



FIA ASSOCIATE MEMBER DUES

Level A* - Office locations worldwide and \geq 1000 employees

Level A - Office locations worldwide

Level B - Office locations in 2 regions

Level C - Office location(s) in 1 region

Level D - Office location(s) in 1 region and fewer than ten employees

Annual member dues amounts are listed in U.S. dollars.

COMMODITY & ENERGY FIRMS

- Level A - \$35,000
- Level B - \$33,000
- Level C - \$22,000

EXECUTING & INTRODUCING BROKERS

- Level A/B - Executing Broker - \$30,000
- Level C - Executing Broker - \$20,000
- Level C - Introducing Broker - \$6,000

FUNDS & ADVISORS

- All Levels - \$6,000

INDUSTRY SERVICE PROVIDERS

- Level A* - \$15,000
- Level A - \$13,500
- Level B - \$8,800
- Level C - \$4,400

PROFESSIONAL SERVICES

- Level A - \$15,500
- Level B - \$11,000
- Level C - \$8,800
- Level D - \$2,000 (includes Sole Proprietors & Trade Assoc.)

TRADING PLATFORMS & CCPs

- Contact FIA for dues details

PRINCIPAL TRADERS

FIA PTG and FIA EPTA are separate affiliate organizations of FIA. These dues only apply to membership in FIA PTG or FIA EPTA. For firms that are active as principal traders only in Asia, please contact FIA for membership information.

Level A - Over 150 employees globally
Level B - 50 to 150 employees globally
Level C - less than 50 employees globally

- PTG - Level A - \$40,000
- PTG - Level B - \$30,000
- PTG - Level C - \$20,000

- EPTA - Level A - \$35,650
- EPTA - Level B - \$22,425
- EPTA - Level C - \$18,975

DUES CATEGORY DEFINITIONS

COMMODITY & ENERGY FIRMS

Members of this category are market participants who use cleared derivatives to manage commodity price risk for themselves or on behalf of end users.

EXECUTING & INTRODUCING BROKERS

Members of this category are executing and introducing brokers who execute transactions on behalf of customers but do not handle customer funds or clear on behalf of customers.

FUNDS & ADVISORS

Members of this category include commodity trading advisors (CTAs), commodity pool operators (CPOs), hedge funds, pension funds and other entities that manage money on behalf of investors.

INDUSTRY SERVICE PROVIDERS

Members of this category provide products and services to the cleared derivatives industry such as technology, settlement banking systems, communications and other related activities.

PROFESSIONAL SERVICES

Members of this category provide professional services within the futures industry, such as consultancies, law firms, accountancy firms and public relations firms.

TRADING PLATFORMS

INTERNATIONAL EXCHANGES & CENTRAL COUNTERPARTIES (CCPS)

Members of this category are regulated trading venues and CCPs providing trading and/or clearing functionality for listed derivatives products registered with, or regulated by a government agency. This category has specific dues pricing based upon volume and other contract related metrics.

OTHER TRADING VENUES

Members of this category provide an electronic trading platform for cleared derivatives such as Organized Trading Facilities (OTFs), Multilateral Trading Facilities (MTFs) and Swap Execution Facilities (SEFs).

PRINCIPAL TRADING FIRMS

Firms that use their own capital for trading in listed derivatives markets. Principal Trading Firms must apply to be a member of the FIA Principal Traders Group and/or the FIA European Principal Traders Association.

Apply online at <https://fia.org/join-fia>.