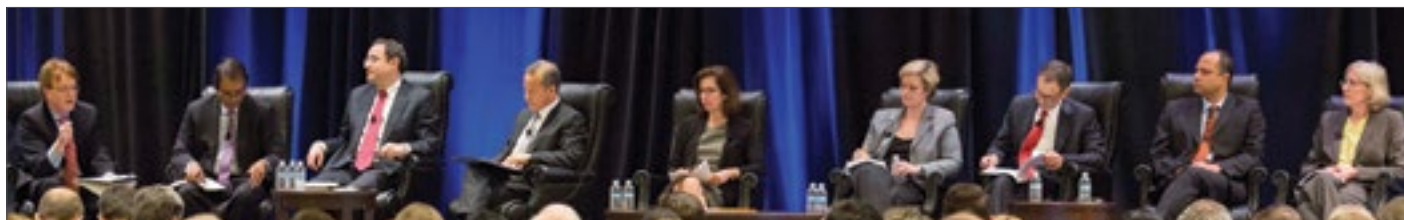


FIA L&C 2014 BALTIMORE

scrapbook



CFTC Roundup

CFTC division heads give an update on regulatory initiatives.

Left to right: Vincent McGonagle, division of market oversight; Ananda Radhakrishnan, division of clearing and risk; Gary Barnett, division of swap dealer & intermediary oversight; Bruce Beatus, Bank of America Merrill Lynch; Maria Chiodi, Credit Suisse; Sarah Josephson, office of international affairs; Jonathan Marcus, general counsel; Sayee Srinivasan, chief economist; and Gretchen Lowe, division of enforcement



Many Years of Service

Ronald Filler, NYU, (left) and Gary DeWaal, Katten Muchin, (right) were honored for their decades of service running the “Soup to Nuts” panel that has historically kicked off L&C conferences.



A Big Thank You

A friendly discussion before the keynote luncheon address. Maria Chiodi, outgoing president of FIA L&C, was honored for her many years of service.

Left to right: FIA’s Walt Lukken, Maria Chiodi of Credit Suisse; Bruce Beatus, Bank of America Merrill Lynch and president of FIA L&C; and the L&C keynote speaker and bestselling author Stephen Dubner



Incoming President

Kimberly Johns, Goldman Sachs, is elected as a new president of FIA L&C.



Chief Compliance Officer Perspective

Panelists discuss the role of chief compliance officers in a Dodd-Frank world.

Left to right: Tom Nuelle, BP Energy; Armand Nakkab, J.P. Morgan; David Meister, Skadden Arps; and Patricia Donahue, Rosenthal Collins



Talking Cross-Border

Experts discuss cross-border regulation.

Left to right: Eileen Flaherty, Newedge; Guy Dempsey, Katten Muchin; Tracey Jordal, PIMCO; Jacqueline Mesa, FIA Global; Trabue Bland, Intercontinental Exchange; Ananda Radhakrishnan, CFTC; John Nicholas, Newedge

Lawyers Rockin' It

The Precedents, an all-lawyer band, performed for the second year in a row at L&C.



Customer Protection Rules

Panelists discuss the nuances of new customer protection rules.

Left to right: Bonnie Litt, Goldman Sachs; Jonathan Breckenridge, Goldman Sachs; Maureen Burke, J.P. Morgan Securities



Guest Performance

FIA's Walt Lukken jams with The Precedents.

Photos by Lisa Nipp