the improvements in market quality that have resulted from technological advances.

Automated Trading

Automated trading has lowered costs, narrowed spreads, reduced price discrepancies across markets, and improved market access. These market improvements benefit all market participants, including both retail and institutional investors.

Data-Driven Regulation

The continuous growth of technology requires that regulators make decisions based on analysis of risk, rather than arbitrary definitions and categorizations that can quickly become outdated. Rules and regulations must be based on data-driven analysis to fully assess potential market impacts.

Market Access

Technology has democratized market access, and we believe this should be preserved and protected. To ensure fair access to markets, we support exchange oversight of co-location and related connectivity services to ensure non-discriminatory terms and transparency.

Fair Policies

To thrive, markets require strong infrastructure and fair policies that allow for competition and innovation.



FIA PTG Chairman Rob Creamer speaks at the CFTC Technology Advisory Committee.



FIA PTG Executive Committee member Pat Hickey testifies at Market Structure Roundtable with Rep. Scott Garrett.



FIA PTG members take part in a panel at the FIA Futures and Options Expo.